

## Workshop

# Opportunities for Strengthening Ocean Governance in the Southeast Atlantic

# Opportunit  s pour le renforcement de la gouvernance des oc  ans dans l'Atlantique du Sud-Est

Abidjan, 27-28 June 2018

Supported by:



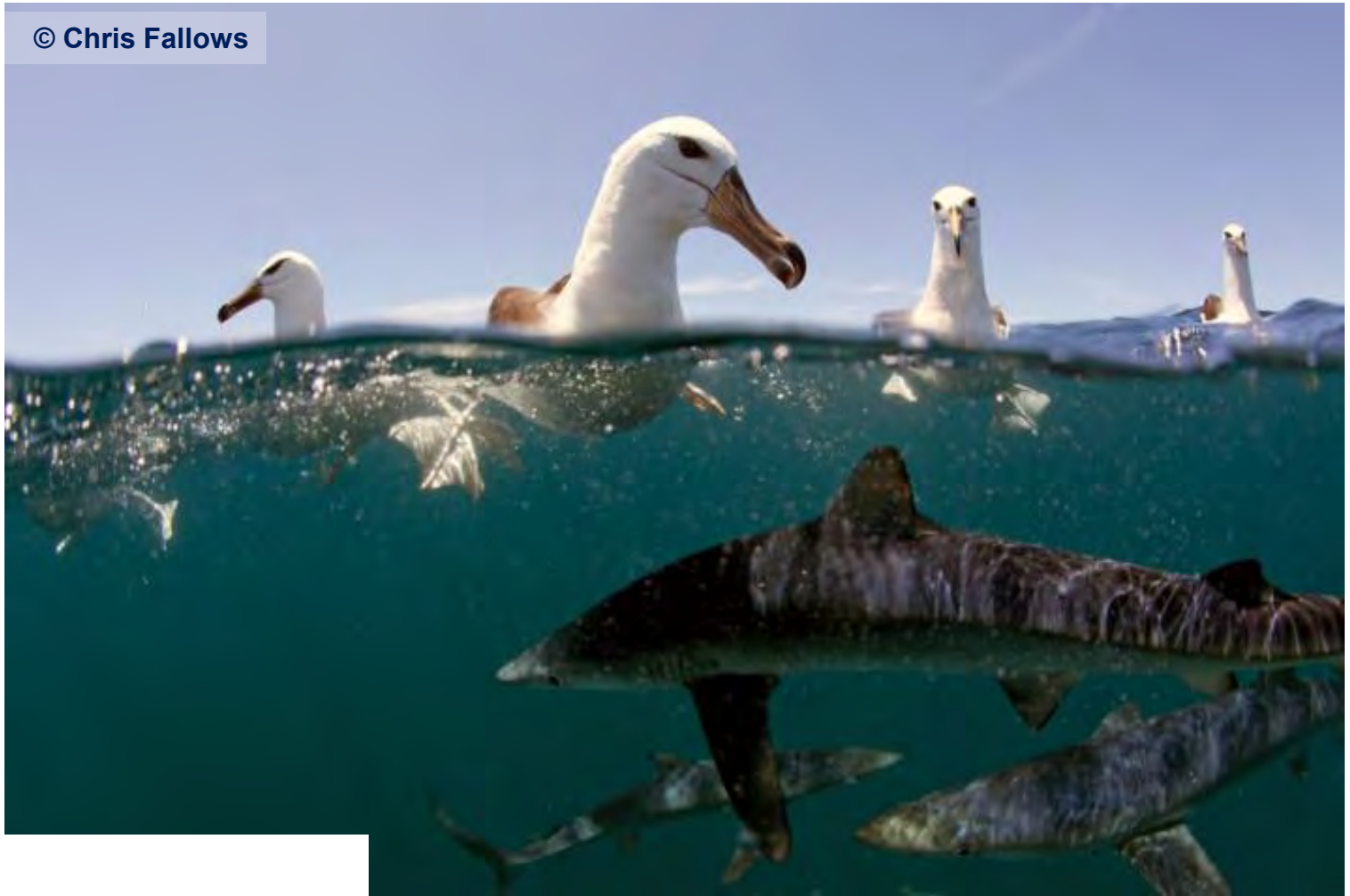
Federal Ministry  
for the Environment, Nature Conservation  
and Nuclear Safety

based on a decision of the German Bundestag

# Marine Biodiversity and the State of the High Seas: Ecosystems and why they matter

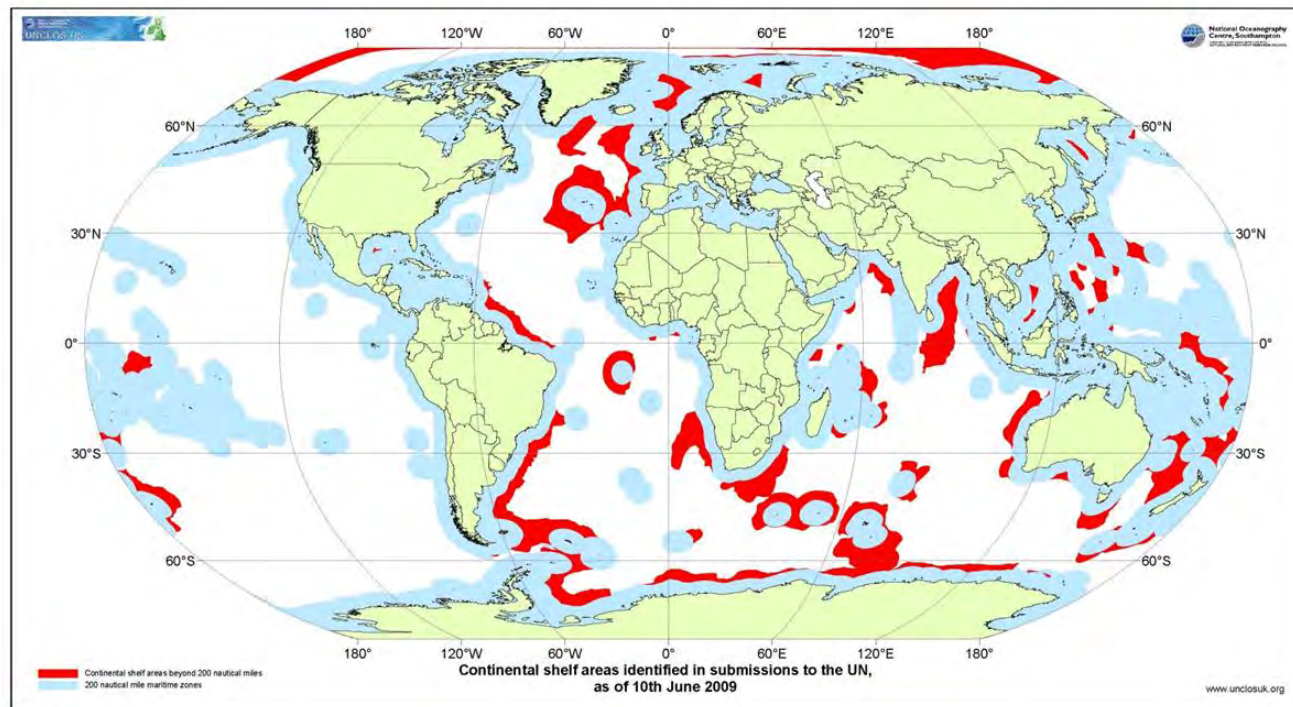


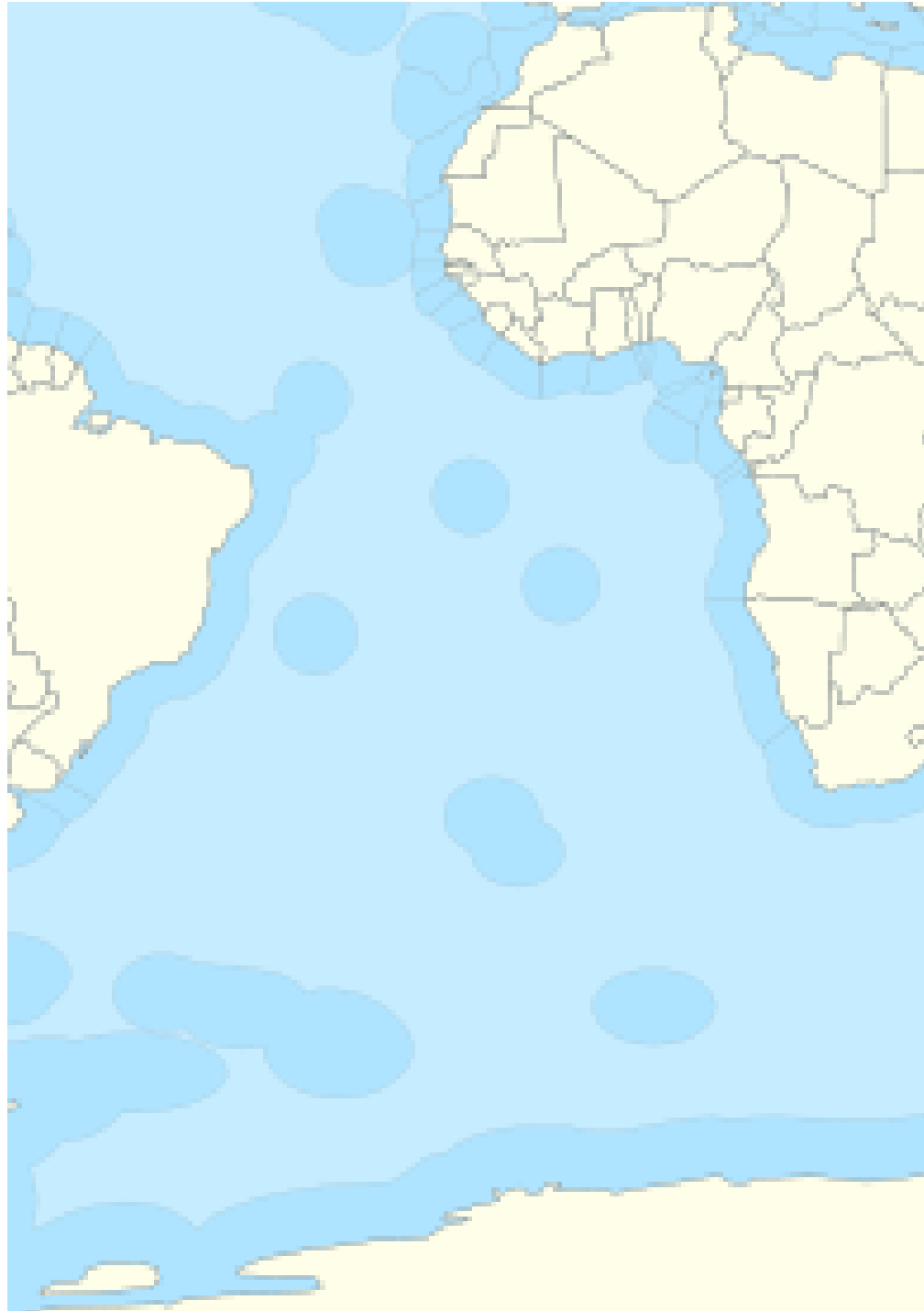
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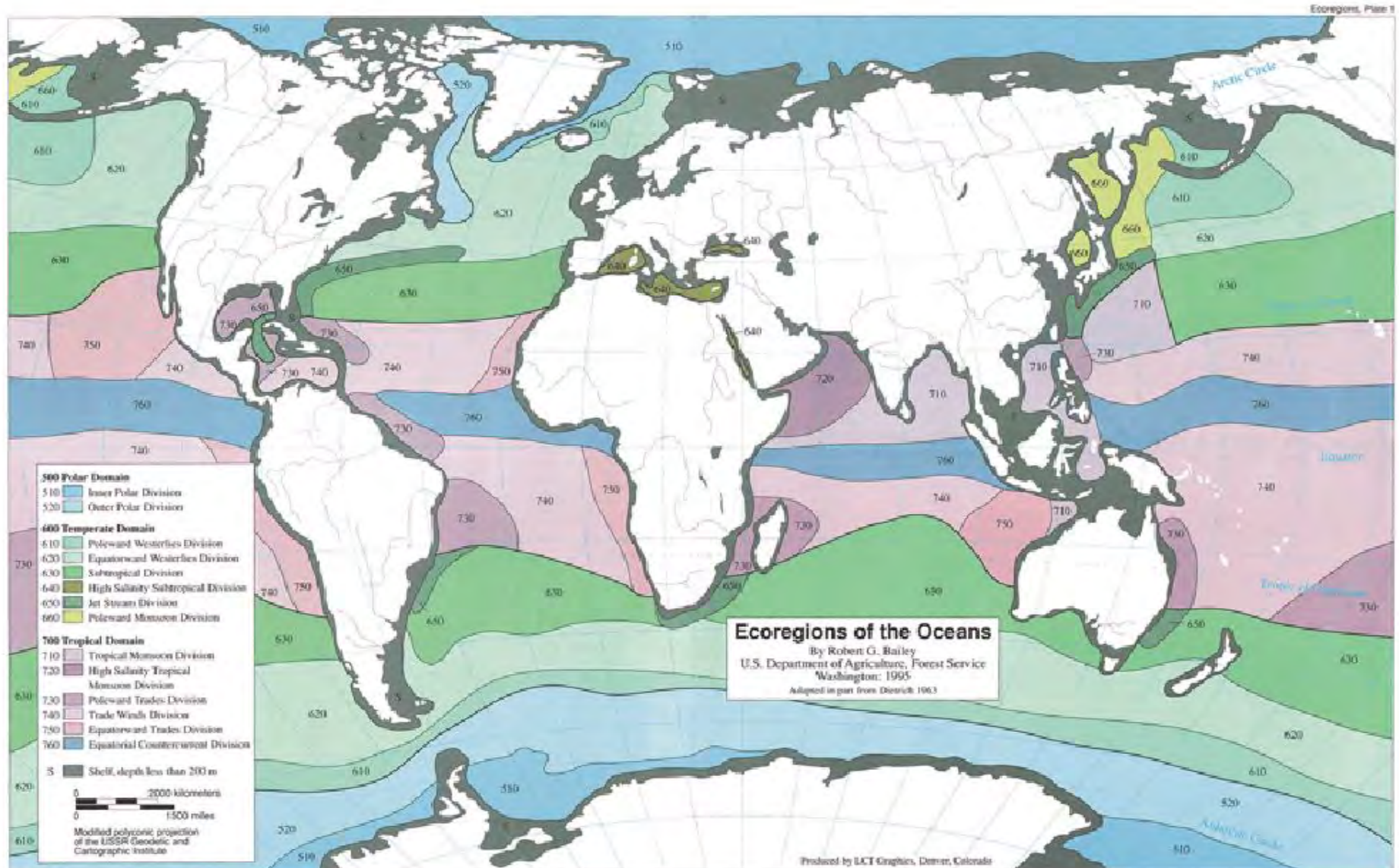
# ABNJ & High Seas

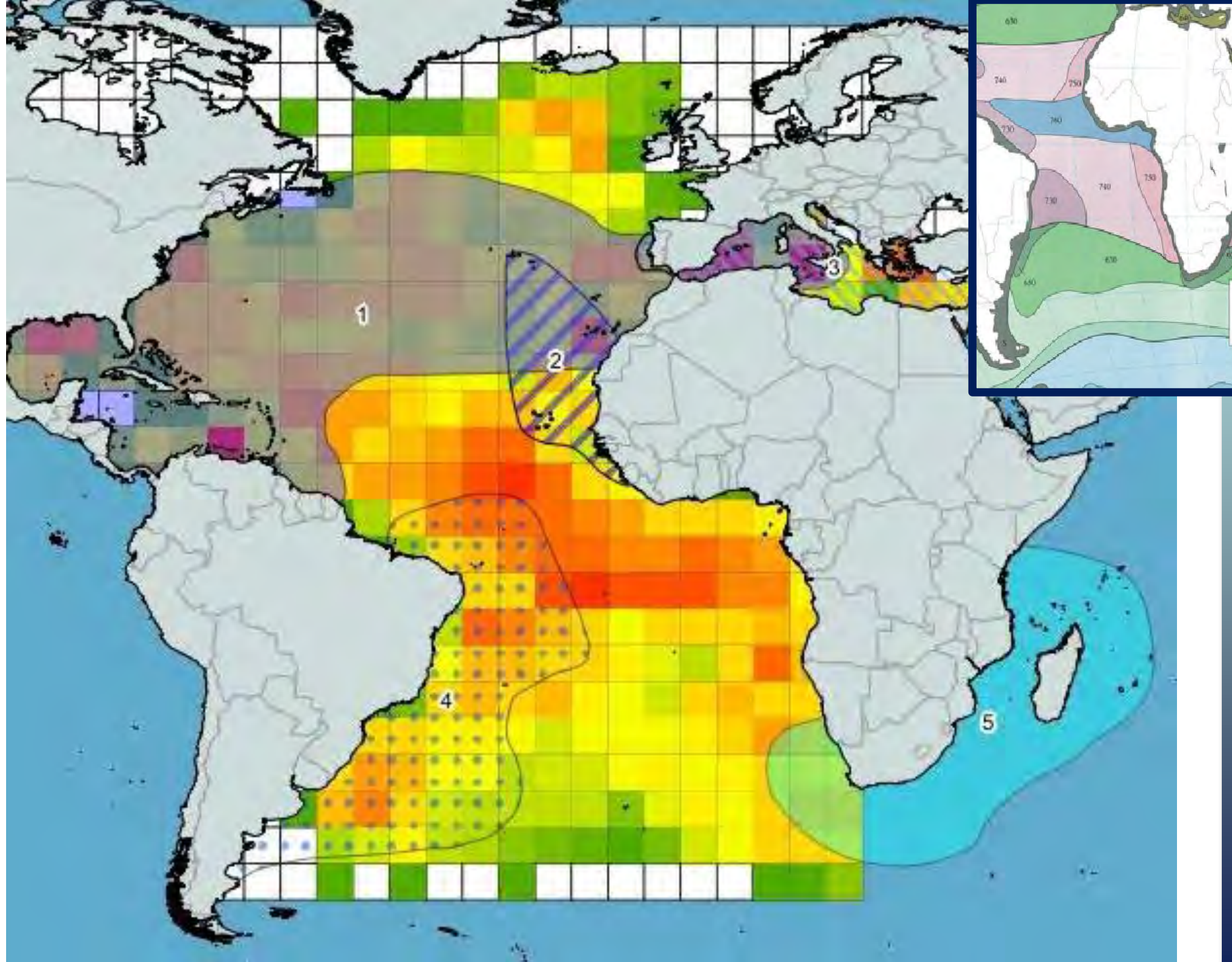




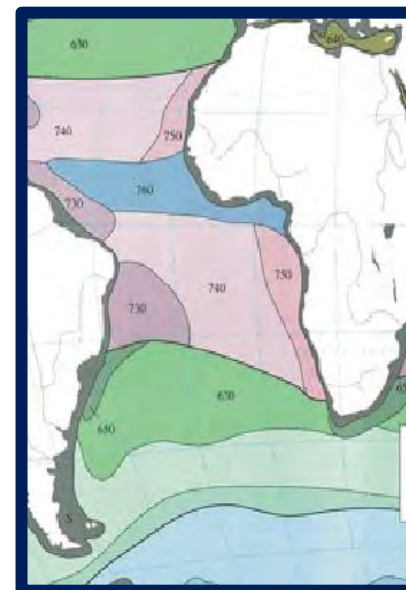
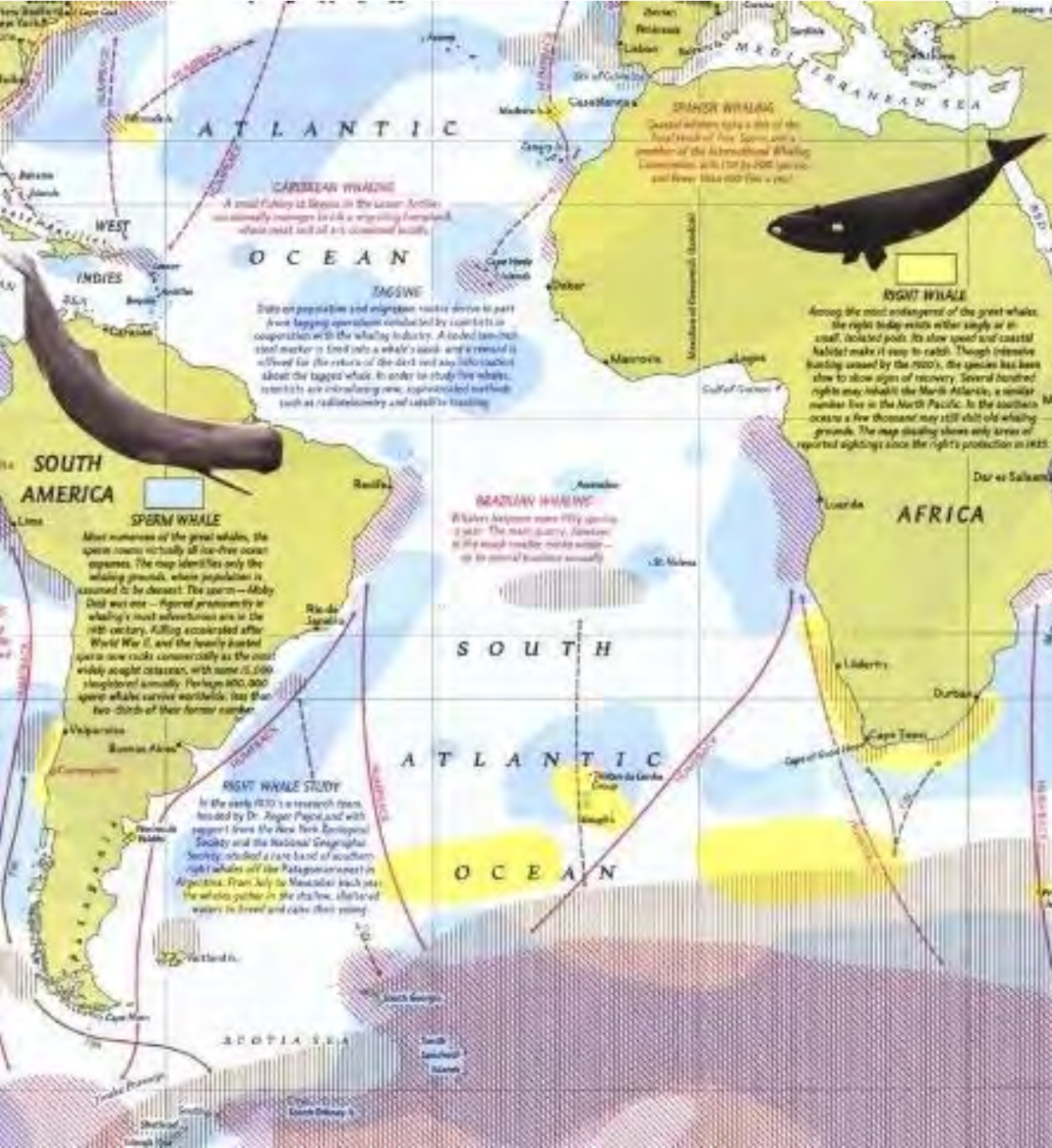


# Marine biodiversity – pattern and process

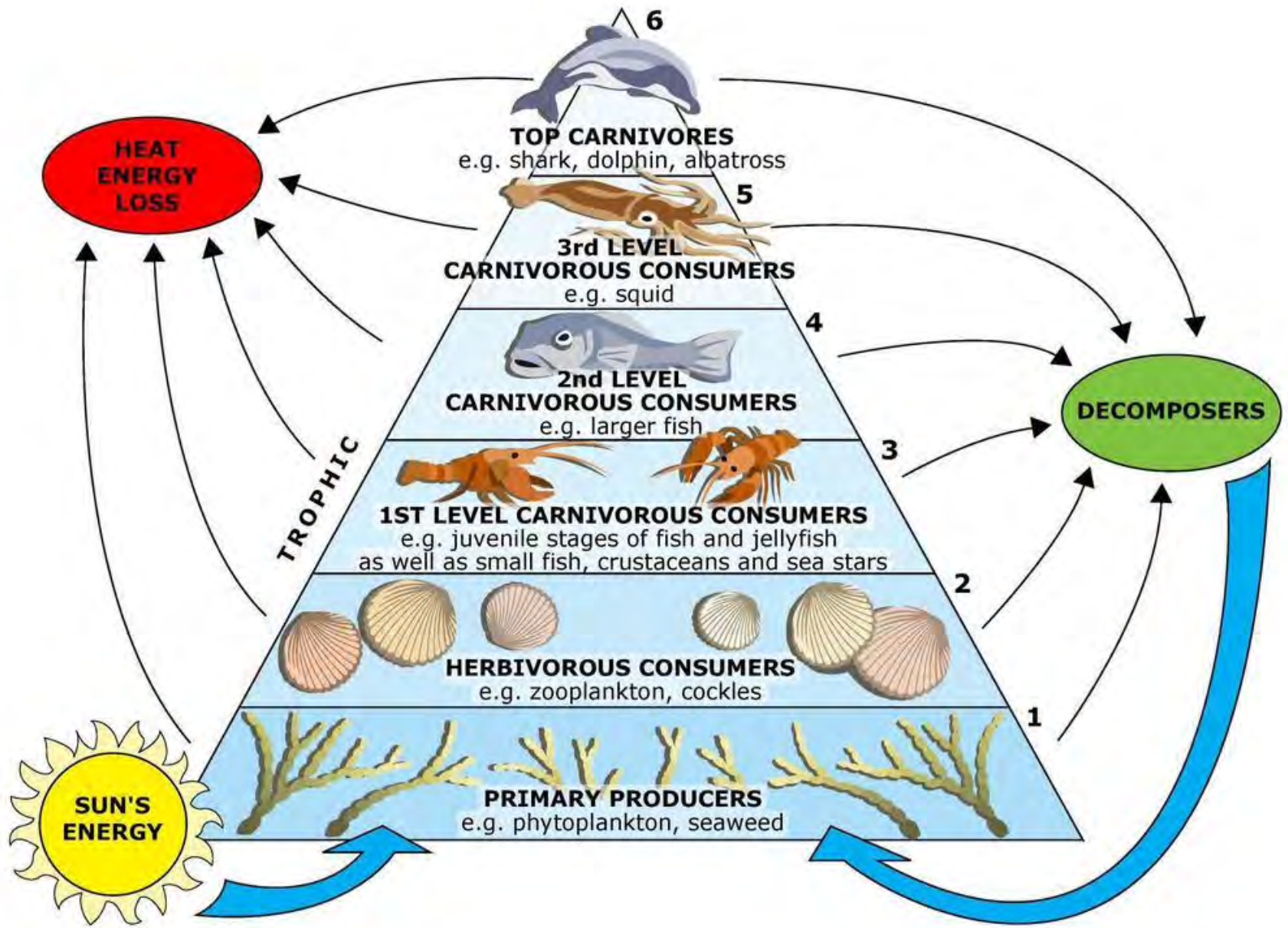












# Wasp-waist ecosystems

The Marine Food Web

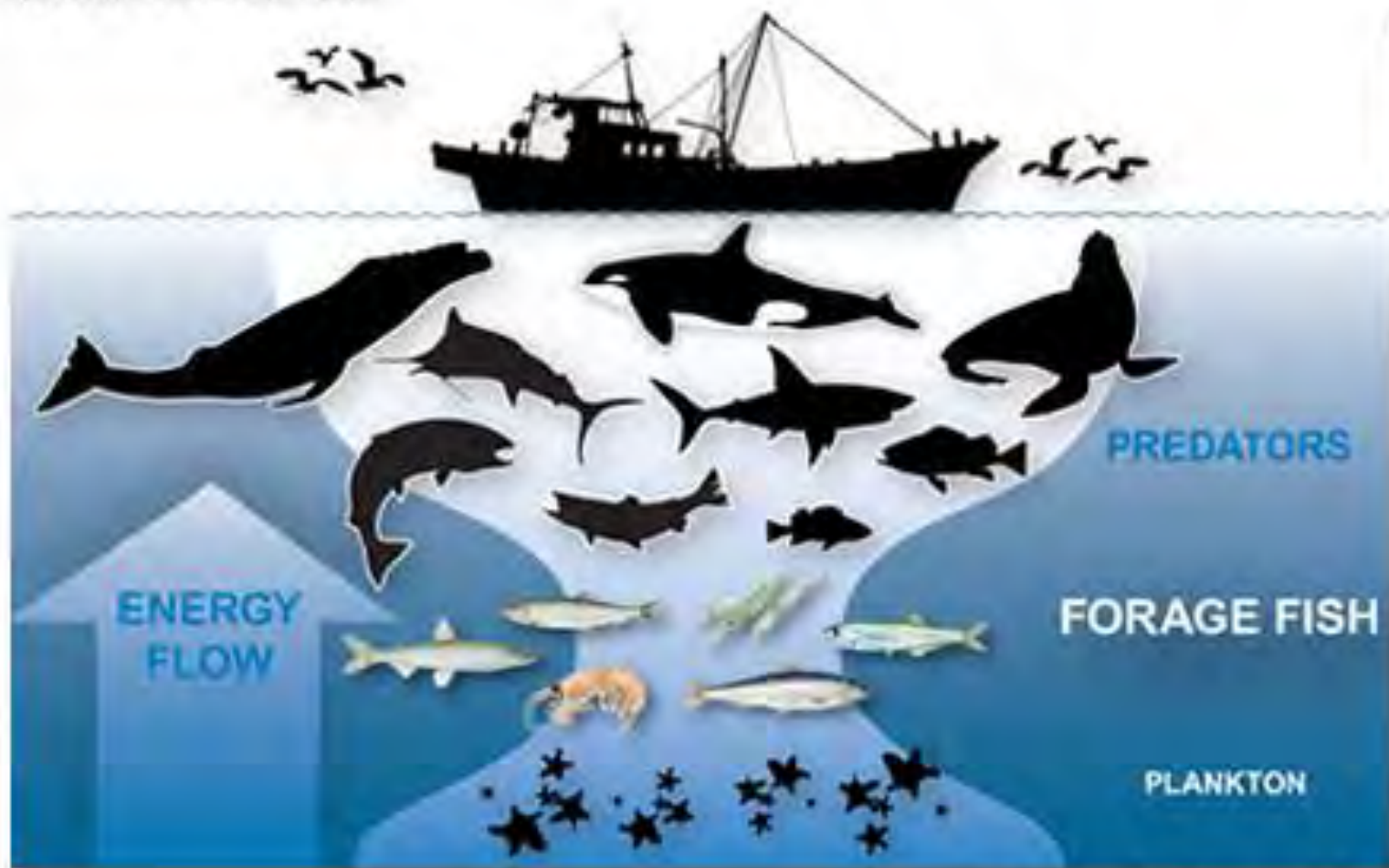
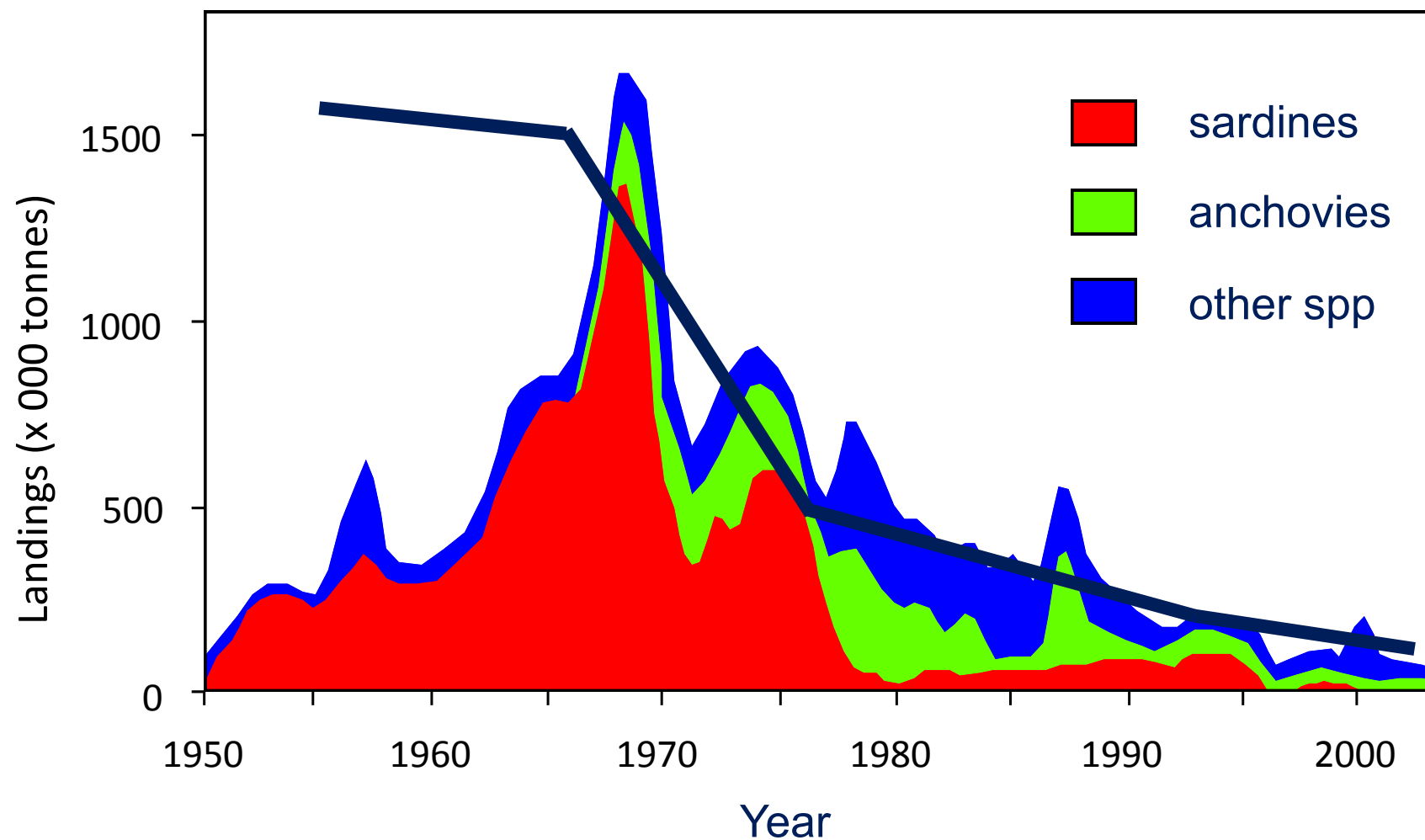


Chart: Pew Environment Group

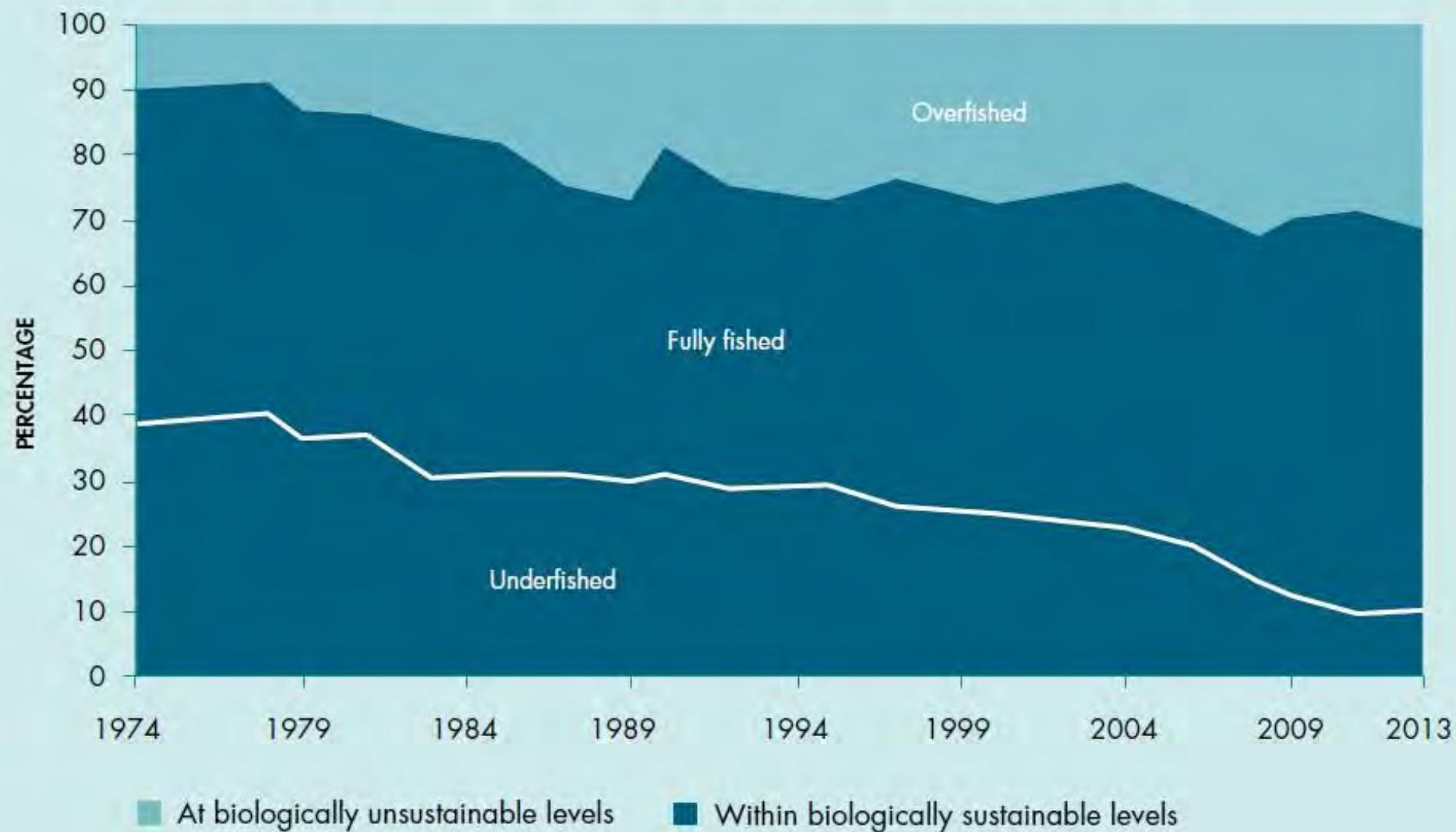
## Namibian pelagic fish catches



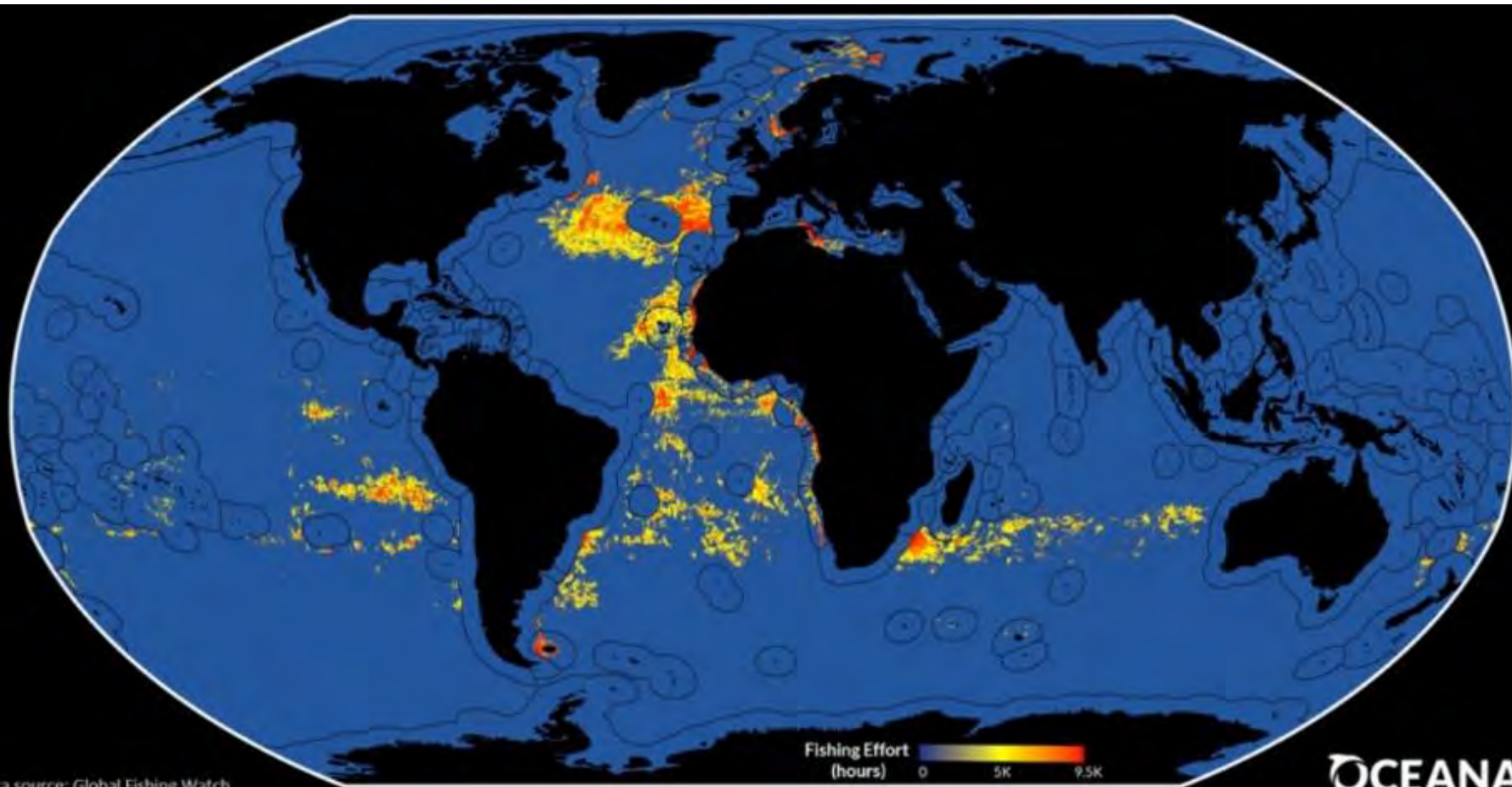




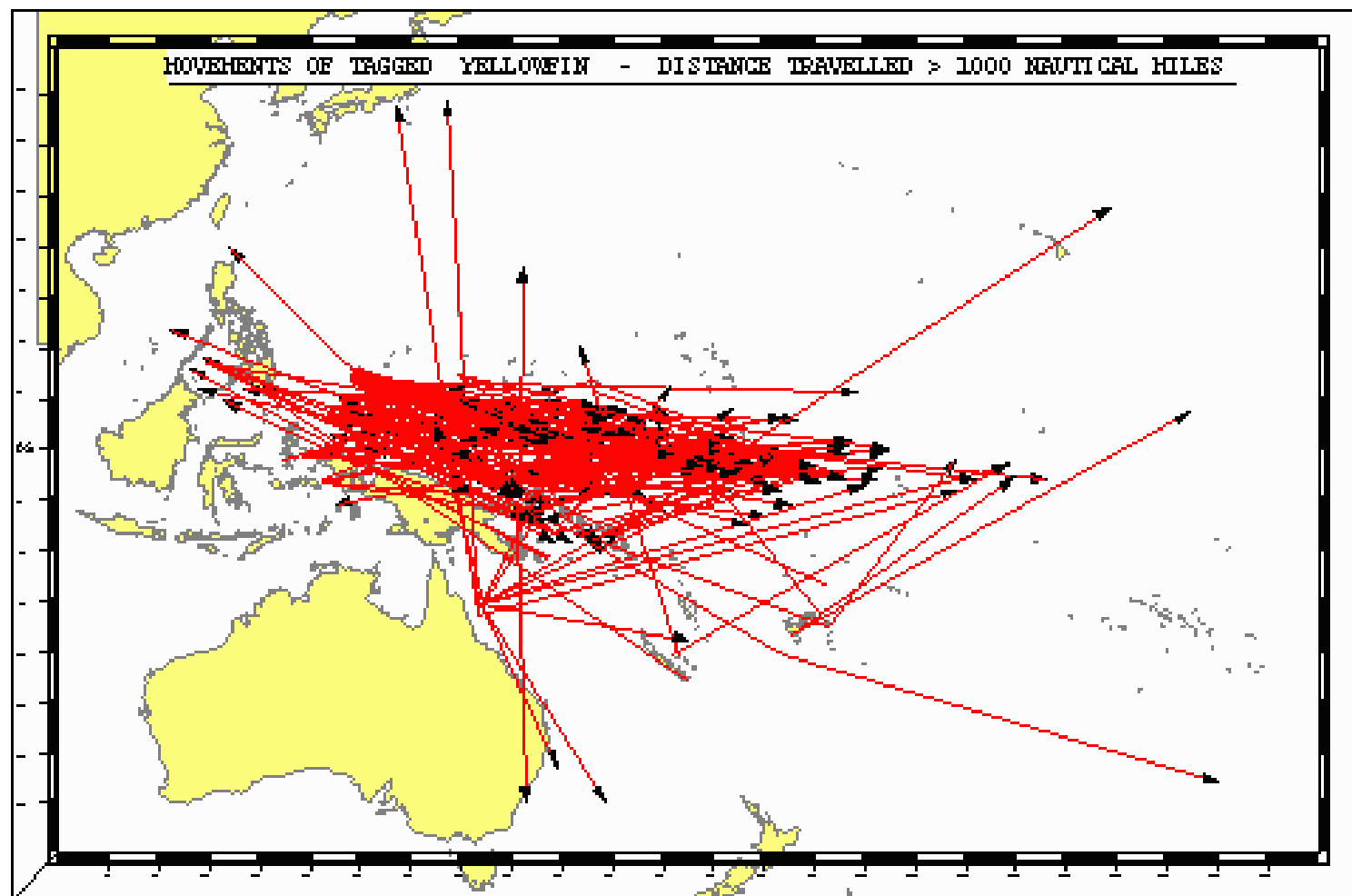
# GLOBAL TRENDS IN THE STATE OF WORLD MARINE FISH STOCKS SINCE 1974

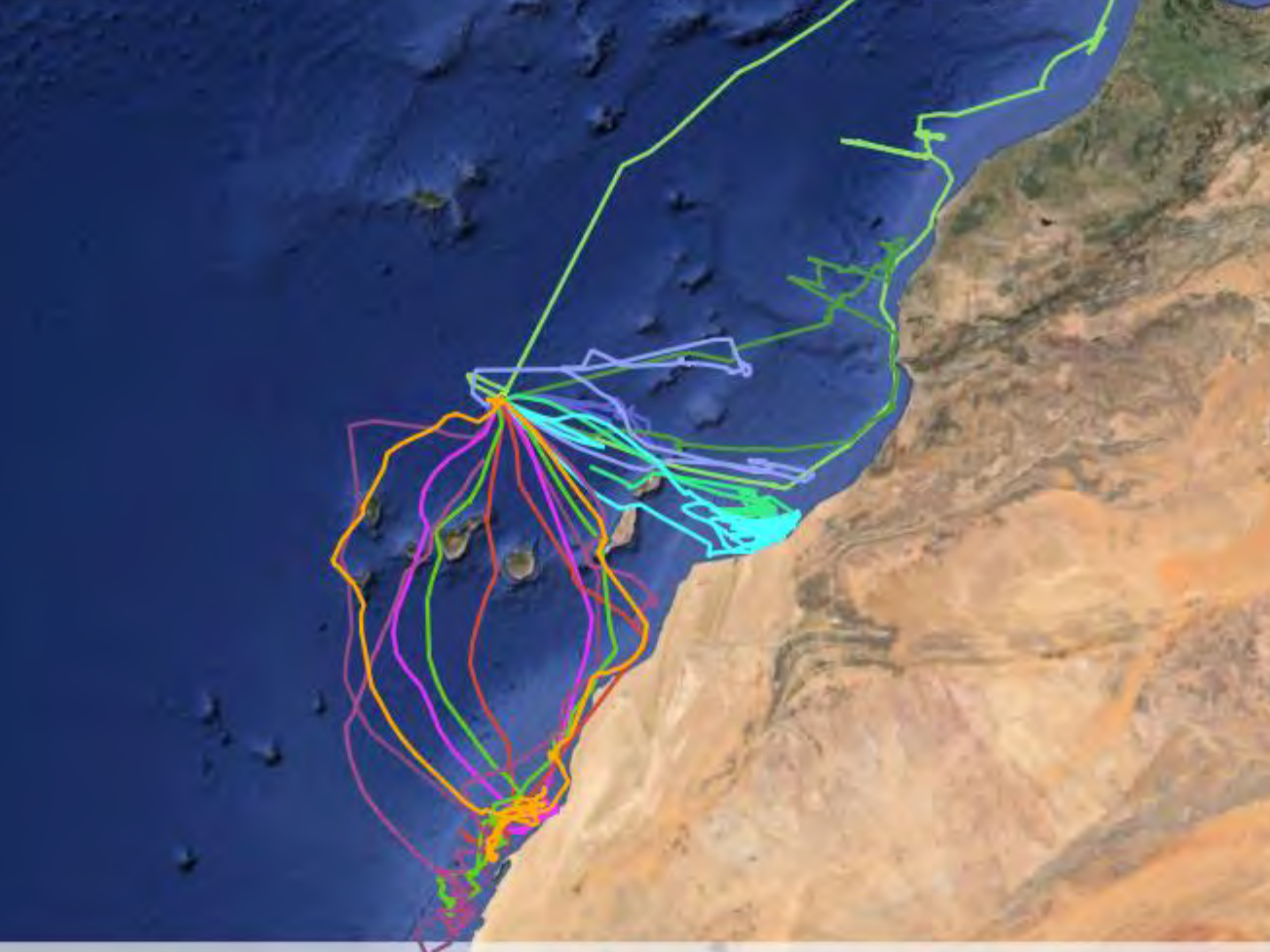


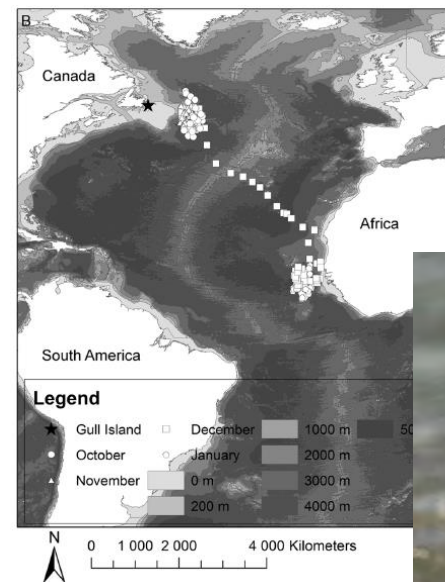
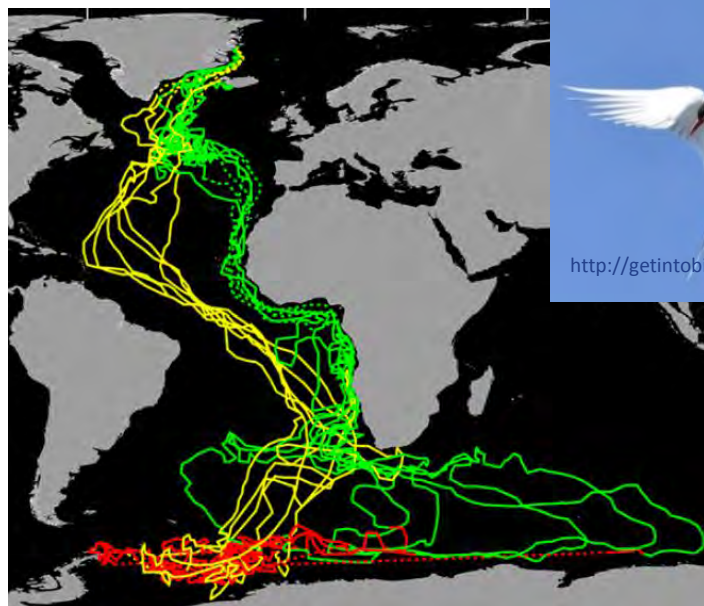
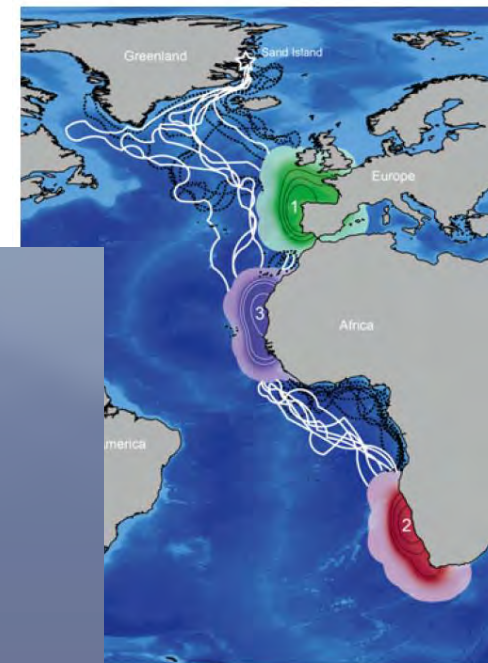
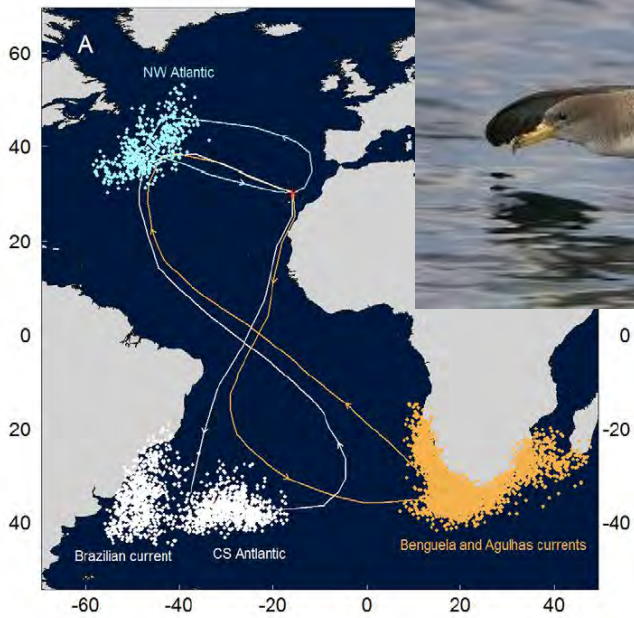
## IUU – celebrity case for strong High Seas governance





















**Search results**  

Datasets 746 [View list](#)  



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Contributors 181 [View list](#)  












Species 113



Points 10,832,184 [Request Download](#)



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**Species**  



Search by name

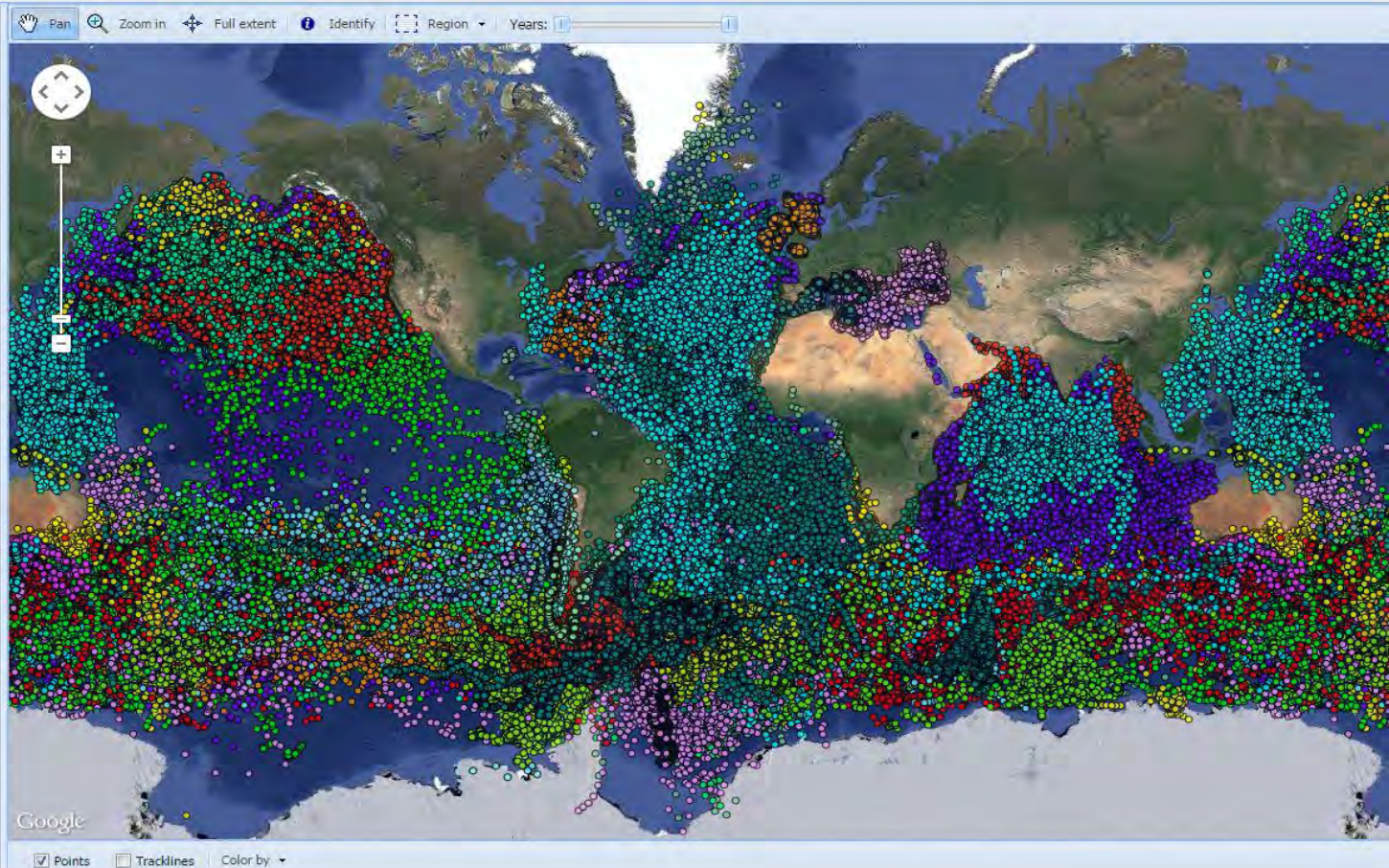
	Species name	Common name	Status
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<input checked="" type="checkbox"/>	 <i>Sterna paradisaea</i>	Arctic Tern	<a href="#">LC</a>
<input checked="" type="checkbox"/>	<b>Phaethontiformes (2)</b>		
<input checked="" type="checkbox"/>	 <i>Phaethon lepturus</i>	White-tailed Tropicbird	<a href="#">LC</a>
<input checked="" type="checkbox"/>	 <i>Phaethon rubricauda</i>	Red-tailed Tropicbird	<a href="#">LC</a>
<input checked="" type="checkbox"/>	<b>Procellariiformes (46) - Select all</b>		
<input checked="" type="checkbox"/>	 <i>Ardenna carneipes</i>	Flesh-footed Shearwater	<a href="#">LC</a>
<input checked="" type="checkbox"/>	 <i>Ardenna gravis</i>	Great Shearwater	<a href="#">LC</a>
<input checked="" type="checkbox"/>	 <i>Ardenna grisea</i>	Sooty Shearwater	<a href="#">NT</a>
<input checked="" type="checkbox"/>	 <i>Ardenna pacifica</i>	Wedge-tailed Shearwater	<a href="#">LC</a>
<input checked="" type="checkbox"/>	 <i>Ardenna tenuirostris</i>	Short-tailed Shearwater	<a href="#">LC</a>
<input checked="" type="checkbox"/>	 <i>Calonectris borealis</i>	Cory's Shearwater	<a href="#">LC</a>
<input checked="" type="checkbox"/>	 <i>Calonectris diomedea</i>	Scopoli's Shearwater	<a href="#">LC</a>
<input checked="" type="checkbox"/>	 <i>Calonectris leucurus</i>	Streaked Shearwater	<a href="#">LC</a>

**Country / Site / Colony of deployment**  

**Age / Status**  

**Device**  

**Exclusive Economic Zones (EEZ)**  



> 11 million positions; 113 species;  
180 contributors

# Resources in ABNJ

- **Living resources !**
  - Fisheries resources
  - Marine genetic resources
  
- **Non-living resources ?**
  - Mineral resources
    - Polymetallic nodules in deep ocean basins
    - Ferromanganese crusts at seamounts
    - Polymetallic sulphides at hydrothermal vents
  - Methane hydrates
  - Phosphates
  - Brine sediment
  - Oil and gas



# Pressures and process in the ABNJ

Some food for thought before we go into the dialogue part of the day...



# Human Activities and Pressures in ABNJ

## Marine Activities

Fisheries

Shipping

Cable laying

Marine research

Offshore installations

Oil and gas extraction

Military exercises

## Potential Future Activities

Deep sea mining?

Marine geoengineering?

Open ocean aquaculture?

Floating islands?

## Related Pressures

Overexploitation

Habitat degradation

By-catch

Ghost fishing

Ship strikes

Underwater noise

Marine litter

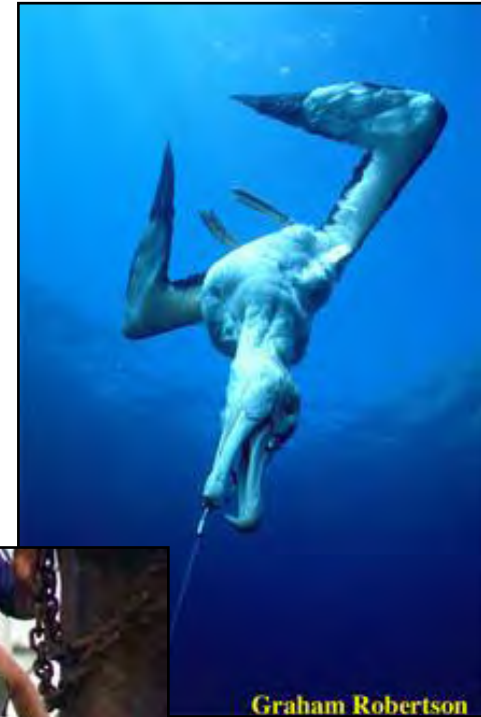
Pollution

Ballast water > invasive species

...

Effects of climate change (ocean warming, ocean acidification, regional circulation changes ...)

# Fishing



Graham Robertson



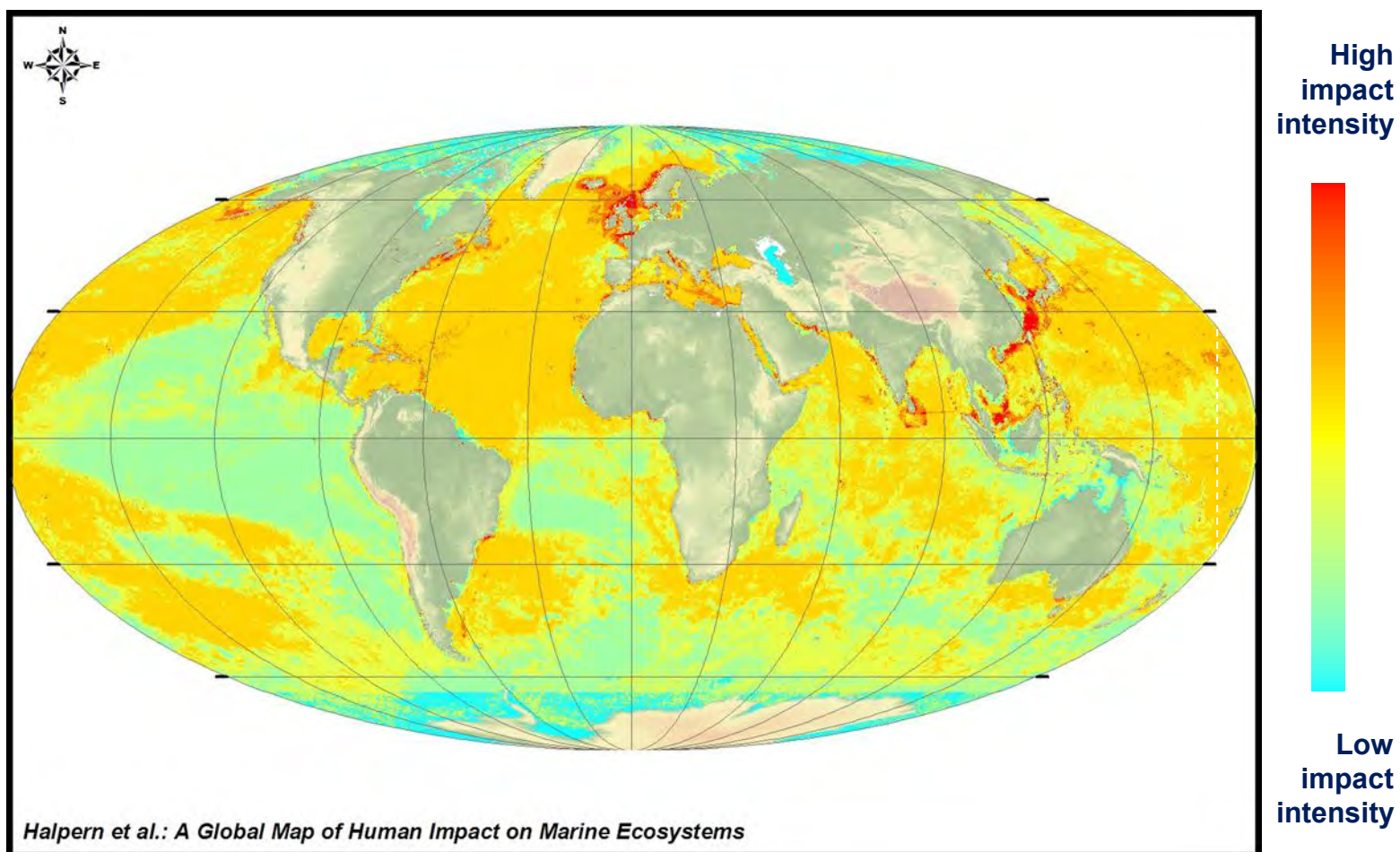
# Marine (Plastic) Litter

- Various land-based & marine-based sources
- Plastic litter accounts for 2/3 of all waste in the oceans; ~13.000 plastic particles per km<sup>2</sup>; between 4.8-12.7 million tonnes of plastic litter reach the oceans annually
- Very slow rate of degradation of most items
- Growing concern: microplastics
- Wide spectrum of increasing environmental, economic, health and cultural impacts





# Cumulative Impacts



Source: Halpern et al. 2008 (*Science* 319)

# Status of the High Seas in the South-East Pacific?

Component of the IKI project STRONG High Seas“:

*Ecological Baseline Assessment for the high seas in the East Atlantic (2018-2019)*

- Status of the marine environment
- Pressures on the marine environment
- Conclusions & Outlook

Workshop discussions as the starting point...

...please contribute!



**THANK YOU!**

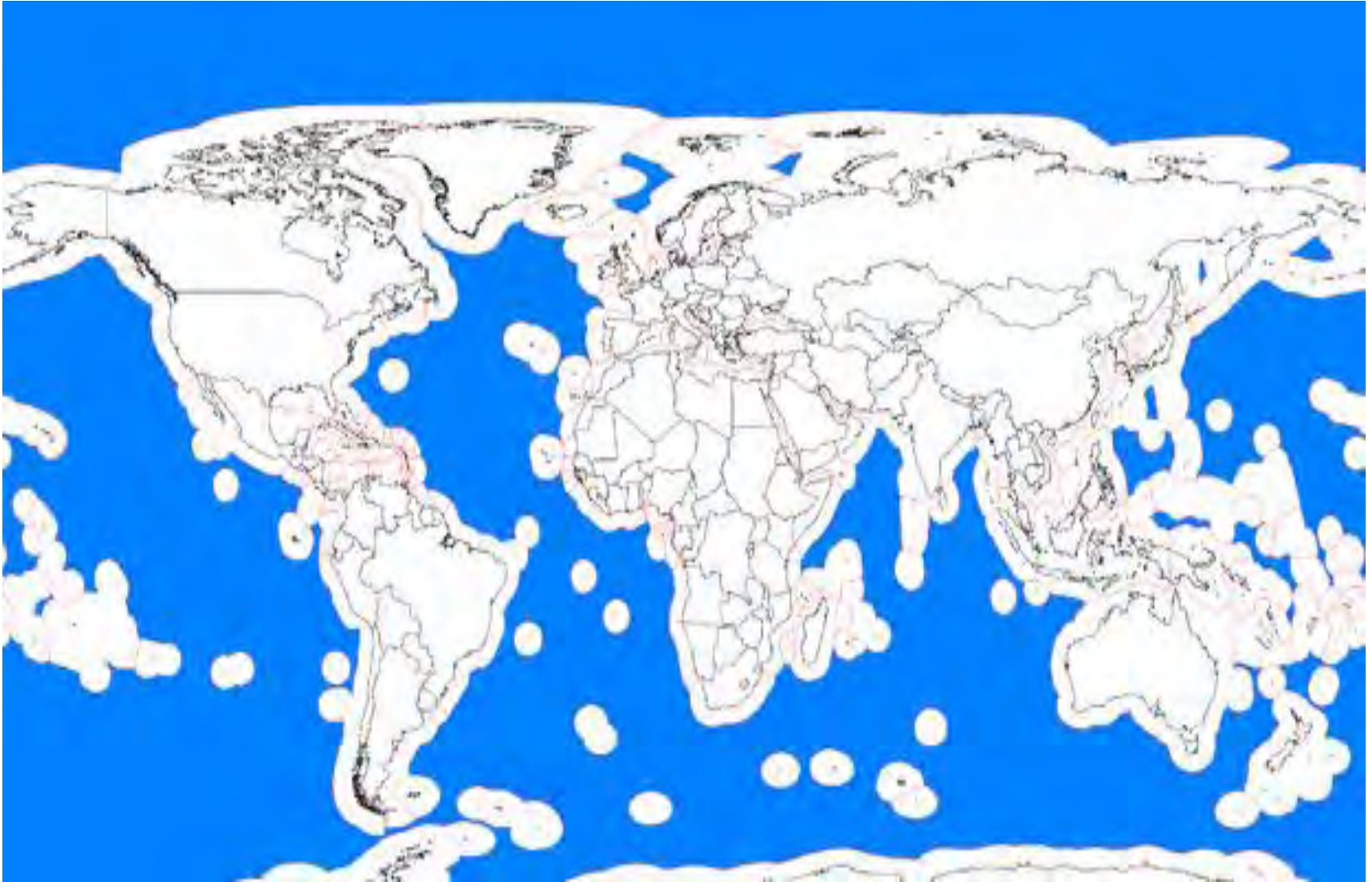




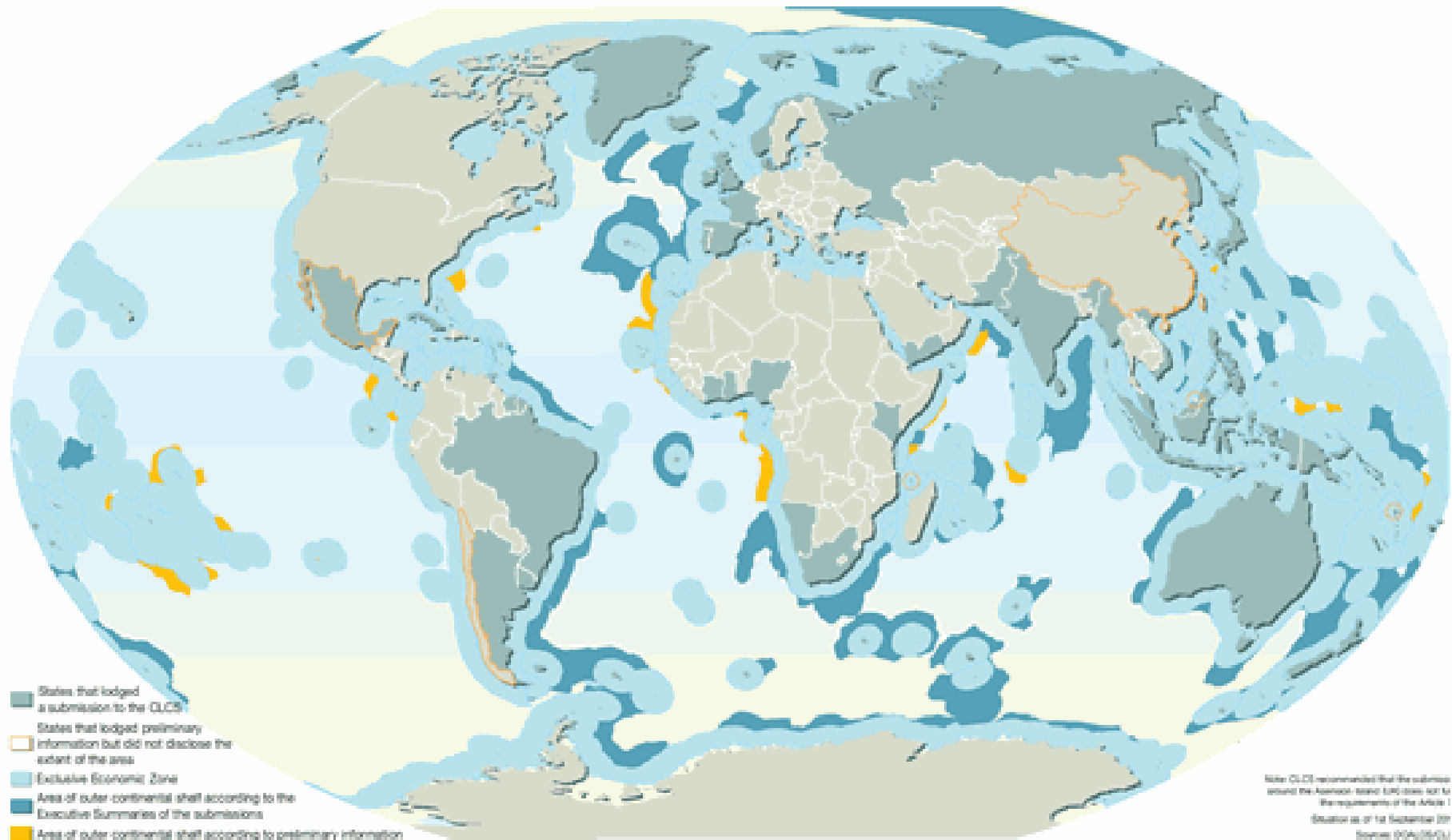
# Gouvernance des espaces marins situés au-delà des juridictions nationales : perspectives globale et régionale

Julien Rochette, Iddri

STRONG High Seas – Atelier 1  
27-28 juin 2018, Abidjan, Côte d'Ivoire

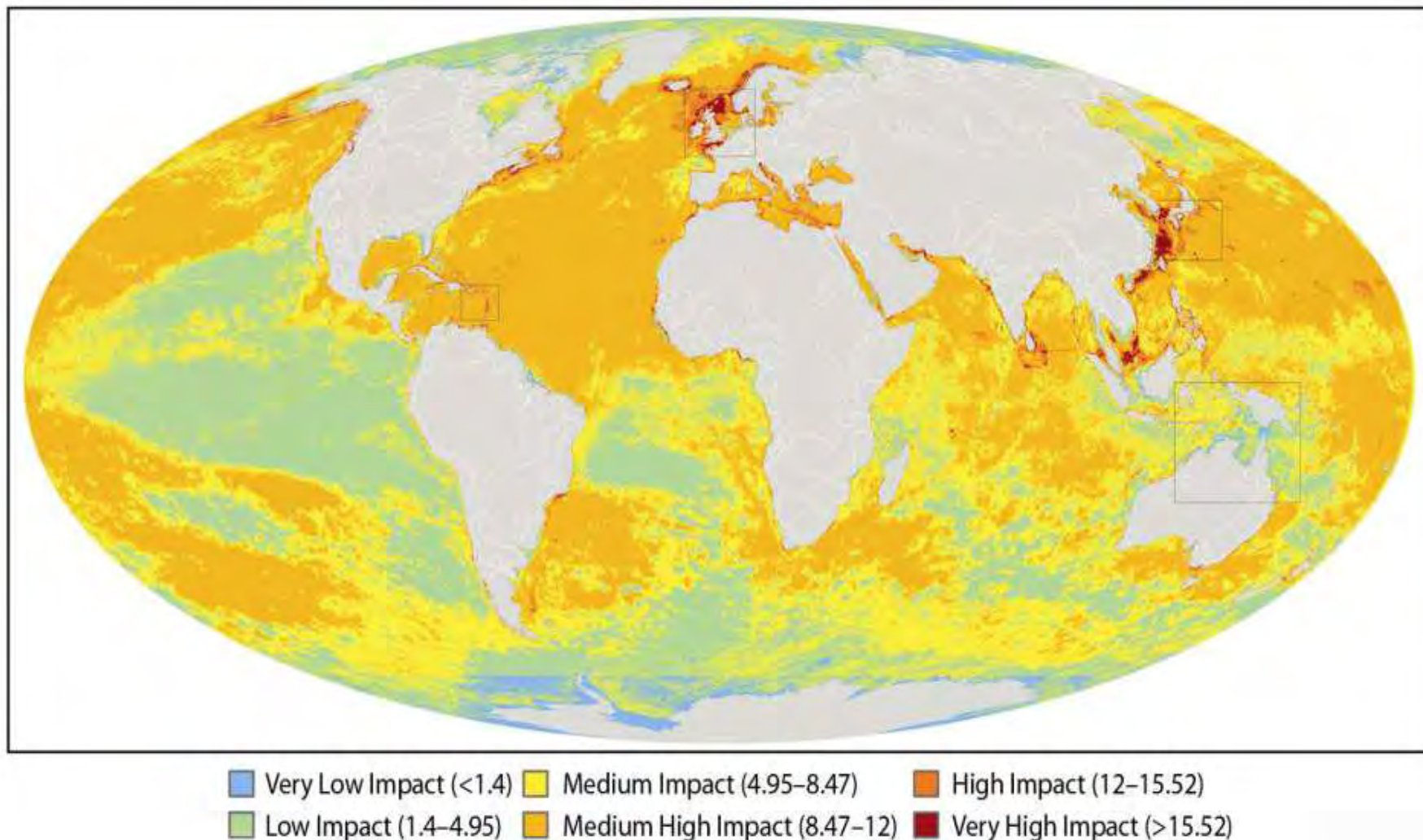


Global distribution of outer continental shelf





# Carte globale des impacts humains sur les écosystèmes marins



**Halpern et al., 2008**

# Des menaces grandissantes

Des activités humaines toujours plus nombreuses et menaçantes

Une biodiversité en danger

Nécessité pour la communauté internationale d'apporter des réponses adaptées



# Plan de l'intervention

1. Le cadre de gouvernance des ZAJN
2. Vers un accord international pour la gouvernance des ZAJN
3. Remarques conclusives, notamment sur les liens entre approches globale et régionale







# **1. Le cadre de gouvernance des ZAJN**

## Approche globale

Convention des Nations unies sur le droit de la mer  
(CNUDM)

Conventions sectorielles (pêche, transport  
maritime, biodiversité...)

## Approche régionale

Conventions régionales

## **“Constitution pour l’Océan”**

- Délimitation des espaces maritimes et règles de protection de l’environnement marin
- 164 Etats parties
- Beaucoup de dispositions considérées comme du droit coutumier

## **Haute mer**

- Régie par un principe de liberté (Partie VII)
- Liberté de navigation, de pêche, de survol, de recherche scientifique...

## **Zone internationale des fonds marins**

- La Zone et ses ressources minérales, patrimoine commun de l’humanité (Partie XI)
- Gestion par l’Autorité internationale des fonds marins (AIFM)

## **Obligations de protection de l’environnement marin (articles 192 et suivants)**



## Approche globale

Convention des Nations unies sur le droit de la mer  
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Conventions sectorielles (pêche, transport  
maritime, biodiversité...)

## Approche régionale

Conventions régionales

## Conventions sectorielles

**Navigation** : Règles en matière de sécurité maritime ; Convention MARPOL ; Zones maritimes particulièrement vulnérables

**Pêche** : Accord de 1995 sur les stocks chevauchants ; Code de conduite de la FAO pour une pêche responsable ; FAO Guidelines ; Directives internationales sur la gestion de la pêche profonde en haute mer

**Ressources minérales** : Accord de 1994 sur la Partie VI CNUDM ; Code d'exploitation minière en cours d'élaboration

**Immersion** : Convention et Protocole de Londres (1972 / 1996) ; Résolution sur la fertilisation des océans (2008)

**Protection de la biodiversité** : Convention sur la diversité biologique ; Convention sur les espèces migratrices ; Convention sur le commerce international des espèces de faune et de flore sauvages menacées d'extinction

# Conventions sectorielles

Accord / Organisation	Outils de gestion par zone	Exemples
Accord relatif à l'application de la Partie XI de la CNUDM	<b>Zones présentant un intérêt écologique particulier (ZIEM)</b>	9 ZIEM dans la zone Clarion-Clipperton
MARPOL 1973 / 1978	<b>Zones spéciales</b>	Méditerranée ; Atlantique
Lignes directrices OMI	<b>Zones maritimes particulièrement vulnérables (ZMPV)</b>	Galápagos ; Grande barrière de Corail
Convention baleinière	<b>Sanctuaires</b>	Océan Indien ; Océan austral
Convention UNESCO sur le patrimoine mondial, culturel et naturel	<b>Sites du patrimoine mondial</b>	46 sites marins



## Approche globale

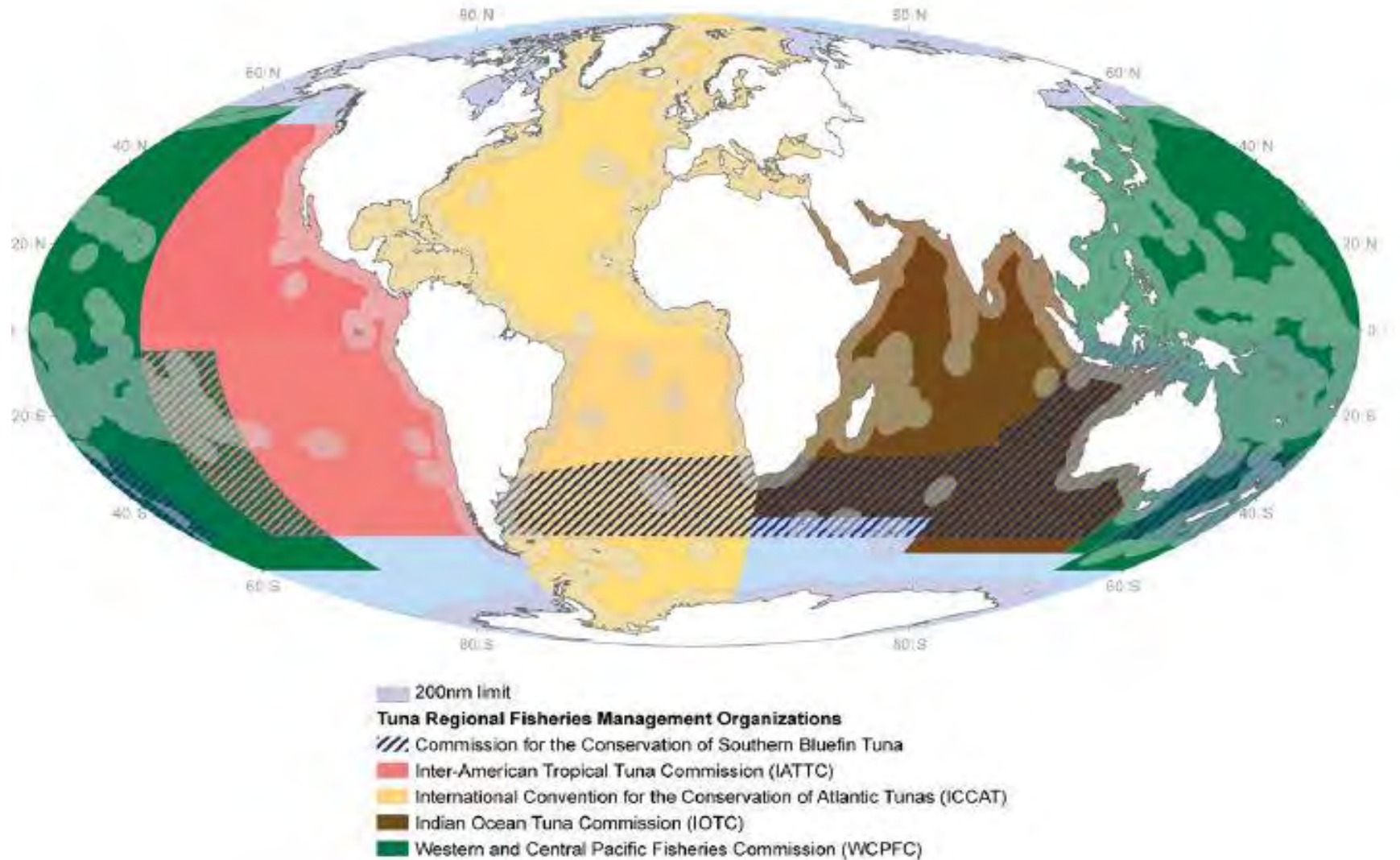
Convention des Nations unies sur le droit de la mer  
(CNUDM)

Conventions sectorielles (pêche, transport  
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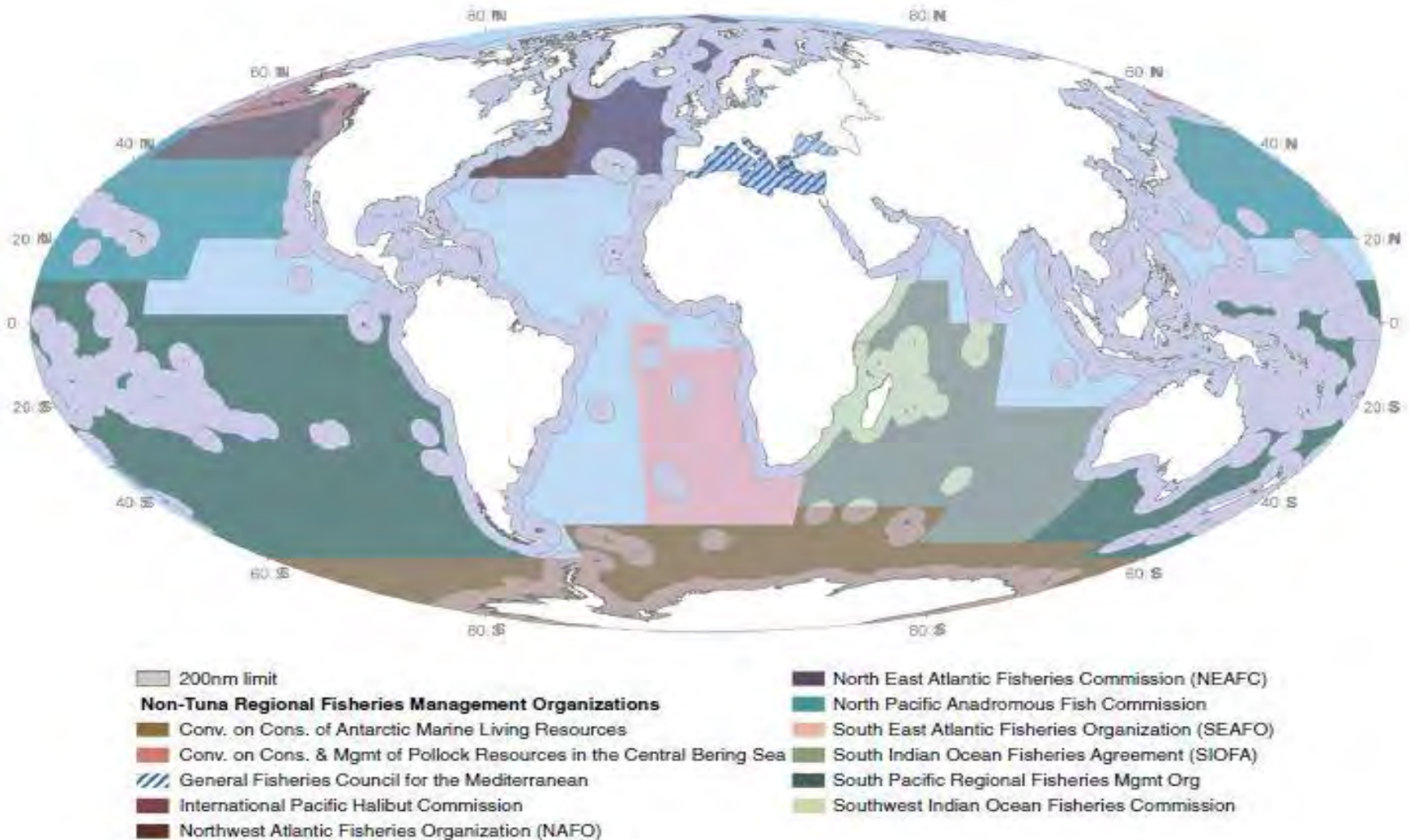
## Approche régionale

Conventions régionales

# Conventions régionales



# Conventions régionales





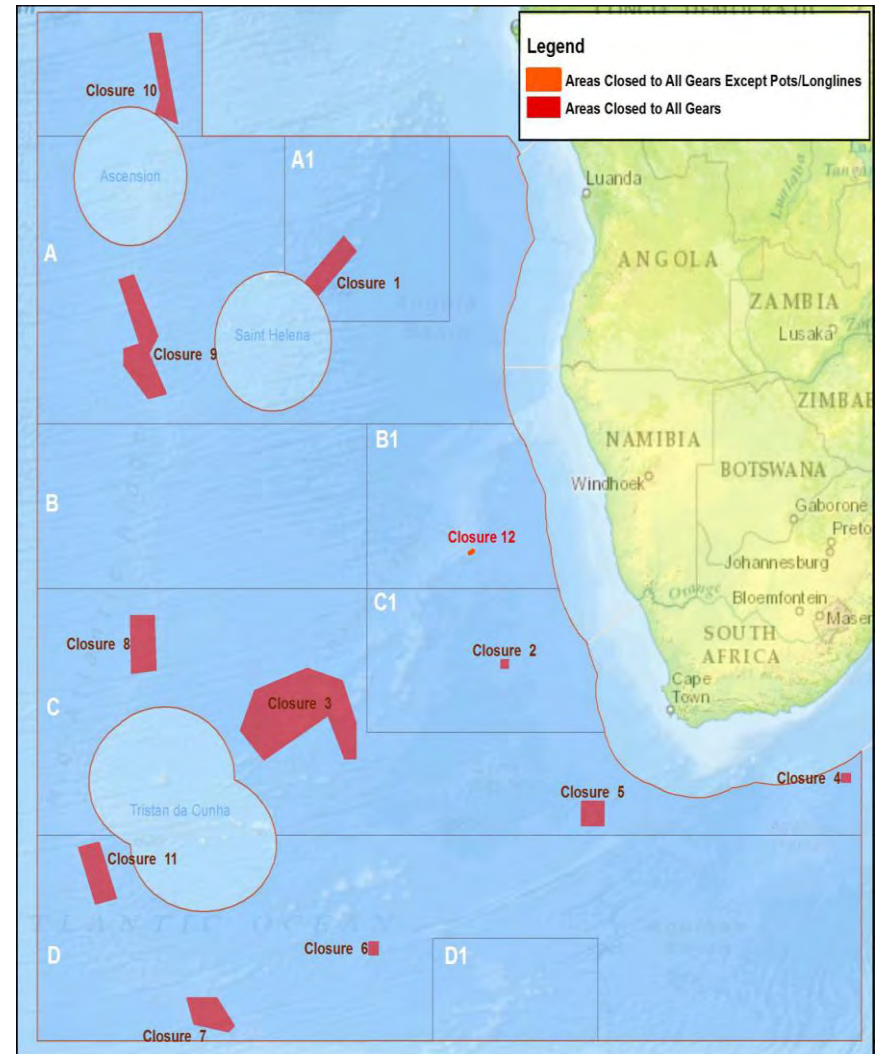
# Conventions régionales

Résolutions de l'AGNU 61/105 (2006) et 64/72 (2009)

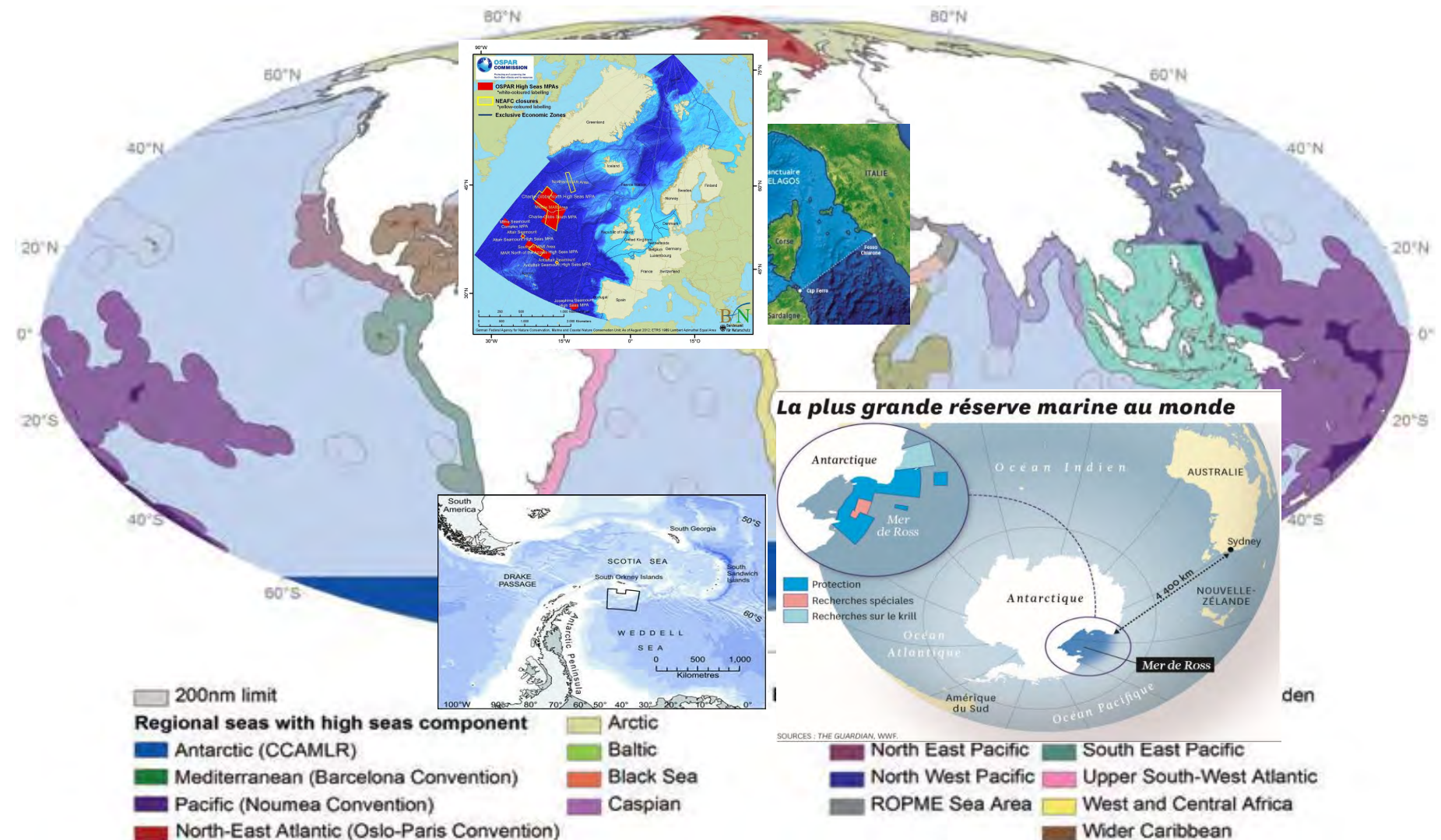
Directives internationales sur la gestion de la pêche profonde en haute mer, adoptées par la FAO en 2008

Protection des écosystèmes marins vulnérables

Evaluations scientifique (Rogers et al., 2010) et politique (AGNU, 2011)



# Conventions régionales



Ban et al. 2014

# Conventions sectorielles

## Intérêts

Avancées régionales lorsque les discussions globales sont en cours

Développement des connaissances scientifiques et identification des menaces sur la biodiversité

Inspiration pour les autres mers régionales

- Convention de Nairobi
- Commission permanente du Pacifique Sud
- Convention d'Abidjan

## Limites

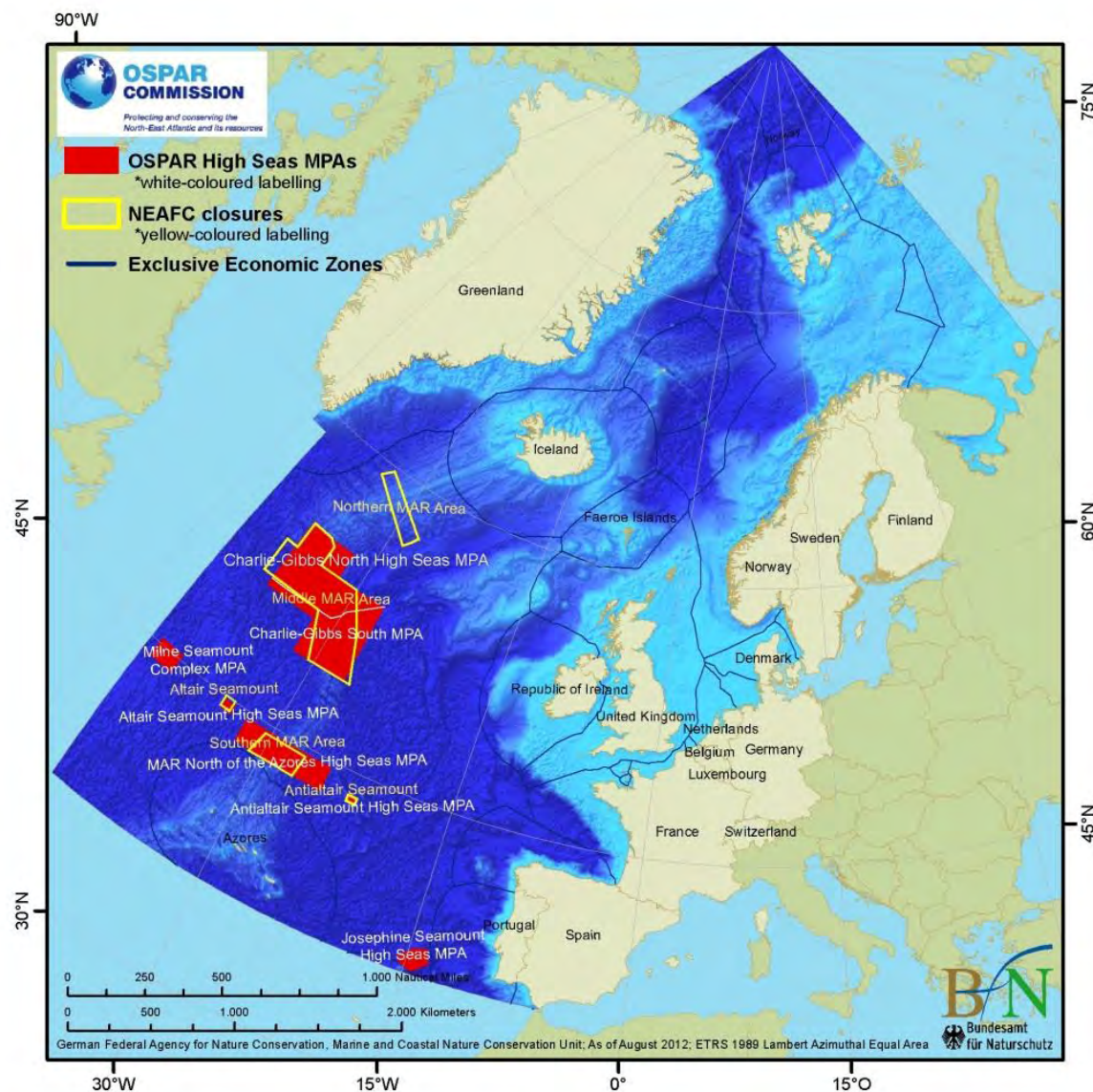
Portée juridique limitée

Difficulté de coordination entre autorités compétentes :

- Fragmentation de la gouvernance des océans : nécessité d'une coordination entre autorités compétentes (ORP, AIFM, OMI...) pour gestion multi-sectorielle
- Arrangement collectif OSPAR



# Conventions sectorielles



## Conclusion

Un cadre de gouvernance fragmenté

Plusieurs instruments juridiques, plusieurs organisations compétentes, plusieurs échelles de gouvernance

Peu de coordination entre organisations compétentes

Des vides juridiques







## **2. Vers un accord international pour la gouvernance des ZAJN**



# 2004 : Création du BBNJ WG

2004 : création du BBNJ WG

1<sup>er</sup> clivage

Meilleure mise en œuvre des instruments existants

Vs

Adoption d'un nouvel accord multilatéral



# 2011 : Package Deal



Ressources génétiques  
marines, y compris le partage  
des avantages

Outils de gestion par zone, y  
compris aires marines  
protégées (AMP)

Etudes d'impact  
environnemental (EIE)

Renforcement des capacités et  
transfert des techniques  
marines



## 2012 : Rio +20



Rio + 20, §162 : « (...) nous nous engageons à nous attaquer d'urgence (...) notamment en prenant une décision sur l'élaboration d'un instrument international » avant fin 2015

AGNU: 3 réunions du BBNJ WG en 2014 et 2015 sur l'étendue, les paramètres et la faisabilité d'un accord

Fin 2015, encore beaucoup de dissensions : création d'un Comité préparatoire





# 2016 – 2017 : Comité préparatoire

3 réunions du Comité préparatoire

Rapport transmis à l'Assemblée  
générale des Nations unies

24 décembre 2017 : Résolution de  
l'Assemblée générale des Nations  
unies ouvrant une conférence  
intergouvernementale pour  
l'élaboration d'un instrument  
juridique contraignant



# Organisation des négociations

Avril 2018 : réunion technique

4-17 septembre 2018 : 1<sup>er</sup>  
round de négociation

2 réunions en 2019

1 réunion en 2020







### **3. Remarques conclusives**



# Contenu du futur accord



## Composantes du Package Deal

- Ressources marines génétiques
- Outils de gestion par zone, y compris AMP
- EIE
- Renforcement des capacités et transfert de techniques marines

## Dispositions transversales et arrangements institutionnels

- Organes de l'accord (COP, comité scientifique...)
- Rôle des organisations existantes, globales comme régionales

# Liens entre approches globale et régionale



**L'ouverture des négociations ne rend pas caduque le développement des initiatives régionales**

- L'Assemblée générale du PNUE invite en 2016 les Parties contractantes aux conventions de mers régionales à envisager l'extension de la couverture géographique de ces instruments, dans le respect du droit international

**Un rôle sera probablement confié aux organisations régionales dans la mise en œuvre de certains volets de l'accord**

- AMP, par exemple

**Vous ne perdez pas votre temps, vous préparez l'avenir !**



**Merci**

**[julien.rochette@iddri.org](mailto:julien.rochette@iddri.org)**

**IKI STRONG High Seas project  
[prog-ocean.org/our-work/strong-high-seas/](http://prog-ocean.org/our-work/strong-high-seas/)**

**<https://www.iddri.org/fr/publications-et-evenements/haute-mer>**



# **STRONG High Seas - Dialogue Workshop 1**

## ***Opportunities for Strengthening Ocean Governance in the Southeast Atlantic***

***Overview from Southeast Atlantic region perspectives  
with regard to ABNJ.***

**27-28 June 2018, Abidjan, Côte d'Ivoire**

*Abou Bamba*



# OUTLINE

1. National and/or regional interests in ABNJ
2. Issues (environmental, social and economic) that affect those interests
3. National and regional initiatives put in place to address those issues
4. Factors that constrain the effectiveness of those initiatives
5. Ideas and perspectives since COP11 decision # 10 and on how to improve the situation in the region
6. Lessons drawn from coastal management and governance, and in the management of resources in the EEZs, which would be useful to apply in the management and governance of ABNJ in the region



# 1. Regional interests in ABNJ

- **Fishing**
- **Shipping**
- **Deep sea mining**
- **Telecommunications**
- **Marine genetic resources**
- **Carbone capture/storage**
- **Offshore oil and gas**
- **Acquaculture / Sea farming**
- **Security**





## 2. Issues (environmental, social and economic) that affect those interests

- **Information, knowledge and capacity**
- **No control over the resources**
- **Competition with developed nations**
- **Poverty is still at an unacceptable level**
- **Threats to marine biodiversity**



### 3. Regional initiatives put in place to address those issues

- **Decision - CP. 11/10. Conservation and Sustainable use of the Marine Biodiversity of the Areas Located beyond National Jurisdictions**
- **Africa Group statement at the 8th Ad-Hoc Open-ended Informal Working Group to study issues relating to the conservation and sustainable use of marine biological diversity beyond areas of national jurisdiction (i.e: common heritage of mankind principle + technical capabilities + transfer of marine technology**



## 4. Factors that constrain the effectiveness of those initiatives

- No coherent regional framework
- Lack of capacities
- Lack of financial means
- No ocean governance mechanisms





# 5. Ideas to improve the situation in the region

- Establish comprehensive regional ocean governance mechanism
- Capacity building and transfer of maritime technology
- Learning from regions which have an « experience » on the subject (e.g: OSPAR)
- Focusing on the socio-economic aspects



# 6. Lessons drawn from the management of resources in the EEZs, which would be useful to consider in the management and governance of ABNJ in your region



- **There is no regional approach or mechanism for EEZ management**
- **National maritime boundaries delineation is an issue**
- **EEZ seems far away**
- **ABNJ is even further**



# **Abidjan Convention (ABC) Working Group on (ABNJ).**





# Abidjan Convention (ABC) Working Group on (ABNJ).



- **Background**

- **Decision CP. 11.10**

- **Creation**

- *study all aspects of the conservation and sustainable use*

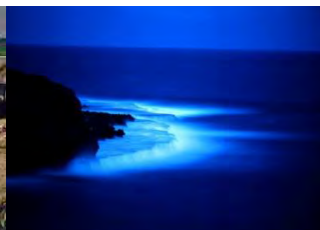
- **Partnership**

- **Scoping workshop**

- **Preparation of ToR**

- **Approval by the COP**

- **Reference documents ( AIMS 2050, UA Agenda 2063)**



# Abidjan Convention (ABC) Working Group on (ABNJ).



- **Terms of Reference**

- *Members / Composition*

Parties, Non-Parties, Technical Cooperation Partners, etc

- *Tasks (5)*

(i) issues to be studied, (ii) studies, (iii) capacity building, (iv) technical reports, (v) recommendations to COP

- *Financial Resources*



# Abidjan Convention Working Group on (ABNJ). (C'd)

- **Programme of Work**

- **Basic Principles**

- Post 2015 agenda
    - Ecosystem based approach
    - Regional approach
    - Involvement of all stakeholders





# Abidjan Convention Working Group on (ABNJ). (C'd)

## • Elements of the Programme of Work

- *Programme Element 1: Area-based management tools*
- *Programme Element 2: ABNJ Implementing Agreement under UNCLOS*
- *Programme Element 3: Capacity-building*



THANK YOU!





**STRONG High Seas**  
**Strengthening Regional Ocean**  
**Governance for the High Seas**

**Ben Boteler (IASS)**



# STRONG High Seas Project

## Strengthening Regional Ocean Governance for the High Seas

- 5-year project (June 2017 – May 2022)
- Funded by the German Federal Ministry for the Environment, Nature Conservation, Building and Nuclear Safety (BMUB) through the International Climate Initiative (IKI)
- Project Coordination: Institute for Advanced Sustainability Studies (IASS)



ABIDJAN CONVENTION  
CONVENTION D'ABIDJAN



IDDRI



International Ocean Institute  
African Region



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Federal Ministry  
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based on a decision of the German Bundestag

# Project partners



- |  |  |
|--|--|
| ① Institute for Advanced Sustainability Studies (IASS)                     | ⑤ Universidad Católica del Norte (UCN) |
| ② Institute for Sustainable Development and International Relations (IDDR) | ⑥ WWF Colombia                         |
| ③ BirdLife International   | ⑦ WWF Germany                          |
| ④ International Ocean Institute (IOI) – Southern Africa                    |  |

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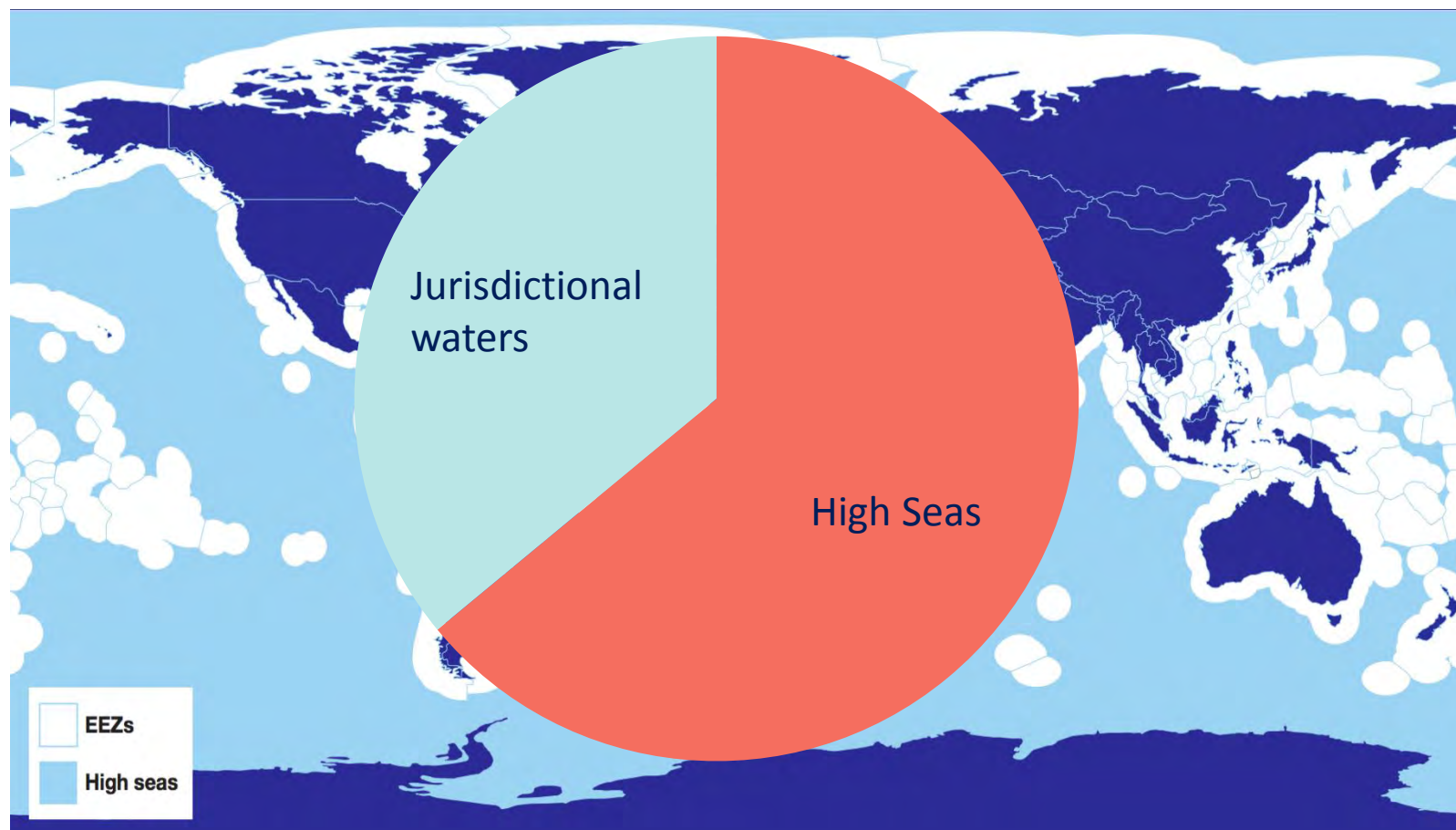
# Why STRONG High Seas?



Credit: Sumaila et al. (2014)

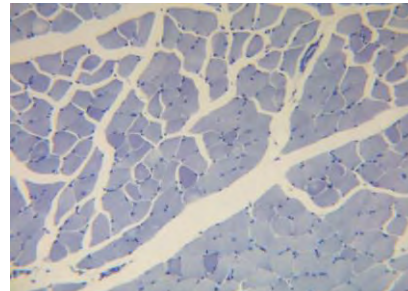


# Why STRONG High Seas?



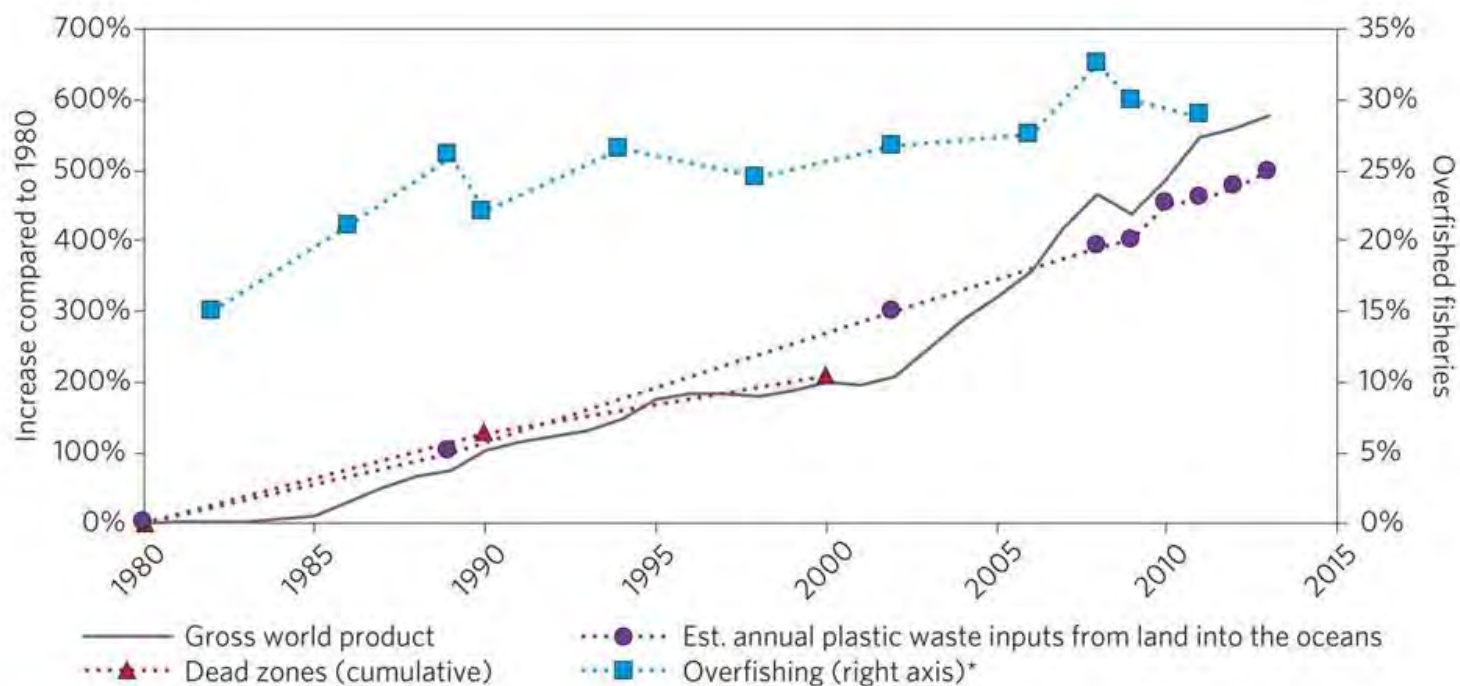
Credit: Sumaila et al. (2014)

# Human uses





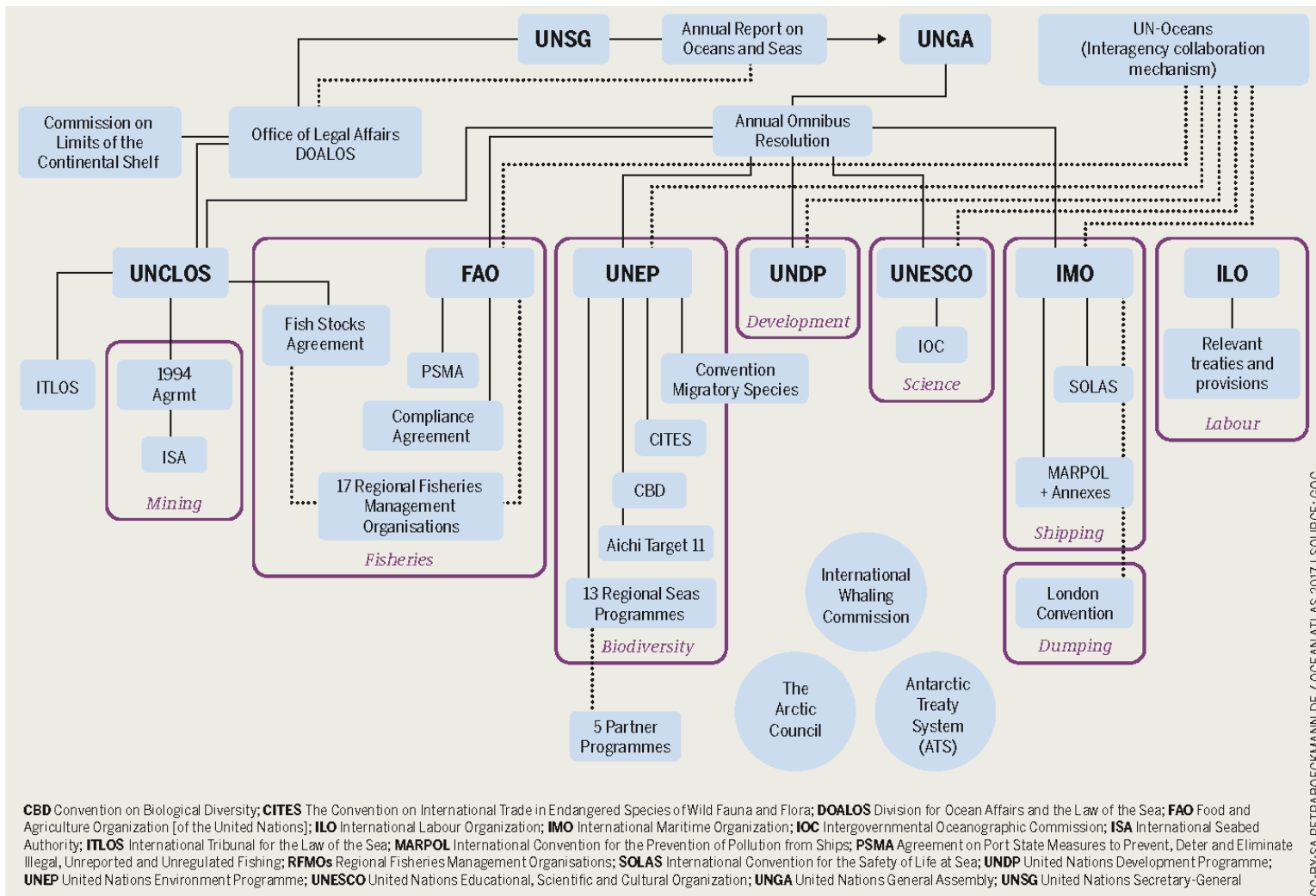
# Human uses of the sea



\*Percentage of assessed fish stocks that are fished at biologically unsustainable levels according to FAO<sup>16,23</sup>.

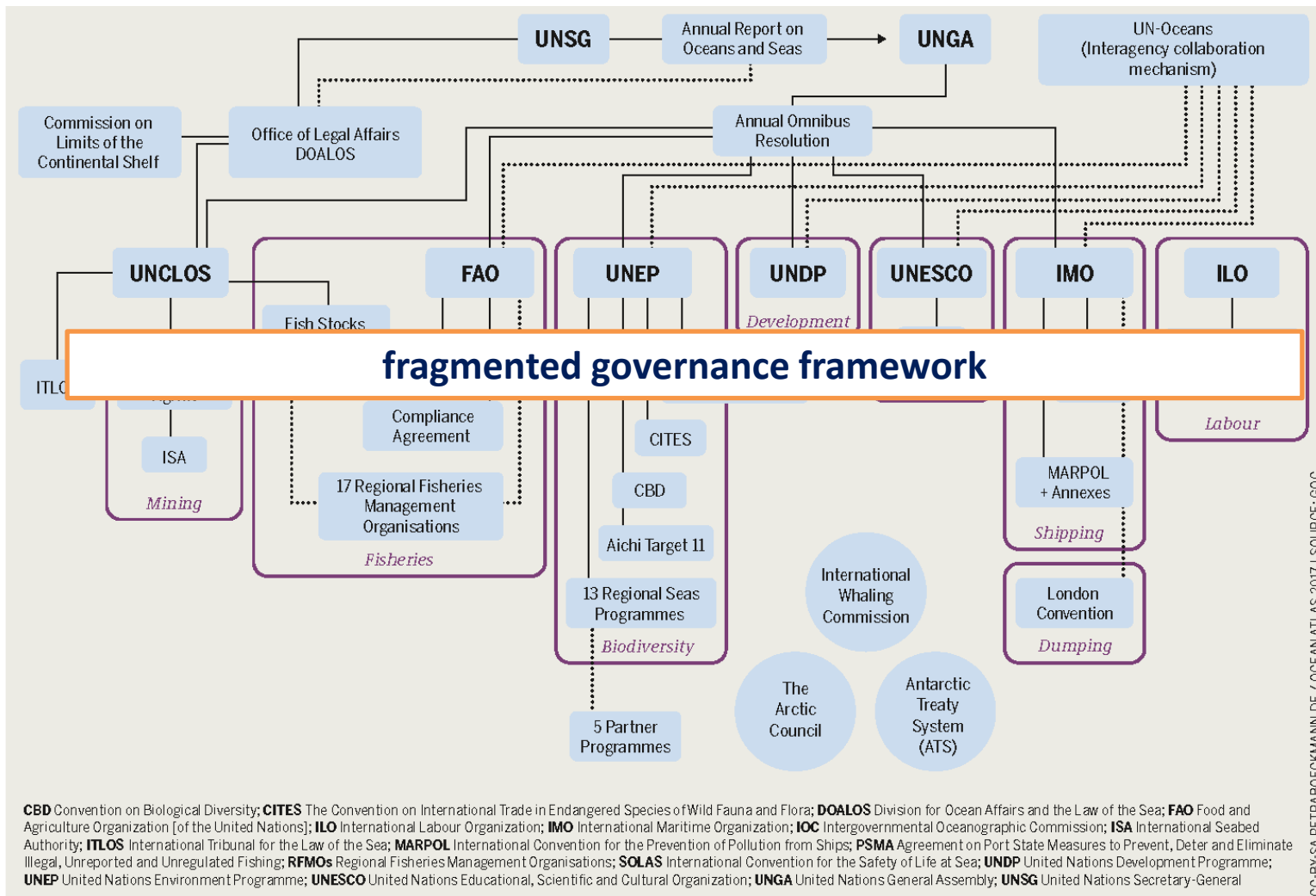


# How we manage the high seas



CC-BY-SA PETRABECKMANN.DE / OCEAN ATLAS 2017 | SOURCE: GOC

# How we manage the high seas

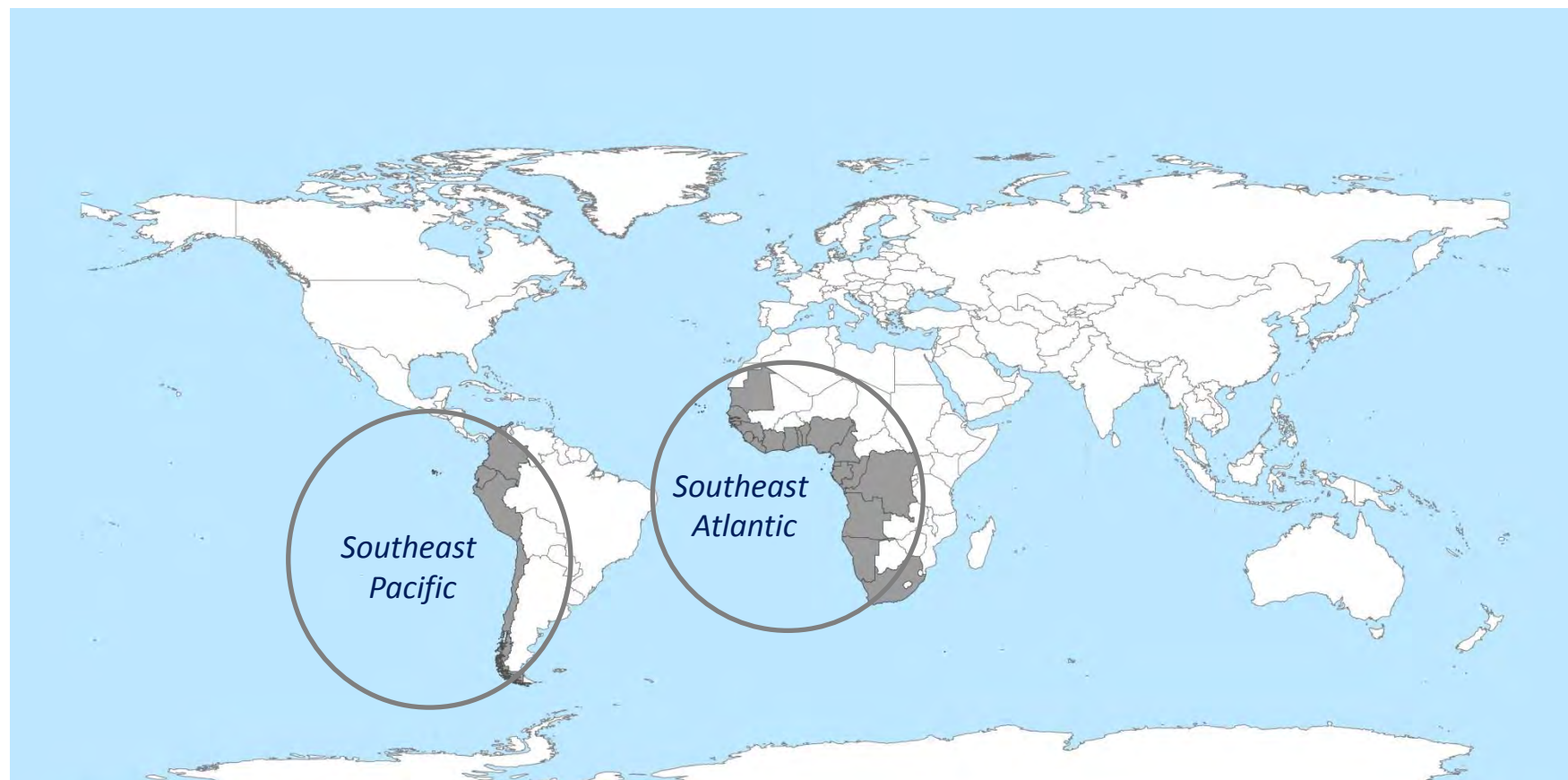


# Objectives of STRONG High Seas

- Identify best practices and provide support to regional institutions and national authorities for implementing existing regional instruments;
- Facilitate the development of improved or new management approaches for the conservation and sustainable use of biodiversity in areas beyond national jurisdiction in the Southeast Pacific and Southeast Atlantic regions;
- Develop options for regional governance in a future international instrument under UNCLOS and transfer regional lessons learned to the global level to promote ocean governance.

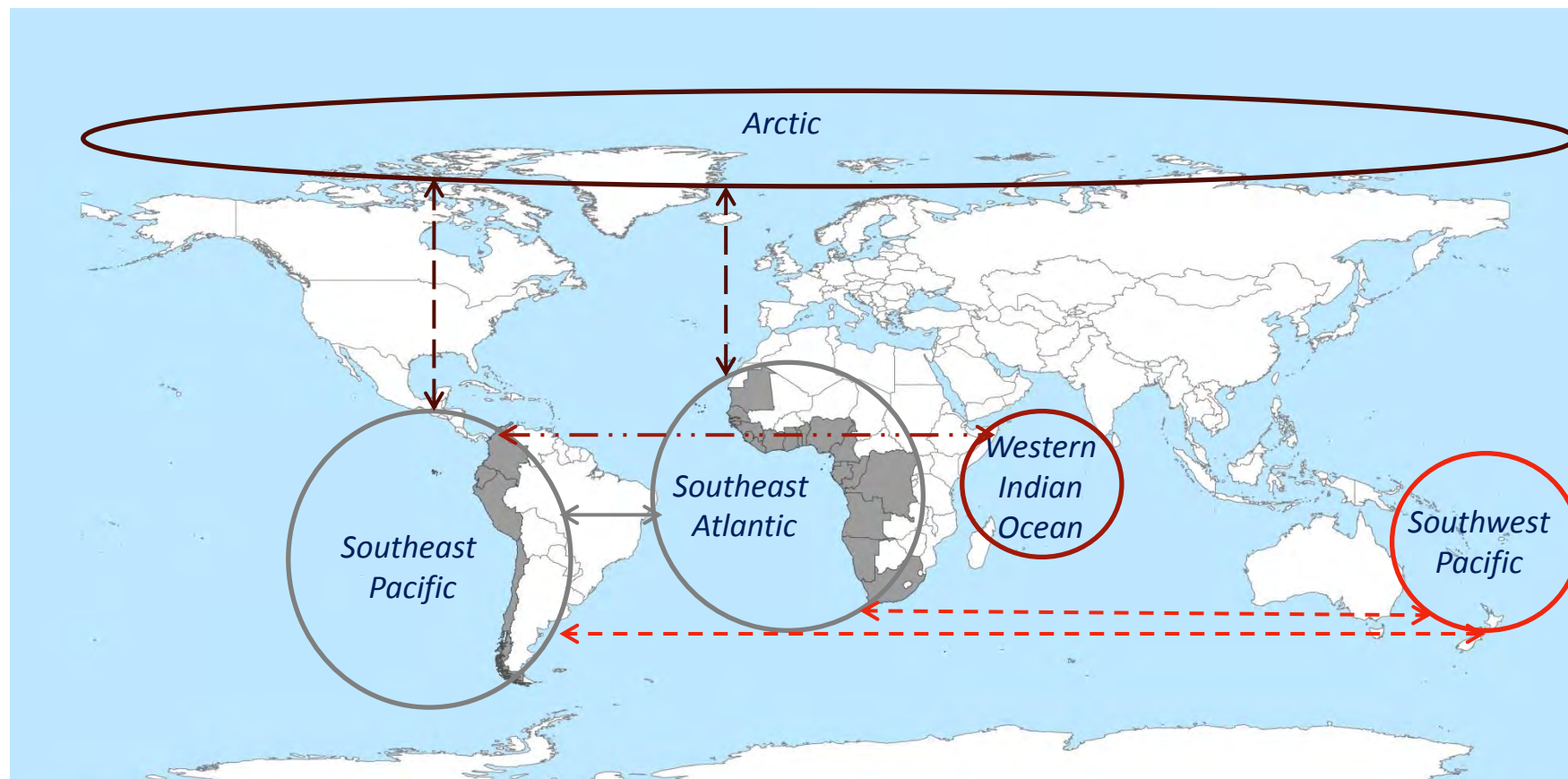


# Dialogue within marine regions



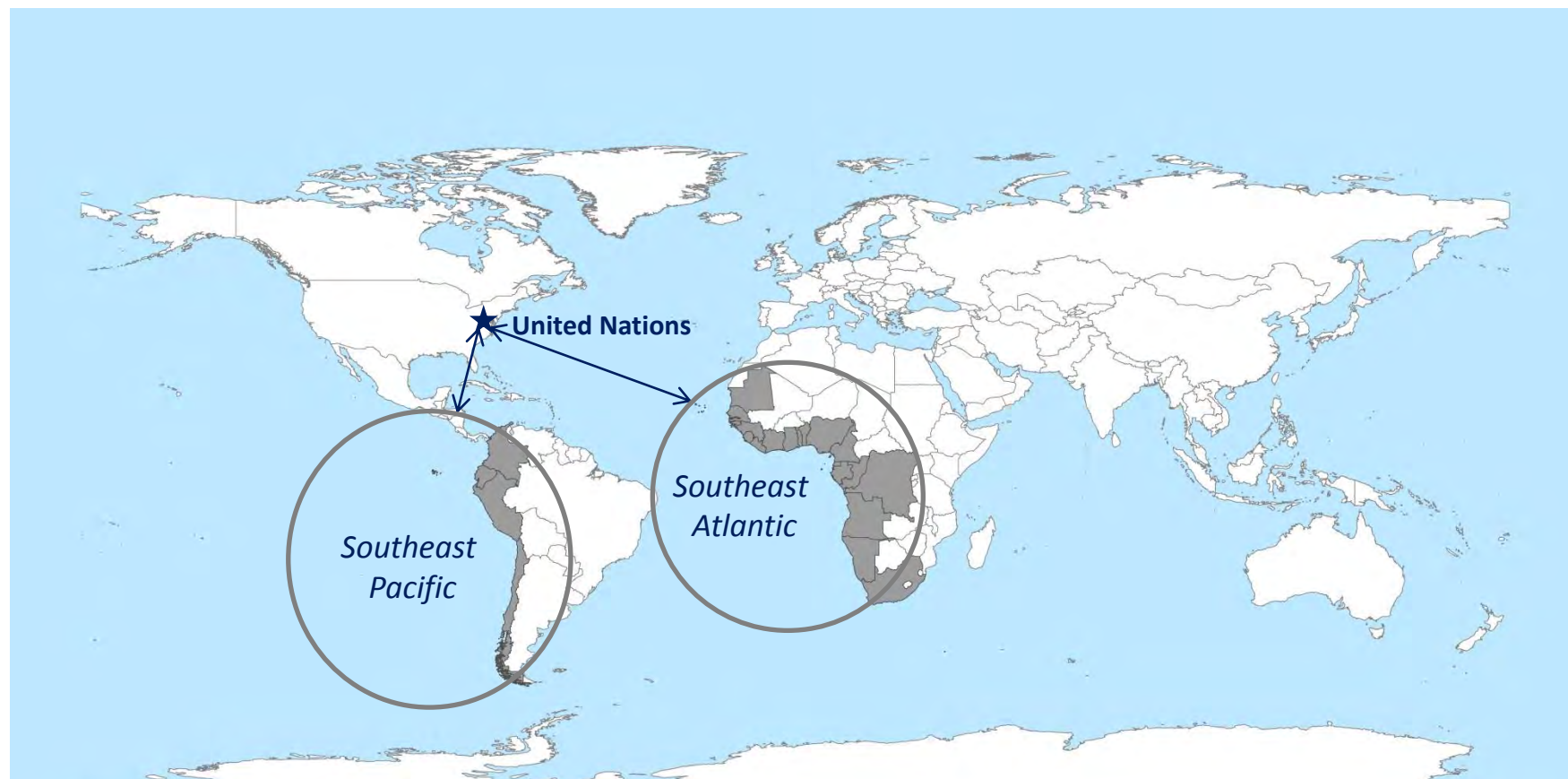
Credit: ESRI (2008): World countries 2008. ESRI Data & Maps

# Dialogue between marine regions



Credit: ESRI (2008): World countries 2008. ESRI Data & Maps

# Linking regional and global governance



Credit: ESRI (2008): World countries 2008. ESRI Data & Maps



# Co-developed scientific assessments

Legal and institutional assessments

State of the high seas (ecological baselines and human pressures)

Socioeconomic assessment of human activities

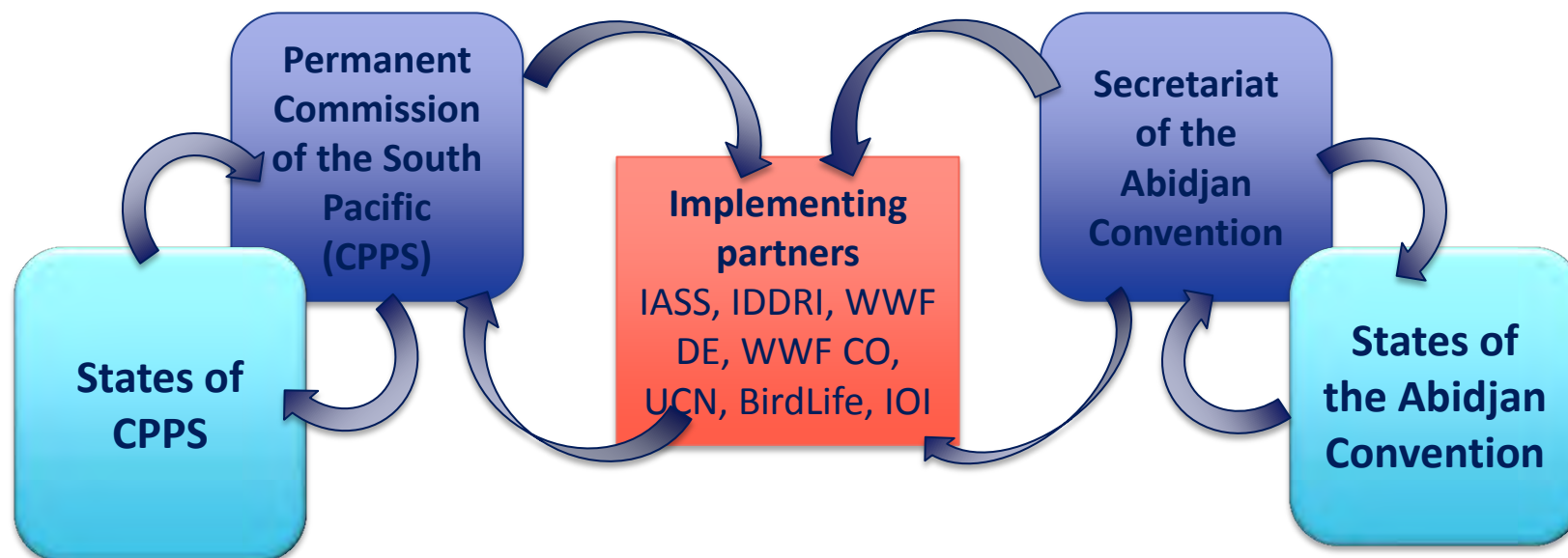
Management measures



Opportunities for Strengthening Ocean Governance in the Southeast Atlantic – Ben Boteler (IASS)



# Collaborative approach



## Collaboration with the Southeast Atlantic

Identification of topics for in-depth assessment

Co-design of regional specific scientific assessments (project outputs)

Data and information exchange

Review and evaluation of project relevant outputs

Co-development of joint workshops and trainings

Ongoing exchange (stakeholder platform)

# Collaborative approach



## Collaboration with the Southeast Atlantic

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Data and information exchange

Review and evaluation of project relevant outputs

Co-development of joint workshops and trainings

Ongoing exchange (stakeholder platform)



# Objectives of the two day workshop

Characterise the current status and challenges for ocean governance in the Southeast Atlantic

Identify and define key interests and challenges for ocean governance in the Southeast Atlantic

Prioritise current and future needs for strengthening ocean governance and identify pathways for successfully linking regional needs and opportunities with a global vision of ocean governance.

Take initial steps towards fostering exchange between relevant actors and stakeholders in the Southeast Atlantic.

Identify and assess opportunities, challenges, overlaps and gaps in the current legal and institutional framework in the Southeast Atlantic.

# Thank you



Supported by:



based on a decision of the German Bundestag



Ben Boteler  
Co-Lead, STRONG High Seas  
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D – 14467 Potsdam  
Web: [www.iass-potsdam.de](http://www.iass-potsdam.de) & [www.prog-ocean.org/](http://www.prog-ocean.org/)

# STRONG HIGH SEAS-DIALOGUE WORKSHOP 1 – A PREPARED STATEMENT ON OPPORTUNITIES FOR STRENGTHENING OCEAN GOVERNANCE IN THE SOUTH EAST ATLANTIC.

HELD IN ABIDJAN COTE D'IVOIRE ON THE 27<sup>TH</sup> -28<sup>TH</sup> JUNE 2018

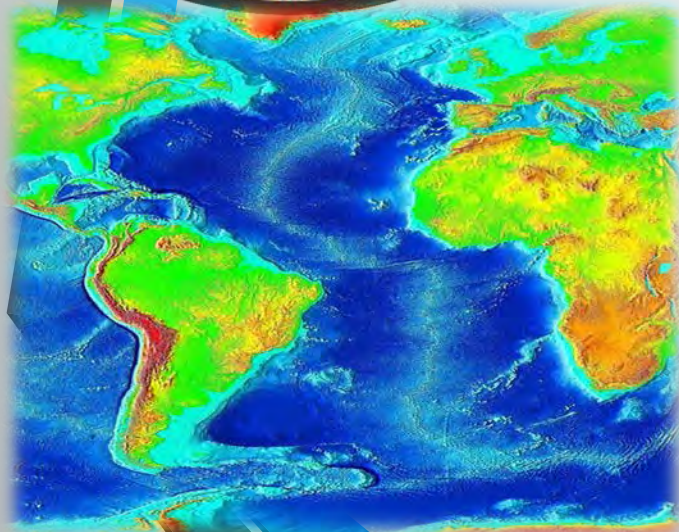
By

**Dr.(Mrs.) Felicia Chinwe Mogo**





# MAP OF COASTAL COUNTRIES OF AFRICA



- There are about 39 coastal countries in Africa.
- While the region houses about 18 of these coastal countries

# TOP TEN COUNTRIES WITH THE SHORTEST AND LONGEST COAST LINES IN AFRICA

Country (Shortest)	Length of Coast Line (km)
DRC	37
Togo	56
The Gambia	80
Benin	121
Republic of the Congo	169
Mauritius	177
Sao Tome and Principe	209
Equatorial Guinea	296
Djibouti	314
Guinea	320

Country (Longest)	Length of Coast Line (km)
Madagascar	4828
Somalia	3025
South Africa	2798
Mozambique	2470
Egypt	2450
Eritrea	2234
Morocco	1835
Libya	1770
Angola	1600
Namibia	1572



# COMPARATIVE LENGTH OF COASTLINES OF AFRICAN COUNTRIES

## COMPARATIVE LENGTH OF COASTLINES OF AFRICAN COUNTRIES

RANK	COUNTRY	LENGTH OF COASTLINE (Km)	OCEAN/SEA ALONG WHICH IT RUNS
------	---------	--------------------------	-------------------------------

1	Madagascar	4,828	Indian Ocean
2	Somalia	3,025	Indian Ocean
3	South Africa	2,798	Atlantic and Indian Ocean
4	Mozambique	2,470	Indian Ocean
5	Egypt	2,450	Mediterranean Sea and Red Sea
6	Eritrea	2,234	Red Sea
7	Morocco	1,835	Mediterranean Sea and Atlantic Ocean
8	Libya	1,770	Atlantic Ocean and Mediterranean Sea
9	Angola	1,600	Atlantic Ocean
10	Namibia	1,572	Atlantic Ocean
11	Tanzania	1,424	Indian Ocean
12	Tunisia	1,148	Mediterranean Sea
13	Algeria	998	Mediterranean Sea
14	Cape Verde	965	Atlantic Ocean
15	Gabon	885	Atlantic Ocean
16	Nigeria	853	Atlantic Ocean
16	Sudan	853	Red Sea and Indian Ocean
17	Mauritania	754	Atlantic Ocean
18	Liberia	579	Atlantic Ocean
19	Ghana	539	Atlantic Ocean
20	Kenya	536	Indian Ocean





## COMPARATIVE LENGTH OF COASTLINES OF AFRICAN COUNTRIES COMPARATIVE LENGTH OF COASTLINES OF AFRICAN COUNTRIES

	RANK	COUNTRY	LENGTH OF COASTLINE (Km)	OCEAN/SEA ALONG WHICH IT RUNS	CONT'D
921	Senegal	531	Atlantic Ocean		
22	Cote d'Ivoire	515	Atlantic Ocean		
23	Seychelles	491	Indian Ocean		
24	Cameroon	402	Atlantic Ocean		
24	Sierra Leone	402	Atlantic Ocean		
25	Comoros	340	Indian Ocean		
26	Guinea	320	Atlantic Ocean		
27	Djibouti	314	Indian Ocean		
28	Equatorial Guinea	296	Atlantic Ocean		
29	Sao Tome and Principe	209	Indian Ocean		
30	Mauritius	177	Indian Ocean		
31	Congo	169	Atlantic Ocean		
32	Benin	121	Atlantic Ocean		
33	Gambia	80	Atlantic Ocean		
34	Togo	56	Atlantic Ocean		
35	Democratic Republic of Congo	37	Atlantic Ocean		

Source:

<https://www.cia.gov/library/publications/the-world-factbook/fields/2060.html>

# IMPORTANCE OF THE MARINE ENVIRONMENT OF THE REGION

- Mineral resources e.g Petroleum Products
- Transportation: Human and Goods
- Food :Fishing/Aquaculture
- Tourism: Beaches for recreational activities
- Social/Cultural/Religious values

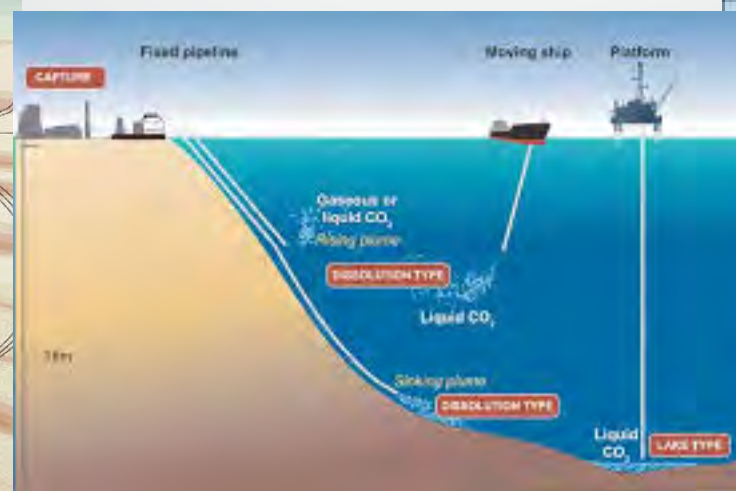
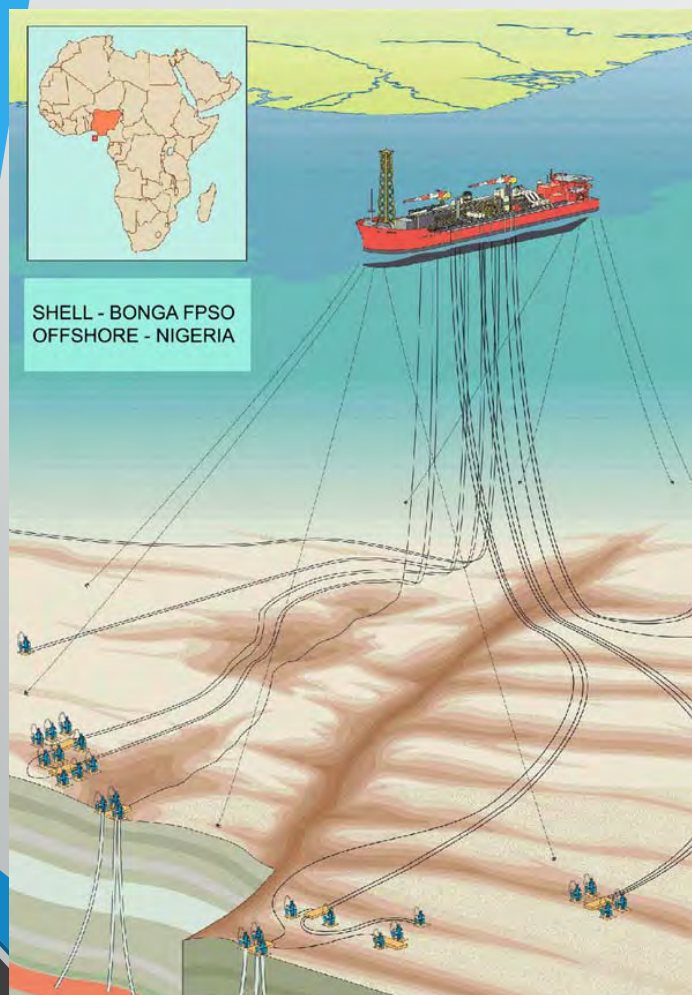


# EXAMPLES OF ACTIVITIES GOING ON IN THE OCEAN THAT MAY LEAD TO POLLUTION

- Fishing
- Dredging
- Drilling/mining
- Coastal settlement and infrastructure
- High traffic of vessels
- Bunkering.



# COASTAL AND MARINE ENVIRONMENT ACTIVITIES SHOWINGS SHIPS AND PIPES

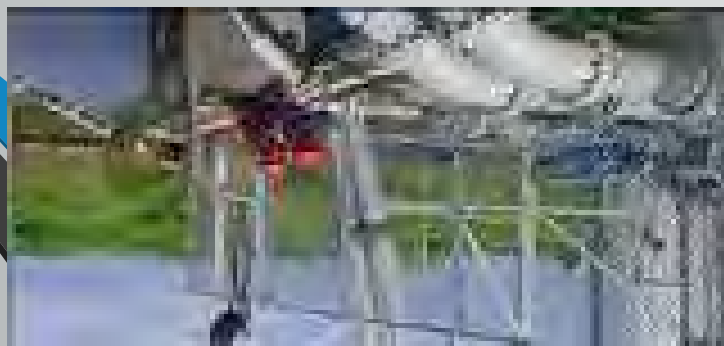
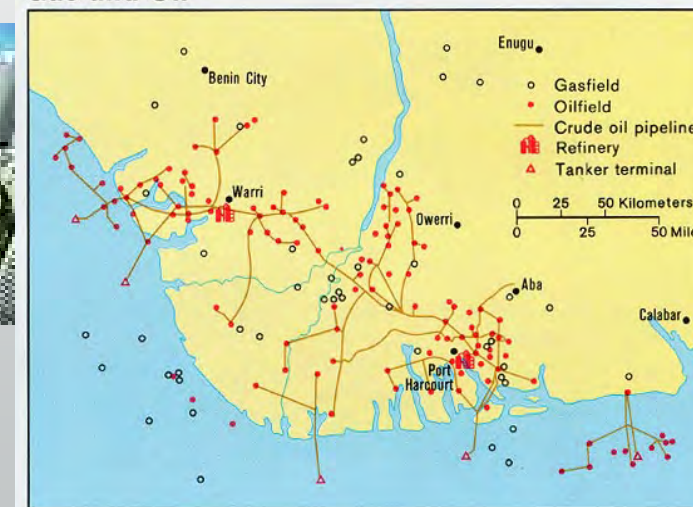




# COASTAL AND MARINE ENVIRONMENT OF NIGERIA



## Gas and Oil



# CATEGORIES OF OCEAN POLLUTION BASED ON SOURCE

- FROM VESSELS (ship source/ other at sea activities)
  - Oil spills & gas flare
  - Noise pollution
  - Sewage from black water and grey water
  - Solid waste.
  - Bilge water.
  - Off shore waste
  - Aquaculture/Mariculture etc.
- FROM LAND :
  - Solid waste dumping (garbage)
  - Municipal waste discharge especially from industrialized cities.
  - Agricultural toxic waste e.g. fertilizer residue, herbicides etc.
  - Oil spills from well blowouts, refined products, oil exploration and exploitation e.g drilling mud and cuttings discharge into the ocean
  - Gas flare etc.



# PICTURE GALLERY OF CATEGORIES OF OCEAN POLLUTION BASED ON SOURCE



# SOME CONSEQUENCES OF MARINE POLLUTION TO THE REGION

- Issues of **CLIMATE CHANGE** and **MARINE LITTER**
- Bio-accumulation in food chain/food web with man as the ultimate recipient.
- Impairment of navigation by ocean dumping
- General loss of biodiversity, aesthetic ,economic, cultural, social, political values of the ocean.

# CHALLENGES

- ▶ Inadequate regulatory instrument;
- ▶ Inadequate co-ordinated effort in making, implementation and enforcement of related instrument of intervention.
- ▶ Inadequate state of the art compliance enforcement devices /platforms and also maritime court in case of prosecution
- ▶ Need to build up database that will aid scientific and political decisions
- ▶ More national and regional integration



# GOING FORWARD

- Calculation of the blue natural capital
- Awareness creation and Capacity building
- Real time scientific investigation of the health of the ocean to enable restoration
- Classified case specific clean up and restoration efforts
- Assertions to instruments of marine protection e.g. IMO Convention
- Designation of special areas, dumping sites for waste on the reverse list, ballast water exchange areas etc.

# PROJECTIONS OF THE REGIONAL SITUATION IN THE NEXT YEARS

- There are heavily untapped resources in the marine environment of the region.
- There exists serious exploitation of resources under exploration.
- With the current trend of coastal infrastructural development and increase in offshore activities without adequate environmental Impact assessment, in the face of serious threat from climate change, we may not guarantee sustainable management of the coastal and marine environment for the future.
- **HOWEVER** if the “Business as Usual” approach of managing the marine environment is discouraged using the instruments of intervention such as, Legal frameworks, national, regional and global collaboration then the **BLUE ECONOMY** can be a hopeful platform for socio economic transformation for the future generation.



**THANK YOU FOR YOUR  
ATTENTION!!!**

**I acknowledge that references were made to many  
materials in production of this presentation**

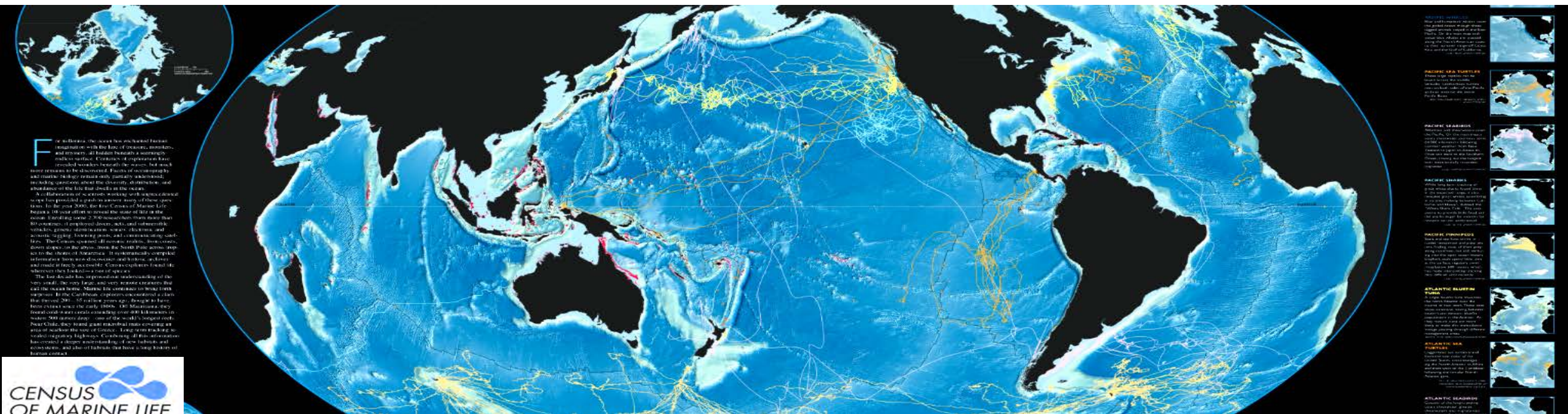
**MERCI BEAUCOUP**





# Session 2: Key Interests and Challenges for Ocean Governance in the Southeast Atlantic

with input from Lisa Levin, DOSI; Pat Halpin, GOBI; Harriet Harden Davies, DOSI; & Hiroko Muraki Gottlieb, IUCN



Kristina M. Gjerde  
kgjerde@eip.com.pl

# Summary 1: Key Interests and Challenges for Ocean Governance in the Southeast Atlantic

- **Climate change and other direct human impacts** are affecting deep and open ocean biodiversity and ecosystems in areas beyond national jurisdiction (ABNJ) in many concerning ways
- **The UN negotiations** will address four elements regarding the conservation and sustainable use of marine biodiversity in ABNJ: 1) Area based management tools (ABMTs) including marine protected areas (MPAs), 2) environmental impact assessments (EIAs); 3) benefit sharing aspects of marine genetic resources; and 4) mechanisms to build capacity and transfer technology, as well as cross cutting issues
- **Connectivity:** Many ecosystems and species may straddle national waters (EEZs) and High Seas, indicating a high level of connectivity and potential interdependence
- **The Convention on Biological Diversity** has facilitated a series of regional projects to describe Ecologically or Biologically Significant Areas (EBSAs) including in the South East Atlantic; many of these cover both EEZs and ABNJ.
- **Conservation tools including EIAs and ABMTs including MPAs** can play a role in building marine ecosystem resilience and resource sustainability but capacity to implement at the regional and national levels may be lacking

## Summary 2: Key Interests and Challenges for Ocean Governance in the Southeast Atlantic

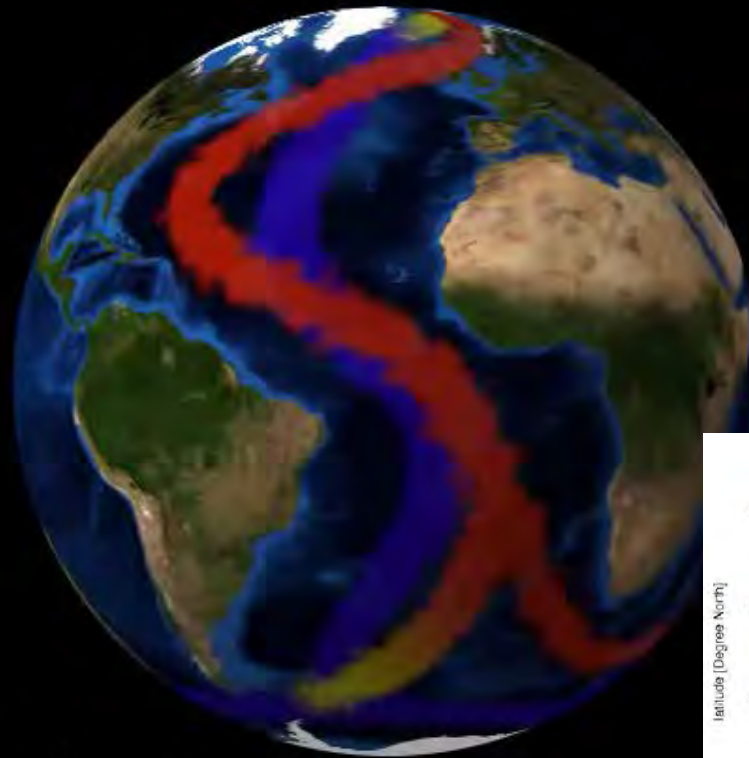
- The **UN Convention on the Law of the Sea** provides the basic framework of rights and responsibilities. There are many relevant agreements and bodies, but also gaps and weaknesses
- **ABMTs**: existing sectoral tools are essential but may be short term, limited in scope, and uncoordinated; MPAs can provide more comprehensive protection; marine spatial planning is an emerging tool
- **EIAs**: only few activities in ABNJ are subject to environmental assessments, many are not, and there is a need to address cross sectoral and cumulative impacts
- **MGRs** can be applied for many purposes, including biodiscovery, basic research, environmental assessments and monitoring technologies
- **Capacity building and technology transfer**: mechanisms to enhance national and regional legal, institutional, scientific and environmental capacities may be an important feature of the new agreement, but need further development







Thermohaline circulation is transporting heat and CO<sub>2</sub> downward , causing deep waters to become warmer, more acidic and less oxygenated

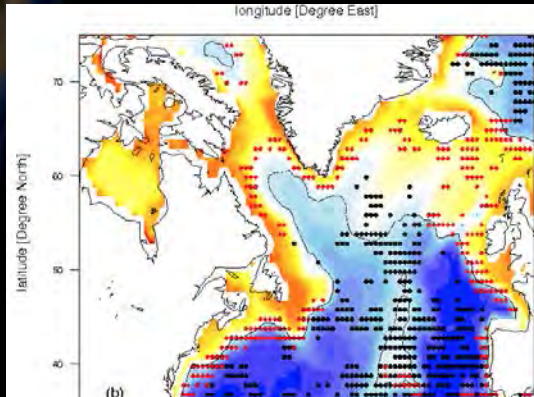


Water Density/Temperature  
High/Cold Low/Warm

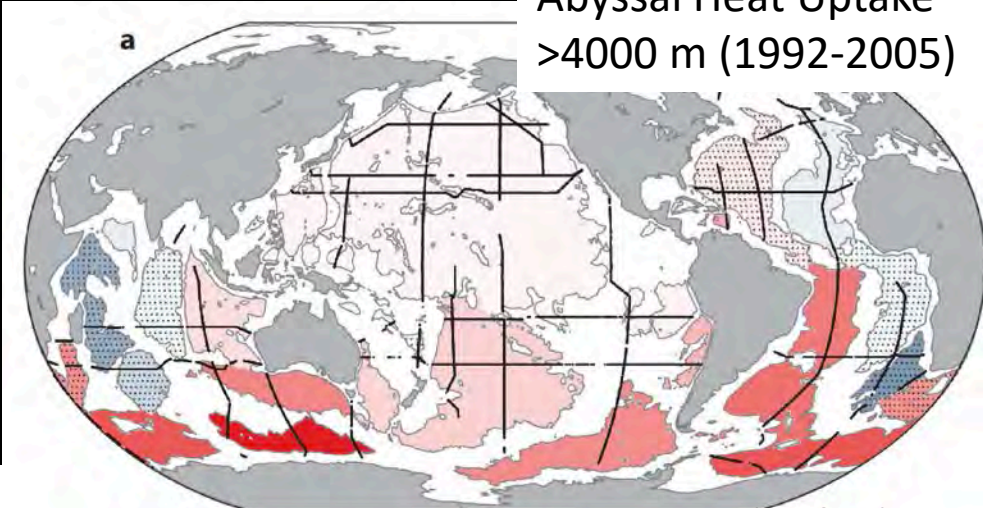
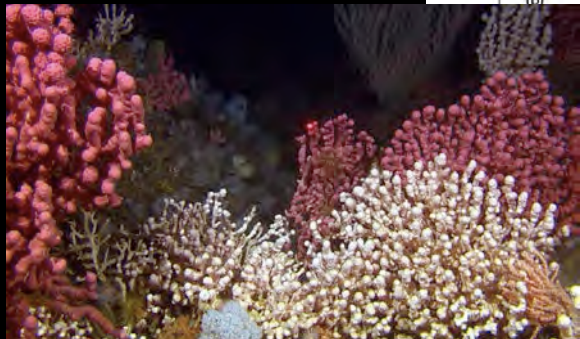


OCEAN WARMING

OCEAN ACIDIFICATION



Gehlen et al. 2015

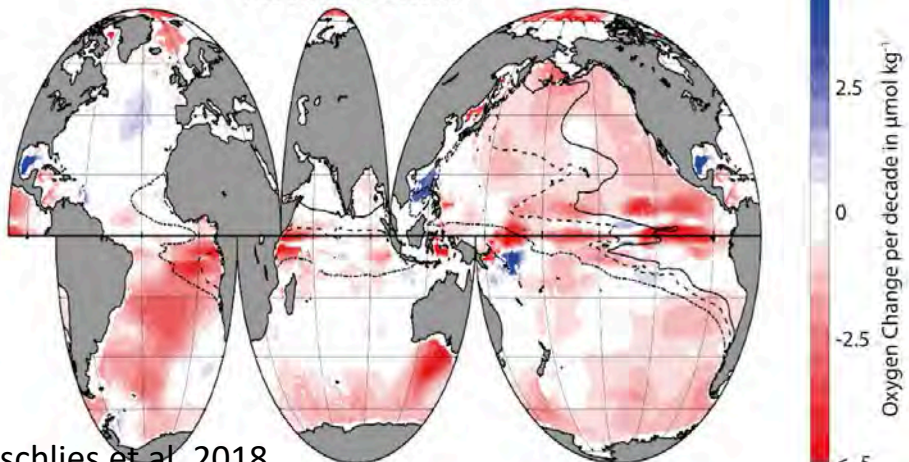


Abyssal Heat Uptake  
>4000 m (1992-2005)

Purkey & Johnson  
2010

OCEAN DEOXYGENATION

Oxygen Loss 1200m to bottom



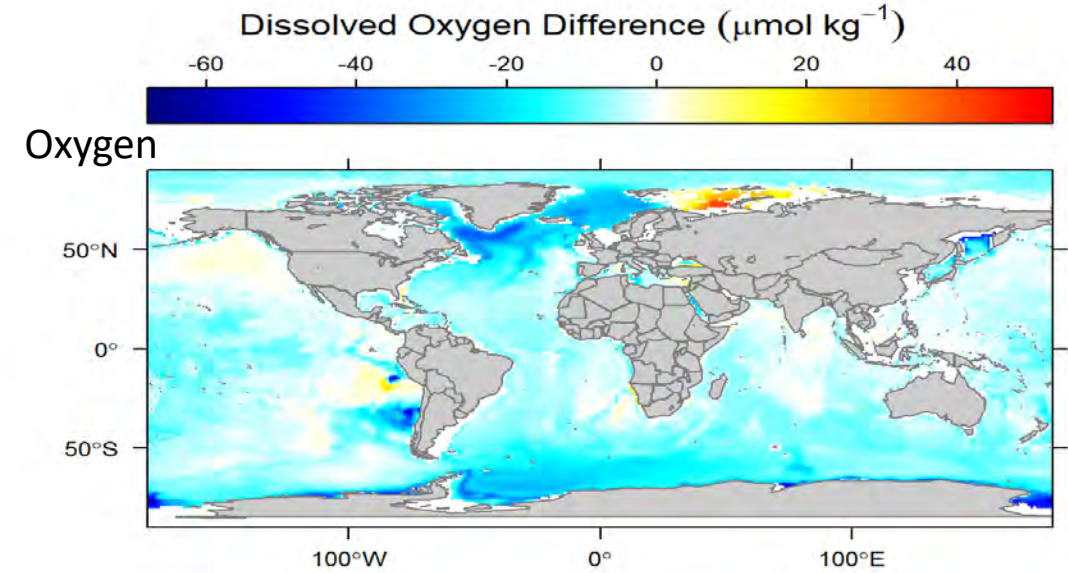
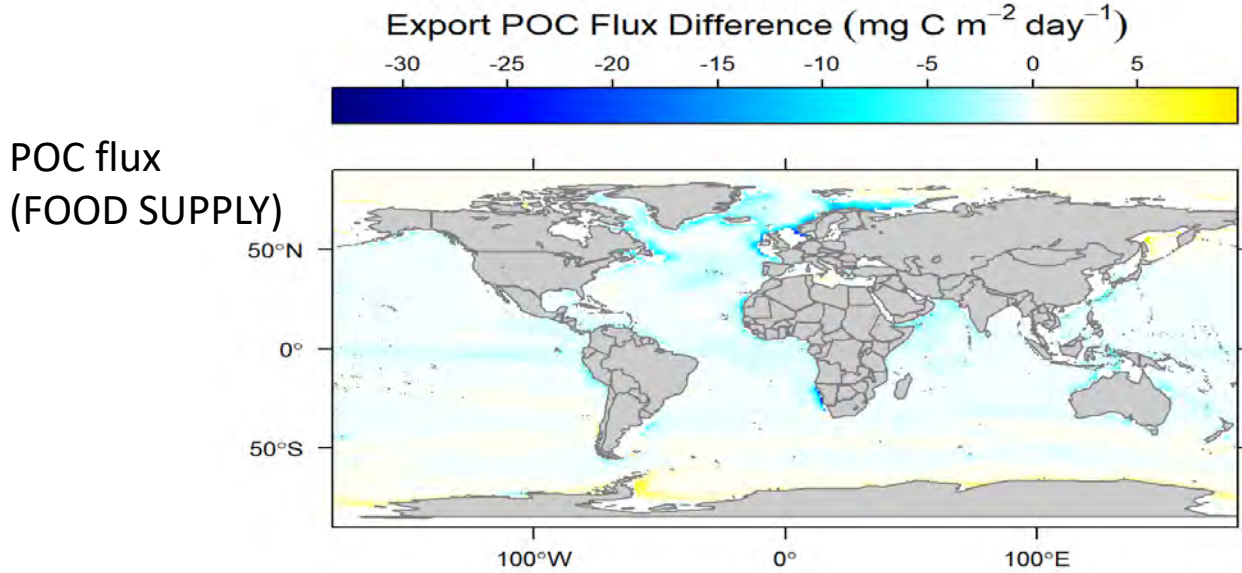
Oschlies et al. 2018



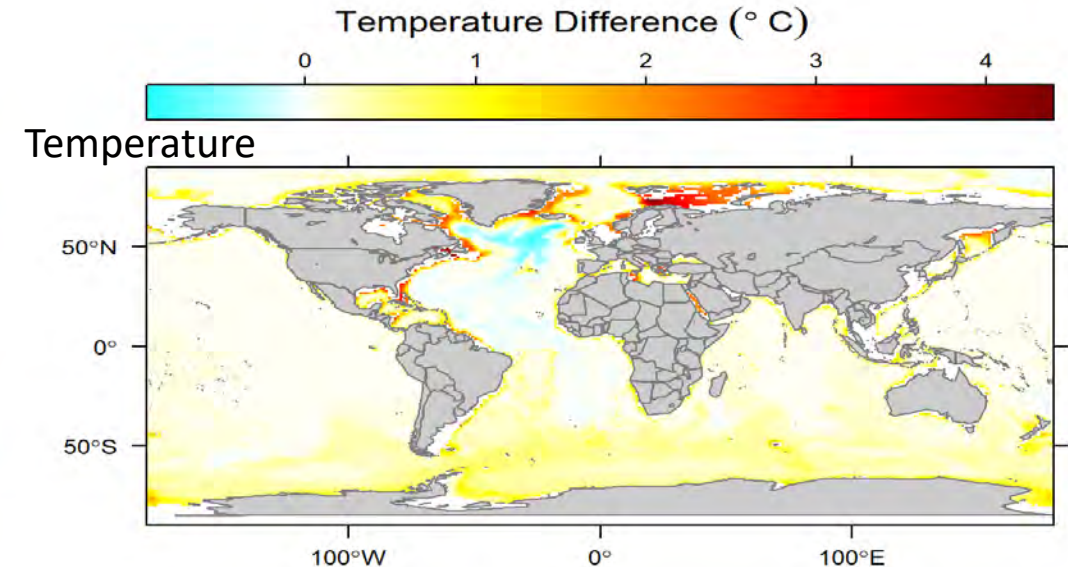
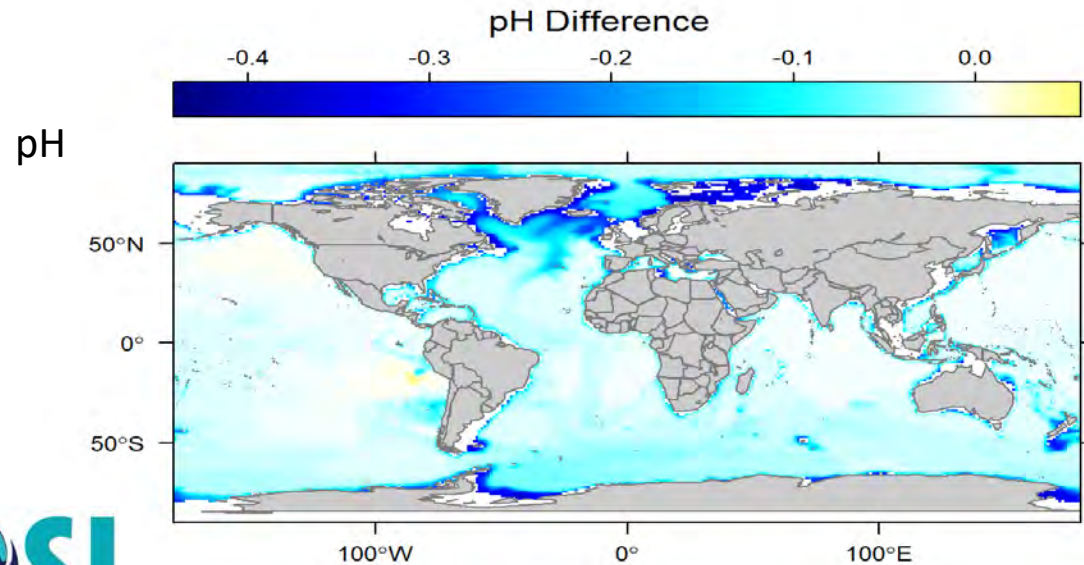


# Climate Change and Human Disturbance

RCP 8.5 Change from 1951/2000 to 2080-2100



Slide courtesy Lisa Levin, Scripps Institution of Oceanography

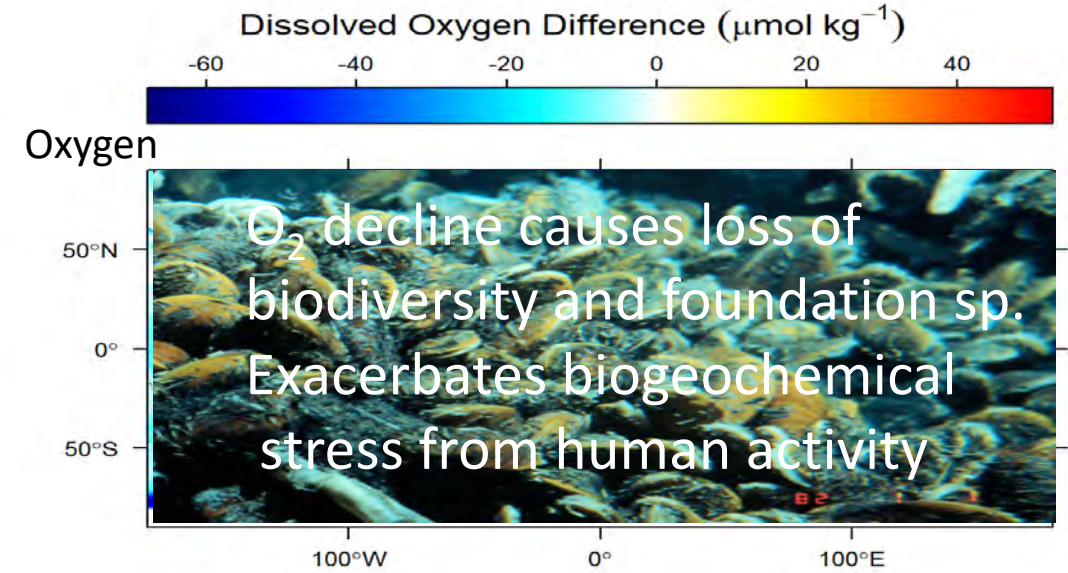
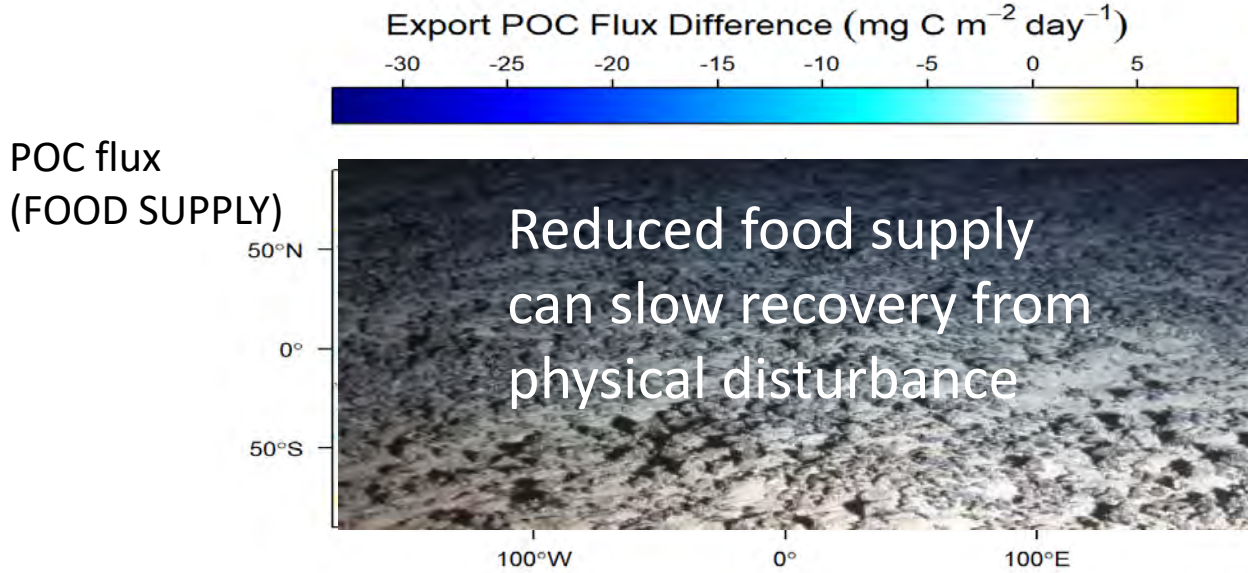


Sweetman et al. 2017; c.L. Wei et al. Ch. 8 in DOSI - FAO report, in review

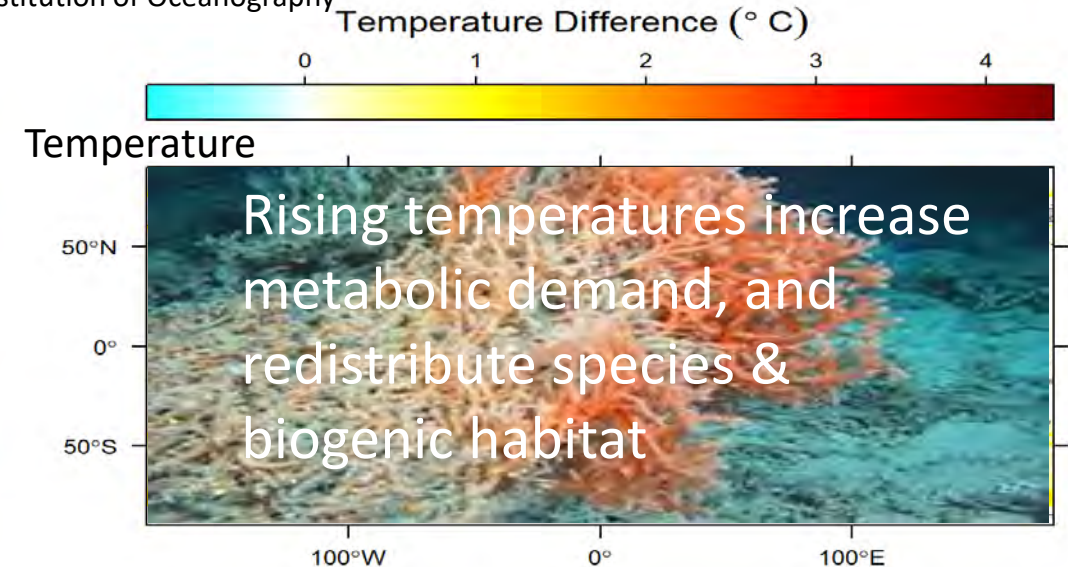
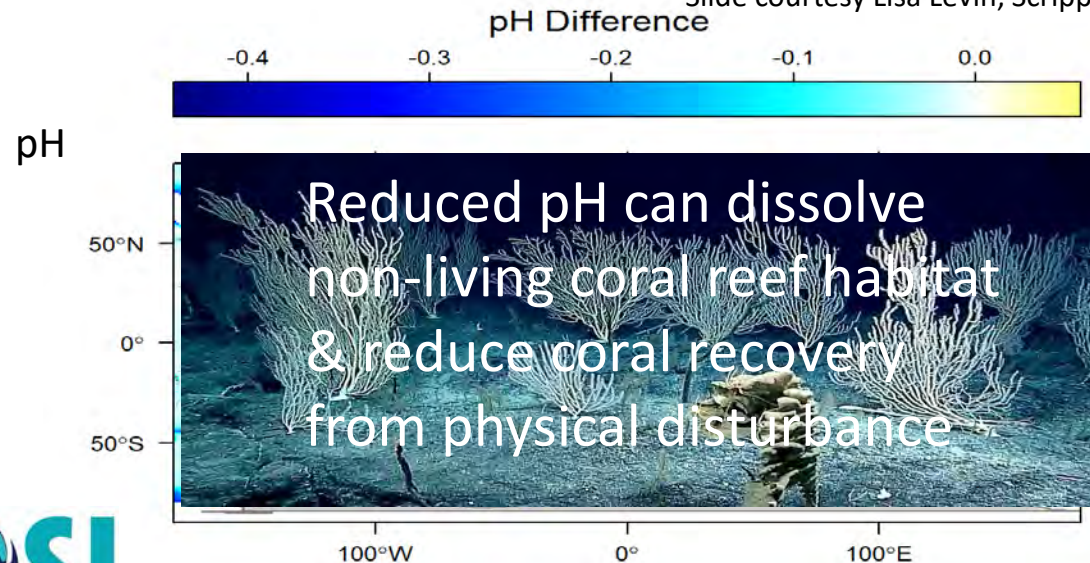


# Climate Change and Human Disturbance

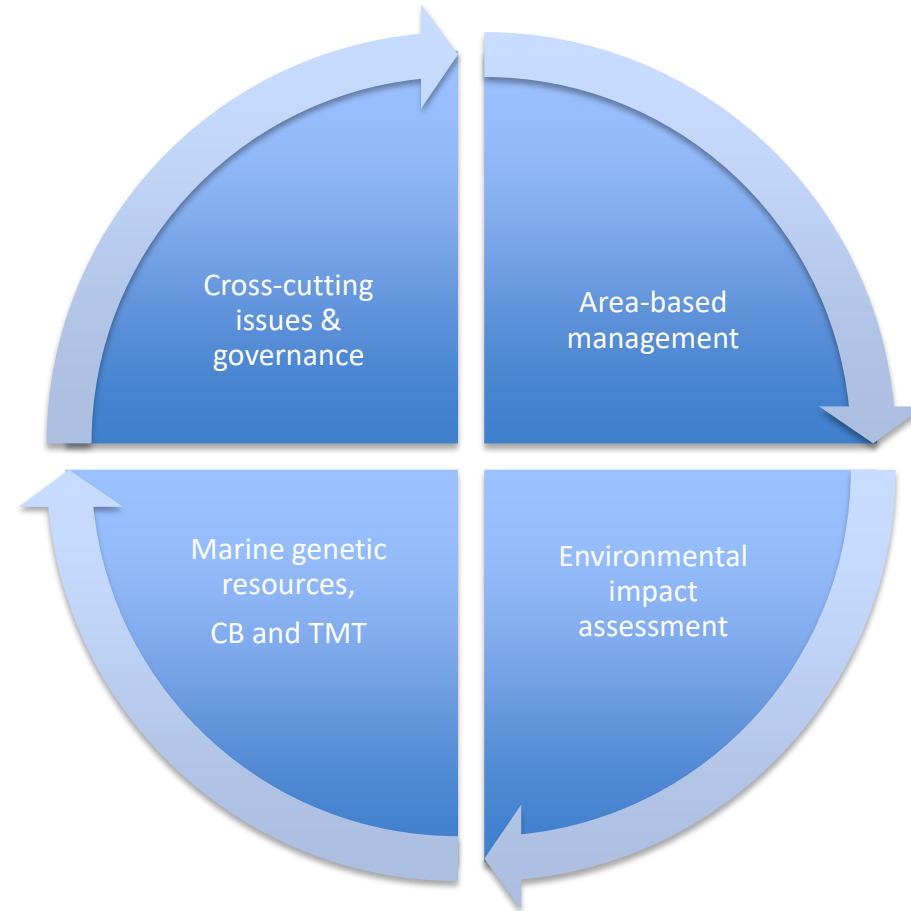
RCP 8.5 Change from 1951/2000 to 2080-2100



Slide courtesy Lisa Levin, Scripps Institution of Oceanography



# Key Interests and Challenges for Ocean Governance in the Southeast Atlantic



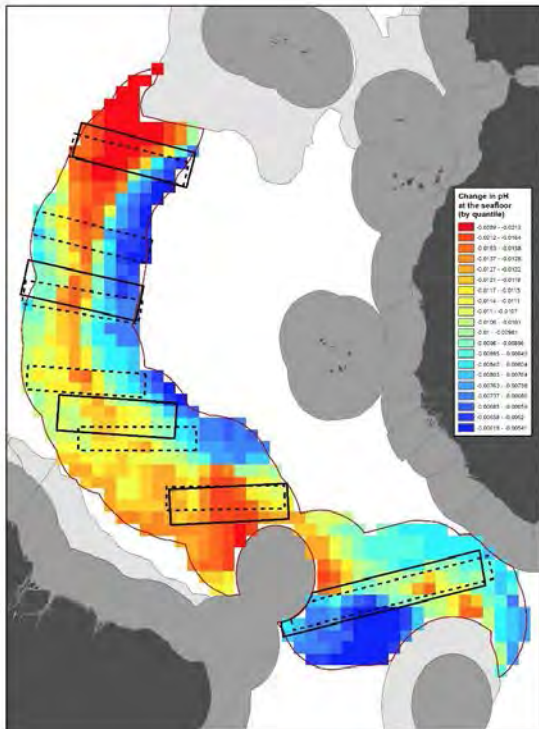


# Climate change in the deep ocean is a cumulative stressor that can be integrated into policy and resource management

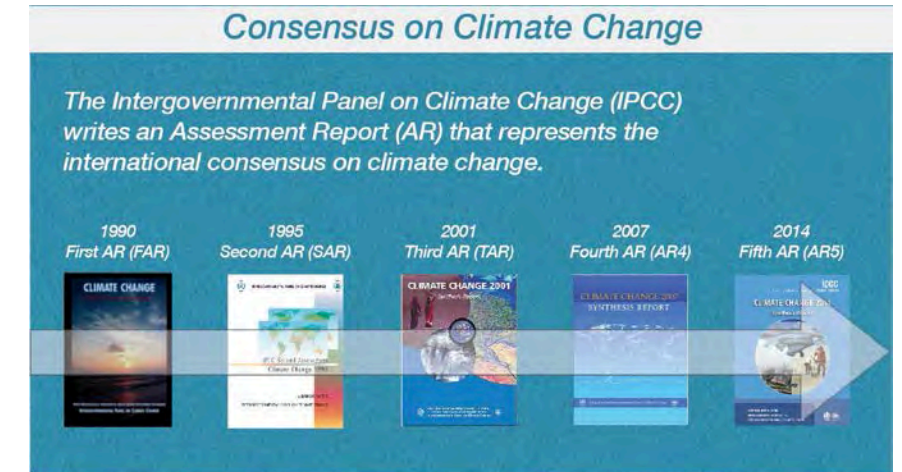
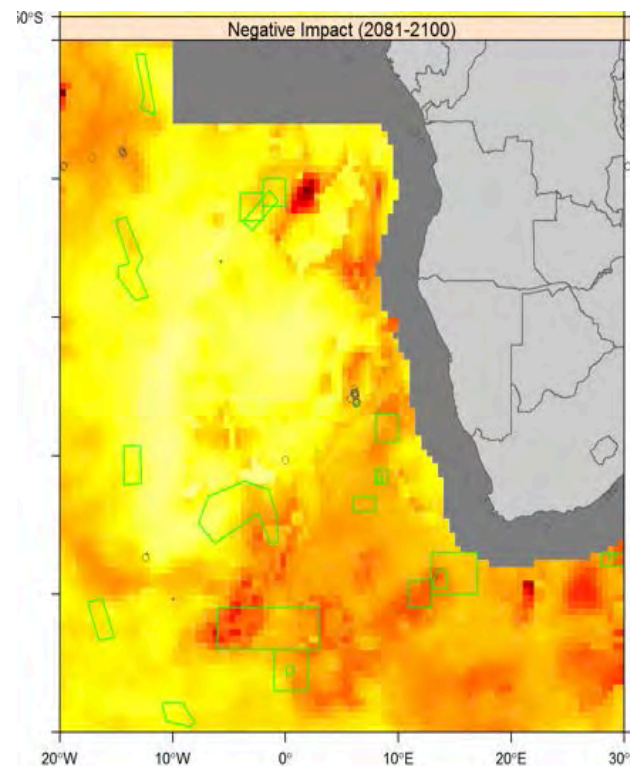
UNFCCC - IPCC

International Seabed  
Authority - APEIs

pH projection for 2100  
On the Mid Atlantic Ridge



FAO/RFMO VMEs,  
Fishing Grounds  
Projected exposure to  
Climate hazard in SEAFO



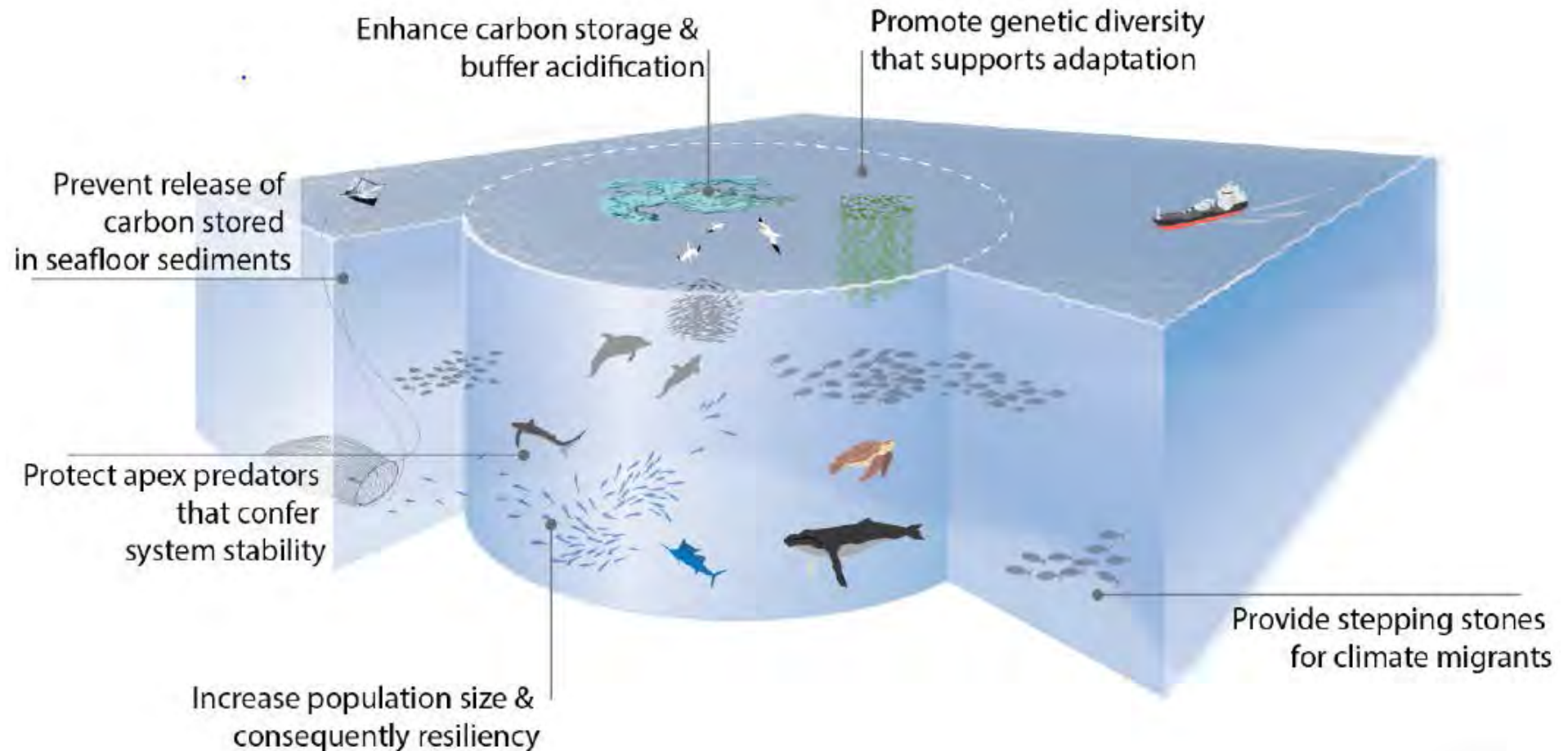
BBNJ Treaty  
MPAs, EIAs,  
Genetic Res.  
Capacity  
Building



Slide courtesy Lisa Levin, Scripps Institution of Oceanography



# How MPAs help to build resilience



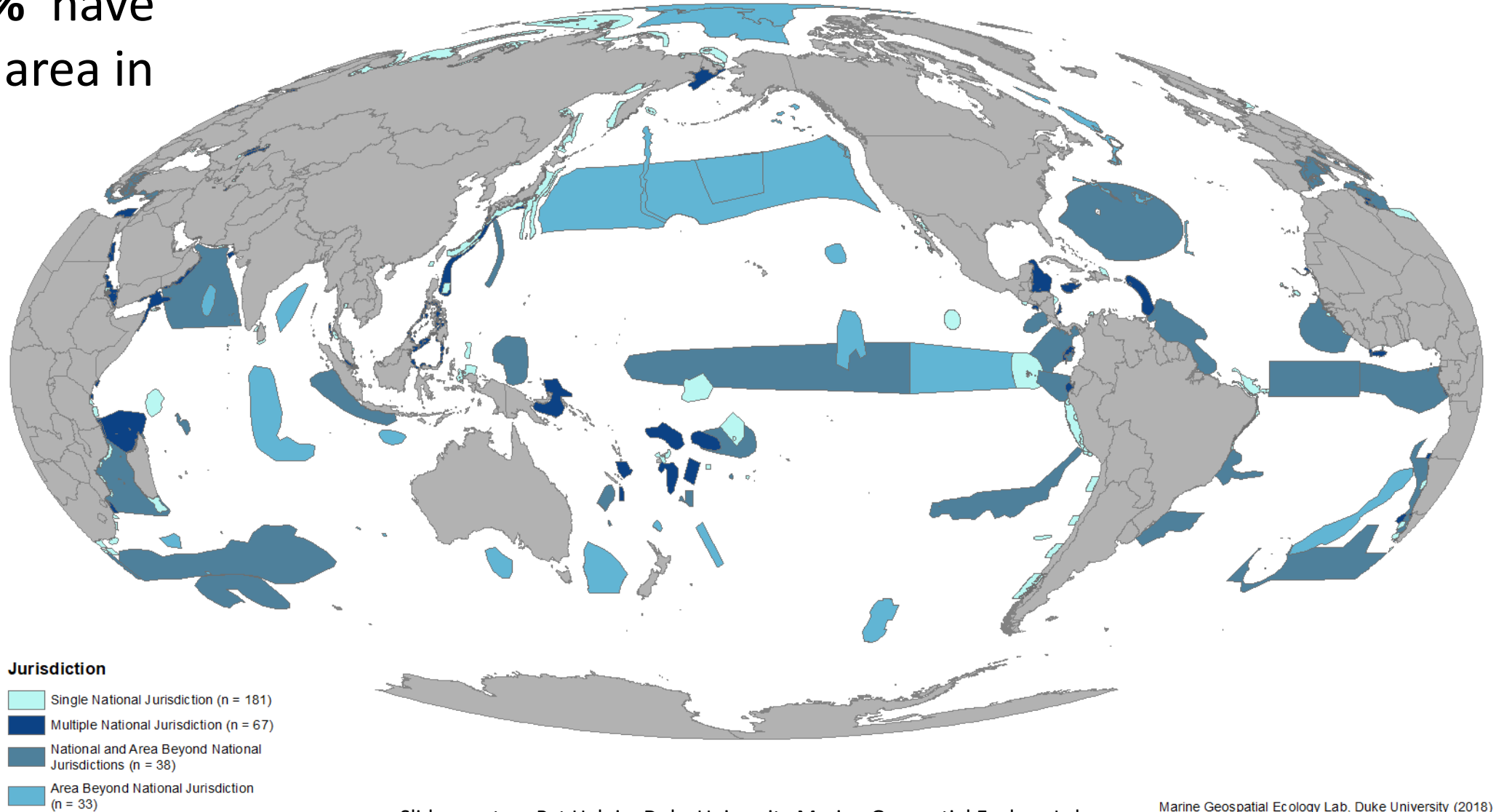
*Roberts et al.*

Slide courtesy Doug McCauley, UCSB

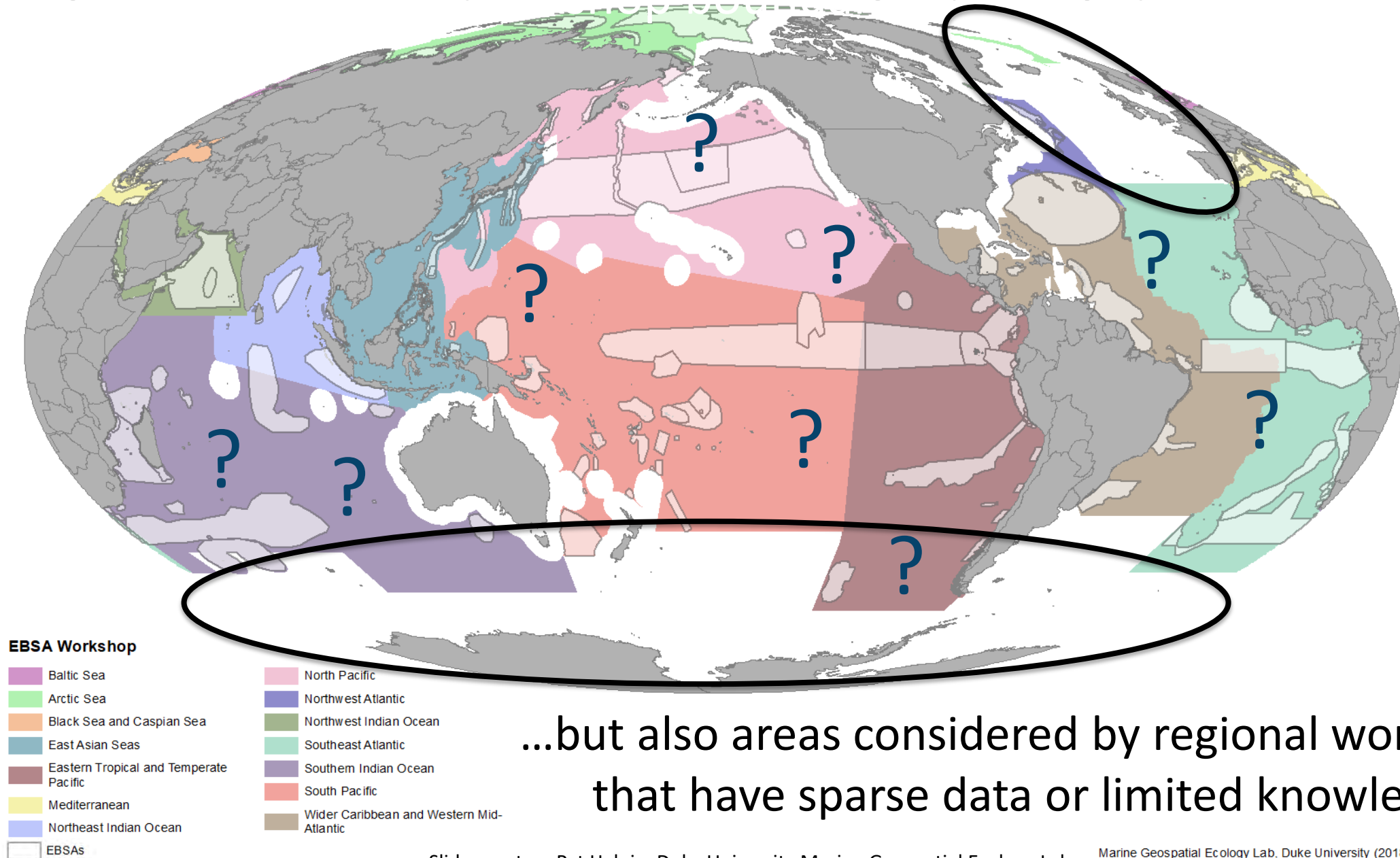
# Where to begin?

**319 EBSAs in-process**  
(279 EBSAs through  
*SBSTTA & COP*)

**10.3%** are solely in  
ABNJ; **22.3%** have  
some or all area in  
ABNJ



# 14 regional workshops to date: Geographic gaps

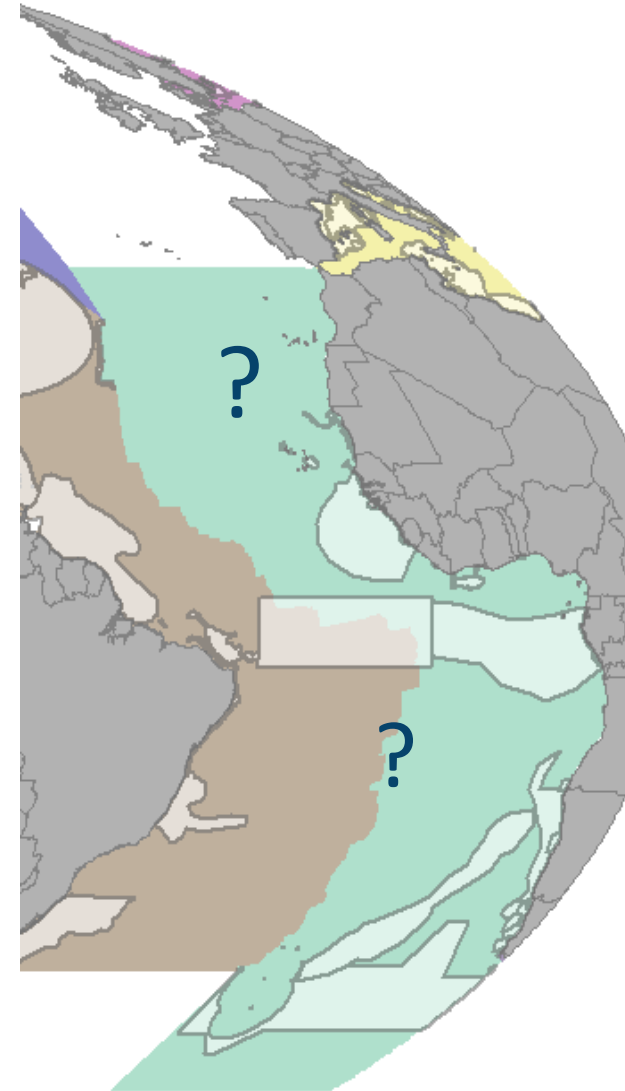


...but also areas considered by regional workshops that have sparse data or limited knowledge



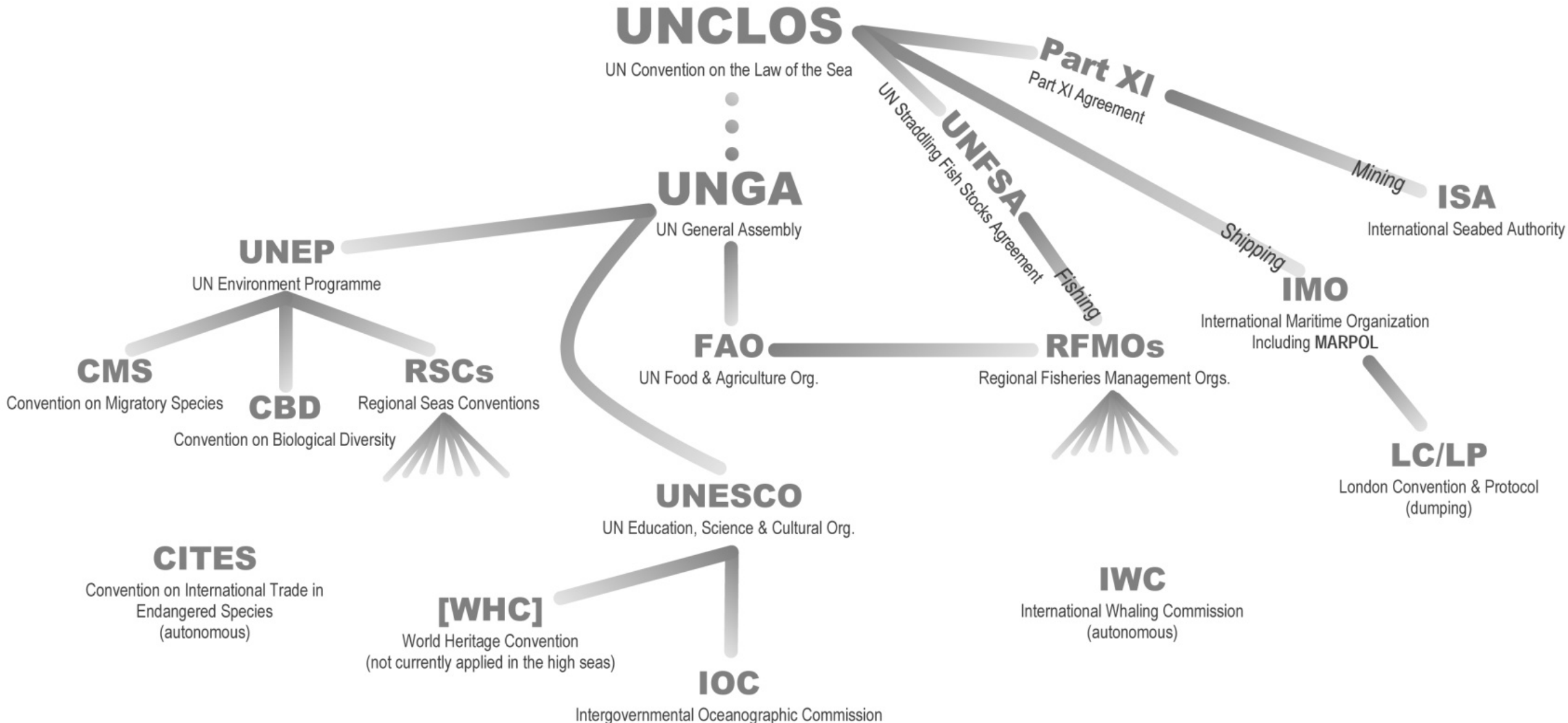
# Connectivity Issues

**10.3%** are solely in ABNJ; **22.3%** have some or all area in ABNJ



14 regional workshops to date: Geographic gaps:...but also areas considered by regional workshops that have sparse data or limited knowledge

# Existing institutions and bodies



# Area-based management tools

## Sectoral ABMTs

- RFMOs
  - Vulnerable marine ecosystems
  - Fisheries closures
- IMO
  - PSSAs
  - Special Areas
- ISA
  - Areas of Particular Environmental Interest
  - Preservation Reference Zones

## Cross-Sectoral ABMTs

- Marine Protected Areas
- Marine Spatial Planning



# Benefits from the different kinds of ABMTs, including MPAs

- **Vulnerable Marine Ecosystems (VMEs)** can protect deep sea biodiversity from impacts of bottom fishing
  - Approximately 30 such areas have been closed (Gianni et al., 2016)
- **Areas of Particular Environmental Interest (APEI)** can prohibit mining in quasi-representative patches of seafloor
  - 9 APEIs designated across the Clarion-Clipperton Zone (North Central Pacific)
  - But no process yet for protecting vulnerable marine ecosystems
- **Particularly Sensitive Sea Areas (PSSAs)** can protect areas that are deemed vulnerable to damage by international maritime activities.
  - None yet designated in ABNJ
- **Regional Seas MPAs** can protect special sites on regional basis
  - But not from third parties from outside region
  - Most regions do not have regional seas organization with competence in ABNJ
  - Cross-sectoral cooperation can be challenging

# Sectoral/regional approaches necessary but insufficient

- **Do not aim to protect all the features** of conservation importance within their boundaries;
- **May be short term and non-systematic** and hence unlikely to result in a coherent network of protected areas;
- **Lack a mechanism to ensure coordination**, presenting the potential for gaps and duplication of efforts
- **Lack of common criteria or scientific advice** may lead to conflicting results

# EIAs: Activities with potential significant impact on biodiversity in ABNJ

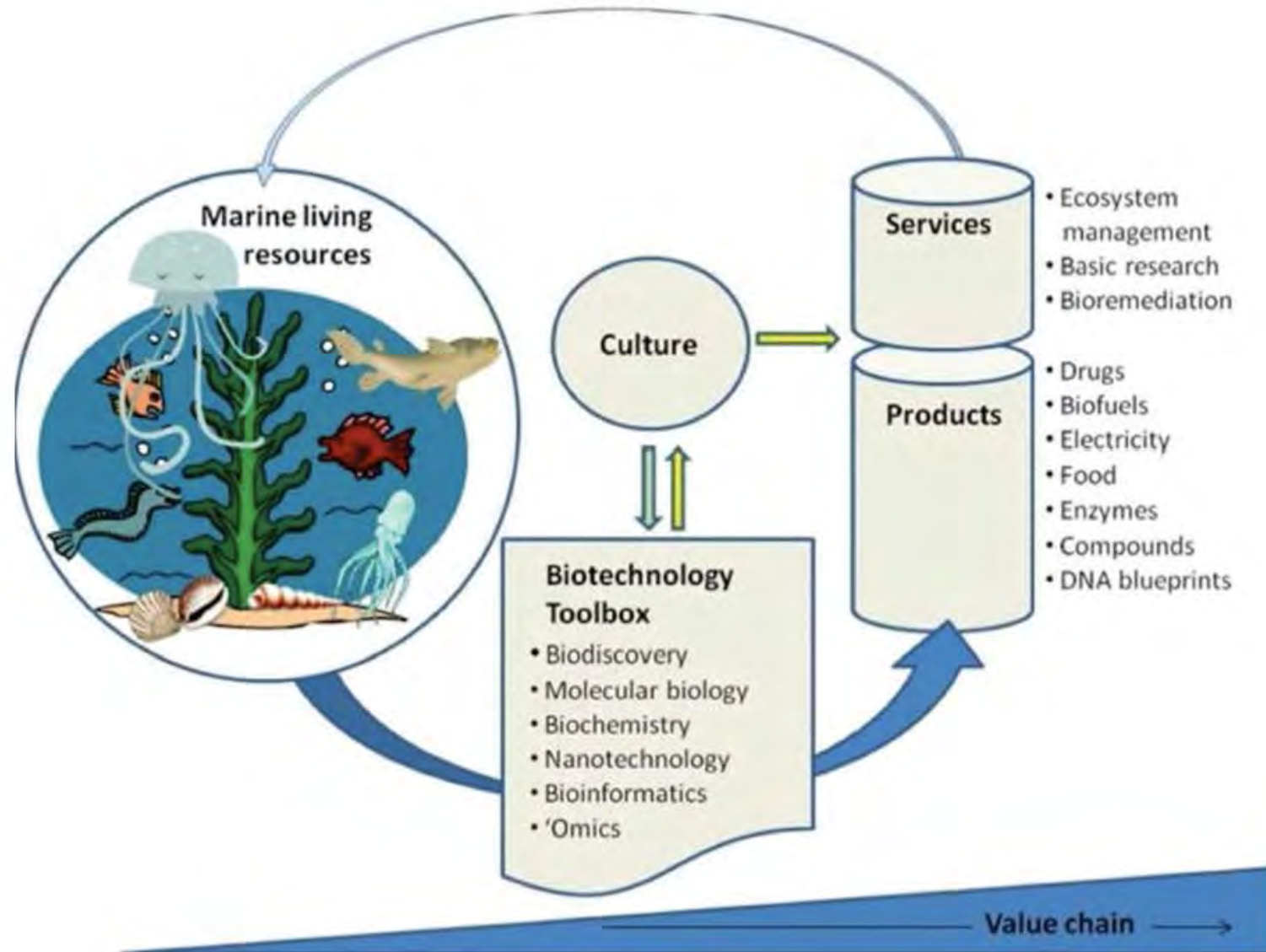
- With EIA procedures:
  - Deep sea mining
  - Deep sea bottom fishing
  - Dumping of wastes
  - Marine geoengineering research
  - Any activity with more than a minor or transitory impact in the Antarctic Treaty Area
- These procedures are not uniform or consistent across sectors



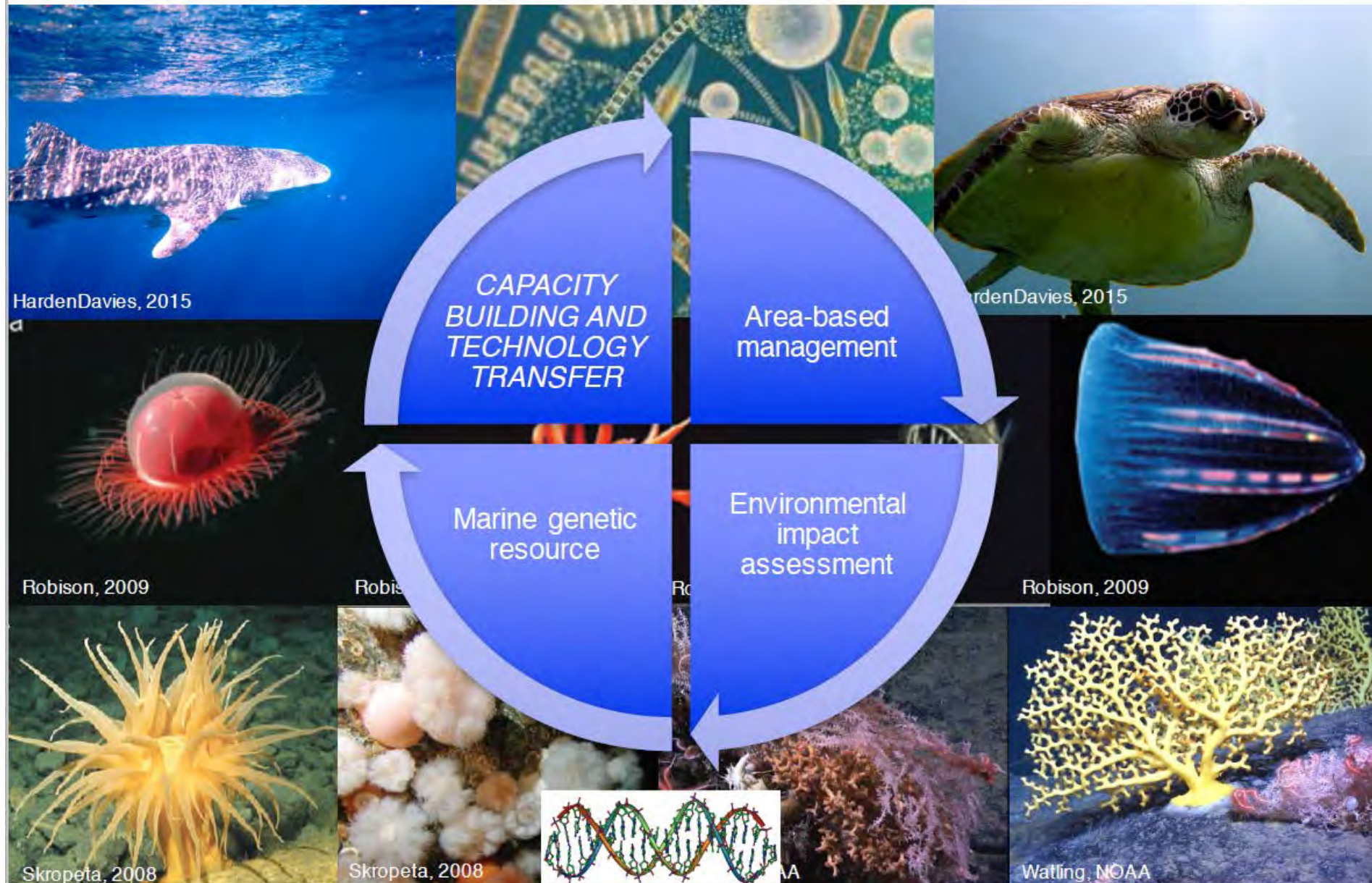
- Without EIA procedures:
  - Shipping and ballast water discharge
  - Pelagic fishing
  - Open ocean aquaculture
  - Climate change mitigation activities
  - Ocean energy operations
  - Oil/gas drilling
  - Pipeline laying
  - Cable laying
  - Seaweed production
  - Natural product harvesting
  - Deep sea tourism
  - Activities that may emerge in the future
  - Activities by non-parties to relevant treaty



# MGRs OF ABNJ: ACCESS AND BENEFIT SHARING



# Technology transfer and capacity development for BBNJ: distinct but interlinked





## 1. Marine technology transfer for capacity development

- Technology development, diffusion and uptake
- Drive scientific advancement, knowledge production, innovation
- Access, utilise and apply knowledge & tools
- Global, regional and national scale

## 2. Current challenges

- Fragmentation and fragility in global framework

## 3. Considerations for the BBNJ instrument

- Identifying institutional and enabling mechanisms



Equipment & infrastructure

People

Knowledge

Networks

Report of PrepCom established by UNGA Res 69/292

### Categories of CB/TT:

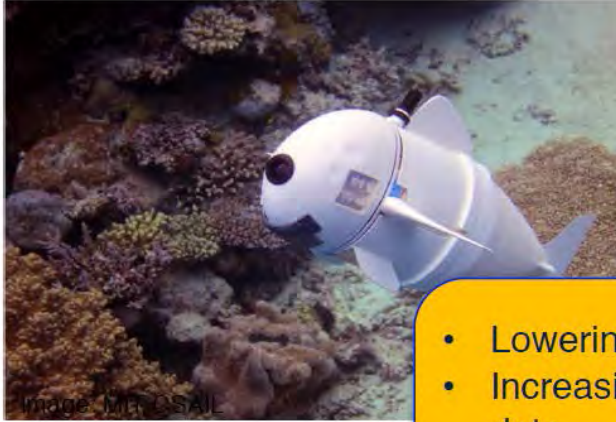
- Scientific and technical assistance, joint marine scientific research for cooperation
- Education and training
- Data and specialized knowledge

### Modalities:

- Country-driven
- Responsive to periodically assessed needs and priorities
- Develop and strengthen human and institutional capacities
- Long term and sustainable
- Develop marine scientific and technological capacity of States in accordance with Parts XIII and XIV of the Convention



# Future?



- Lowering costs
- Increasing volumes of data
- New tools to monitor ocean health



Equipment & infrastructure

## Satellite technology



Slides courtesy  
Harriet Harden Davies,  
University of Wollongong

Networks

Equipment & infrastructure

People

Knowledge

# Thank you for your attention!

Questions? [kgjerde@eip.com.pl](mailto:kgjerde@eip.com.pl)



*Siphonophore* NOAA Ocean Explorer



# STRENGTHENING OCEAN GOVERNANCE AND THE ABNJ

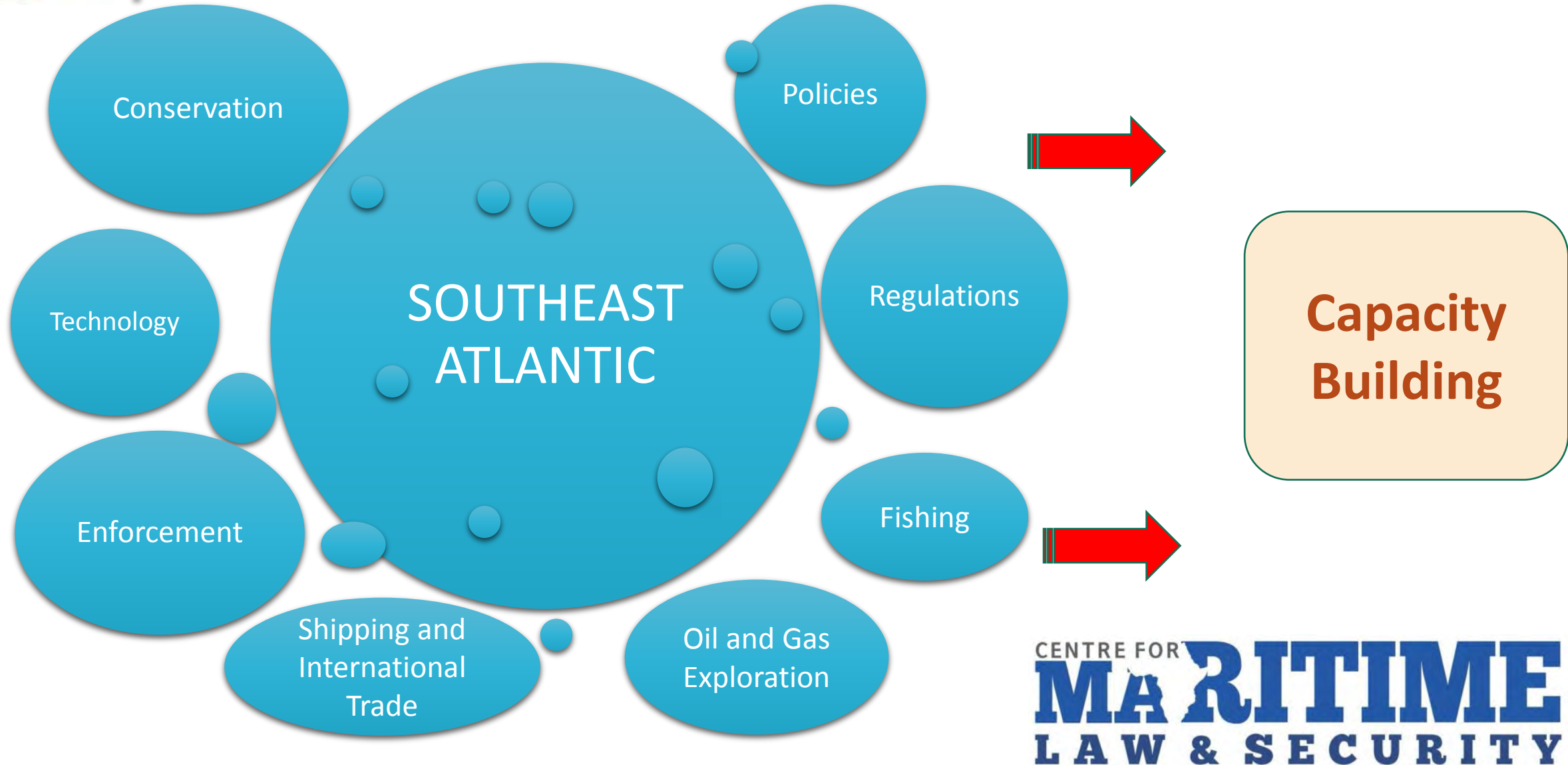
KAMAL-DEEN ALI





# ABNJ Governance

## Key Areas of Interest





## Issues in the ABNJ Dialogue

Governance  
Gaps

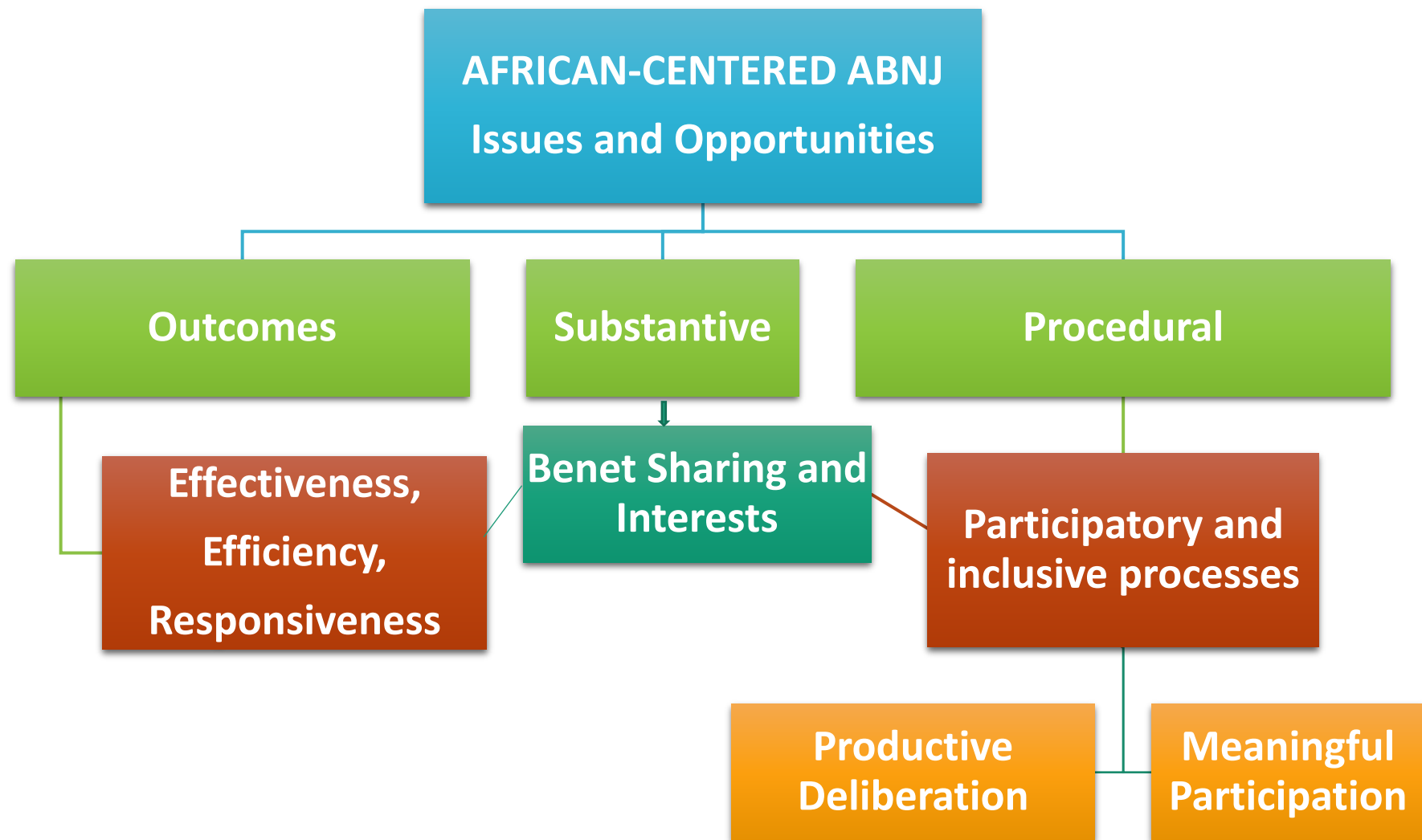
Conformism

Regulatory Gaps

Institutional

Legal

Gaps in the ABNJ Framework





# Cluster of Challenges in the Southeast Atlantic



Living resources – fisheries

Oil and gas – Expansion into deeper sea

National vrs International

Biodiversity and Ecosystems

Technology

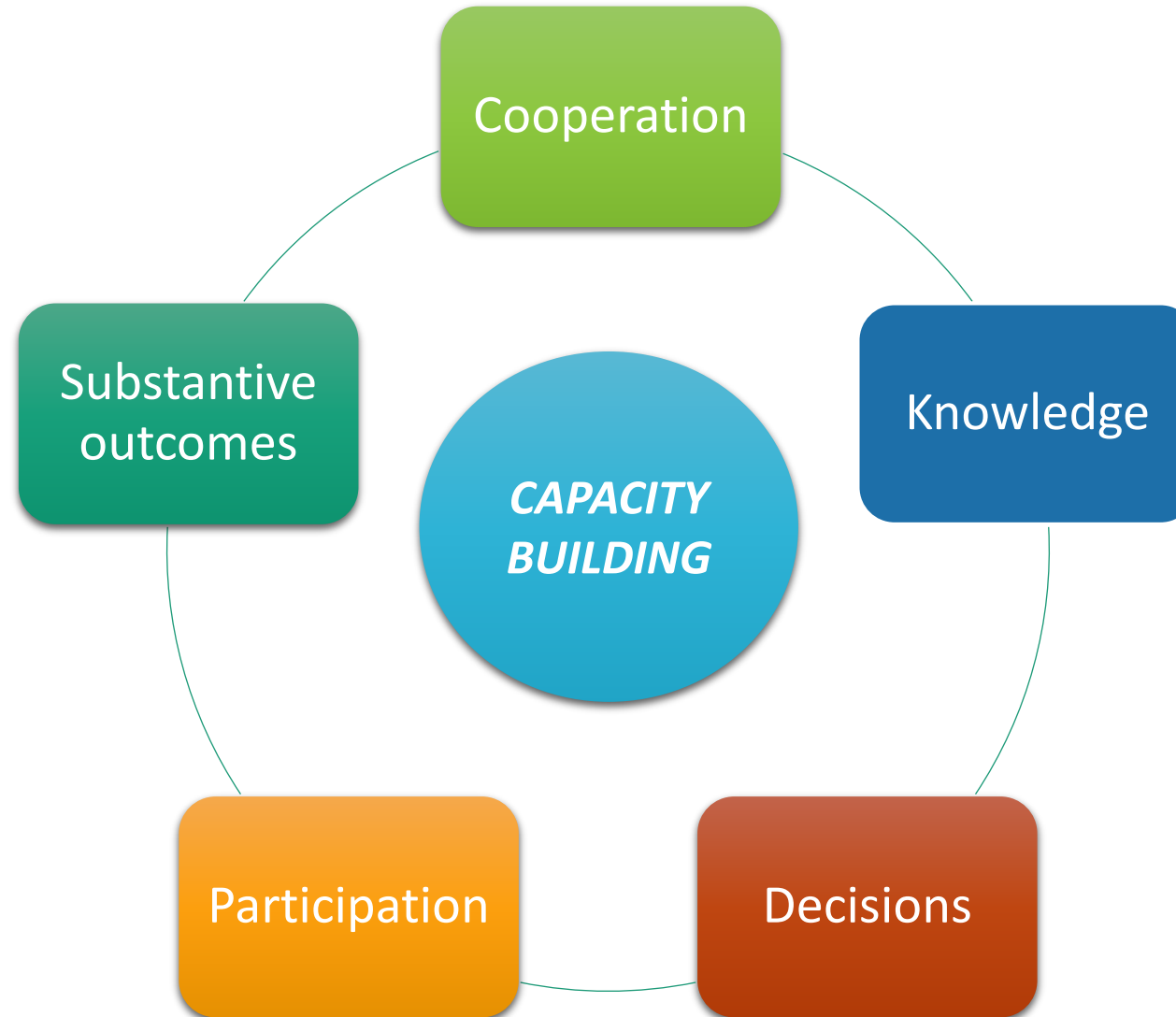
Knowledge/awareness

**Capacity**

Land Source Pollution

Climate Change

# Outcomes of Capacity Building



## ***Target Groups***

*Policy Makers*

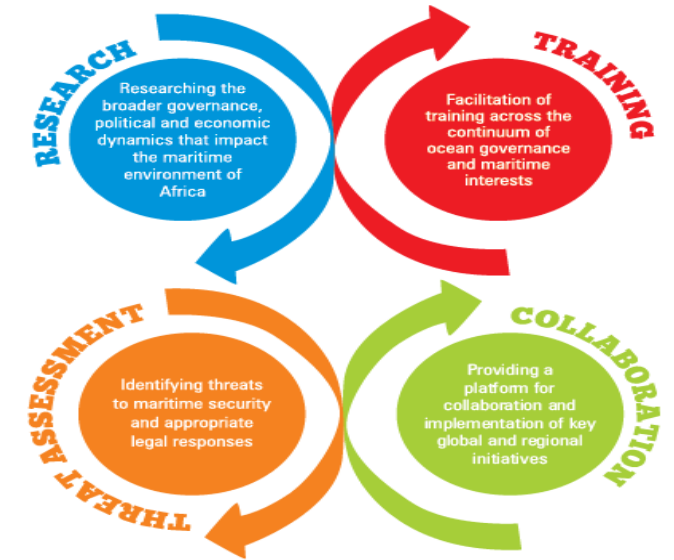
*CSOs*

*Institutions*

*Administrators*

*Scientific Communities*

Thank  
you



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[Kamal@cemlawsafrica.com](mailto:Kamal@cemlawsafrica.com)



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# Governing High Seas

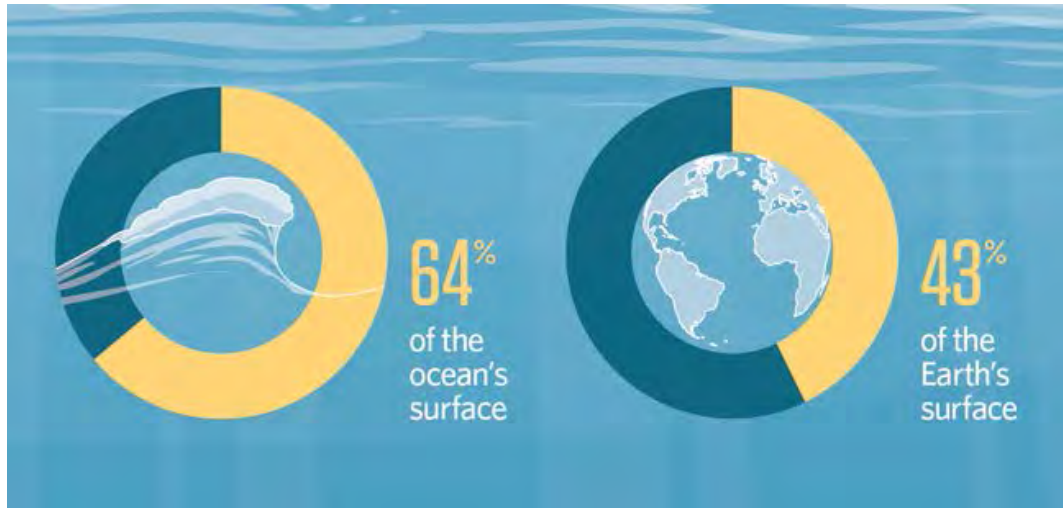
Current Landscape and Future Opportunities

*STRONG Workshop, June 2018*

Dr Mamadou Diallo  
Marine Conservation & Fisheries Biologist

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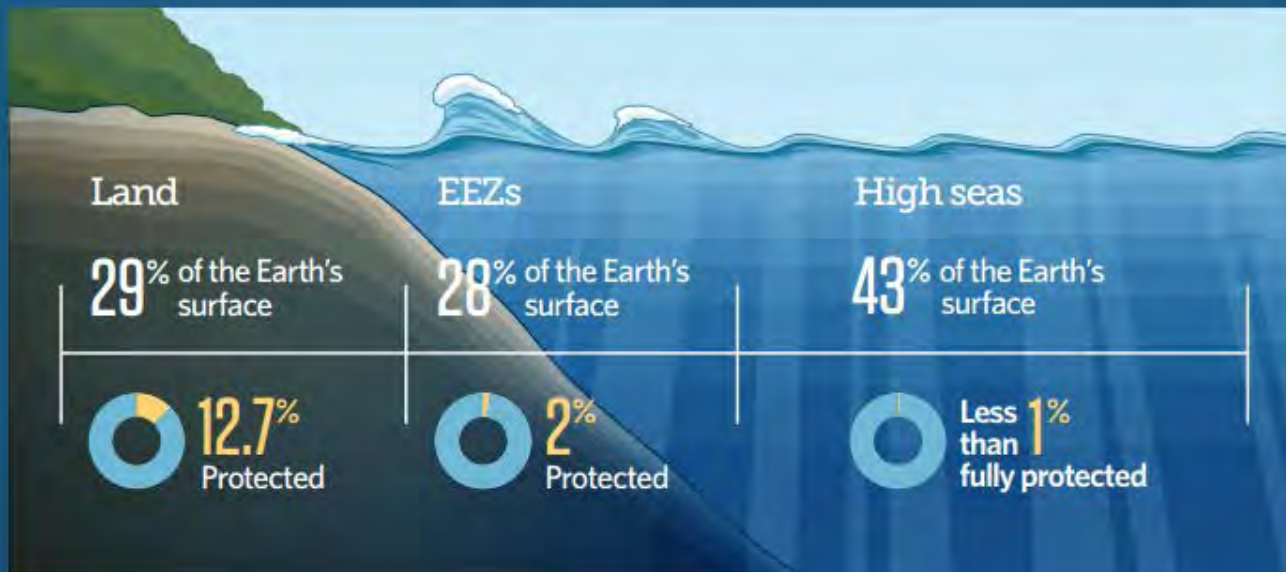
# Why the High Seas matter



# Current High Seas Protection

## Protected Areas and Reserves

Very little of the open ocean is protected. To conserve the ocean's biodiversity, the high seas need modern management tools, particularly legally required and globally respected marine protected areas and reserves.



Sources: High Seas Alliance, "Briefing 4: Wonders of the High Seas," <http://highseasalliance.org/resources>; International Union for Conservation of Nature, "Global Protected Areas Programme," [http://www.iucn.org/about/work/programmes/gpap\\_home/pas\\_gpap](http://www.iucn.org/about/work/programmes/gpap_home/pas_gpap); and Global Ocean Commission, "Policy Options Paper #7: MPAs: Protecting high seas biodiversity," <http://www.globaloceancommission.org/wp-content/uploads/GOC-paper07-MPAs.pdf>.



# Conservation targets

- Political
  - 10%
    - CBD (2010)
    - UN 2030 Agenda for Sustainable Development
  - 30%
    - IUCN World Parks Congress (2014)
    - IUCN World Conservation Congress (2016)
- Scientific
  - 30% (O’Leary et al., 2016)



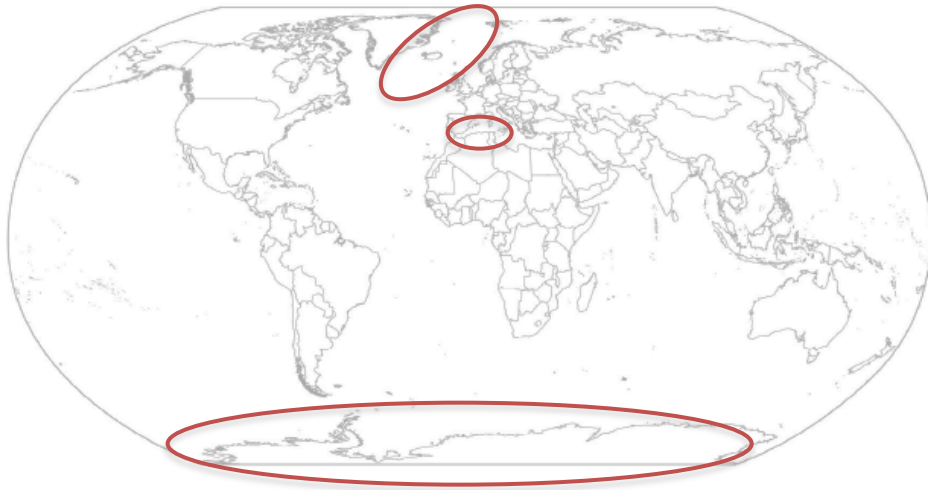
# Existing governance in ABNJ



Organizations included



# High Seas Governance gaps



- Few organizations taken action to protect biodiversity as a whole
- Exceptions:
  - Antarctic
  - NE Atlantic
  - Mediterranean



# BBNJ negotiations to date

- **2006-2015**  
informal  
working  
group
- **2016-2017**  
Preparatory  
Committee  
meetings
- Draft UNGA resolution to launch the IGC



# New Implementing Agreement

## Area-Based Management Tools (ABMTs)

- MPAs
- Governance?
- Enforcement?

## Environmental Impact Assessments (EIAs)

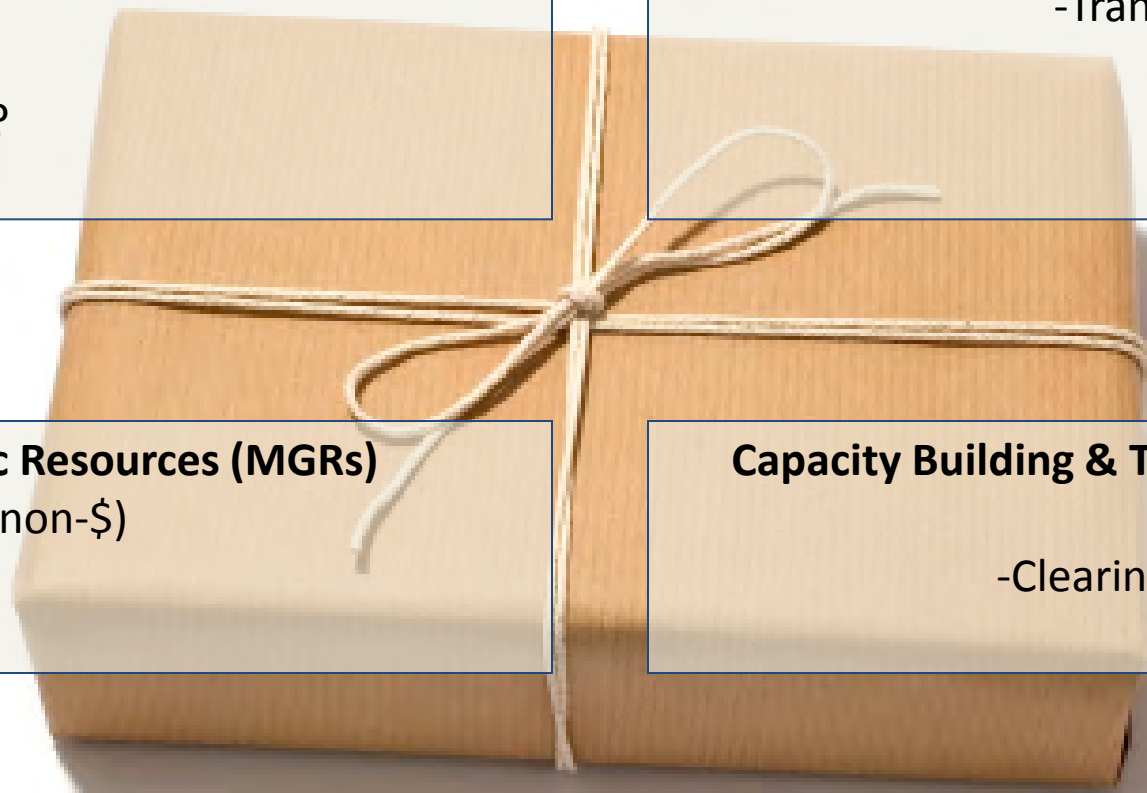
- Transboundary Impacts
- Thresholds

## Marine Genetic Resources (MGRs)

- Benefits (\$ vs. non-\$)

## Capacity Building & Technology Transfer (CBTT)

- Clearinghouse mechanism



# Moving forward



- UNGA resolution
- Start the new conference in 2018
- Goal: New international legally binding agreement by 2020



# Thank you!

# The MAMI WATA Project



Enhancing Marine Management in West Africa through  
Training and Application



# Project Goal



Improved conservation of **marine and coastal ecosystems** and biodiversity, sustainable resource use and long-term provision of ecosystem services is supported by **integrated oceans management** approaches adopted and applied by countries of the **Abidjan Convention Region**



# Theory of Change



- Sectorial to holistic management
- Needs based tools, methods and processes
- Fragmented to comprehensive expertise
- Empowering Abidjan Convention Countries
- Designed for post-project sustainability

# A dual approach



**Developing capacity** on tools, methods and approaches for Integrated Oceans Management

**Taking action** to advance Integrated Oceans Management at a national and regional level



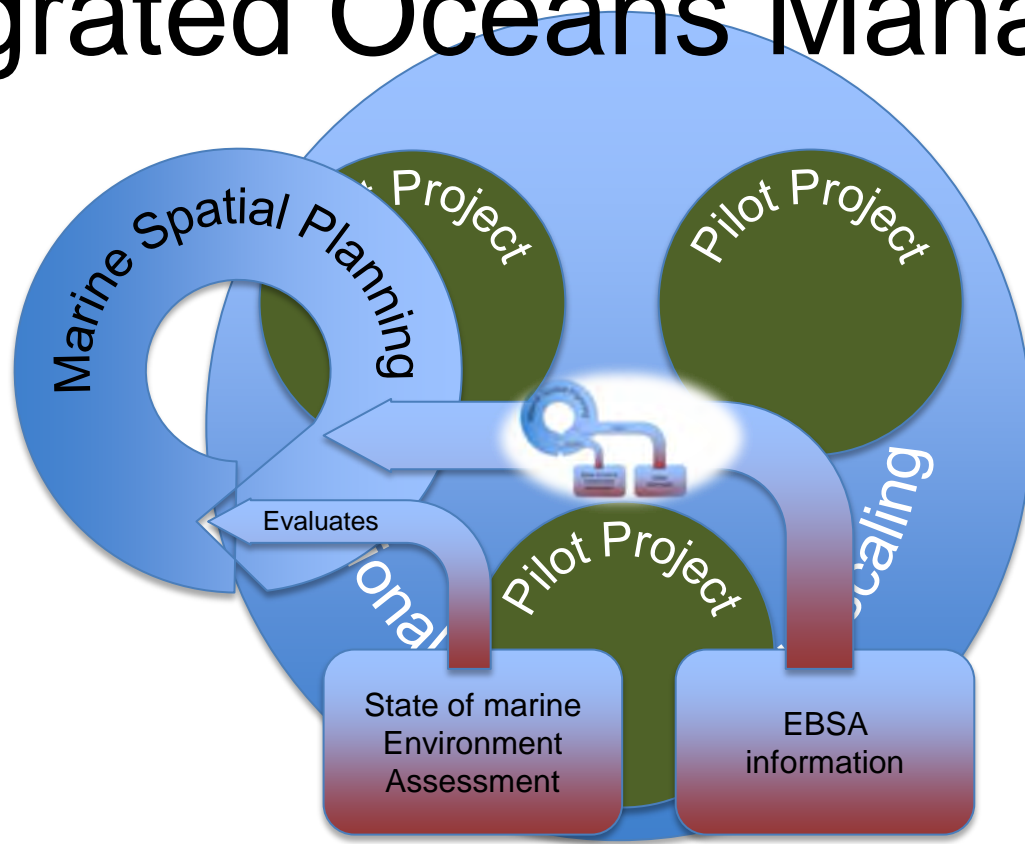
# National to Regional and Global

- Three pilot projects, representing regional ecological features, human activities and geographies
- Upscaling experiences to Abidjan Convention level for policy development
- Sharing experiences globally
- Developing capacity of national actors and well as existing regional Centres of Expertise





# Integrated Oceans Management



# Project Outcome



Improved conservation of **marine and coastal ecosystems** and biodiversity, sustainable resource use and long-term provision of ecosystem services is supported by **integrated oceans management** approaches adopted and applied by countries of the **Abidjan Convention**

# Outcome Indicators



- The Abidjan Convention adopts a policy for a common approach to integrated ocean management based on...
- Three countries integrate EBSAs, MSP and SoME in their national marine management and planning processes
- Two neighbouring countries coordinate efforts on EBSAs, MSP and SoME in their national marine management



# Outputs (work packages)

Capacity  
Development



Tools,  
Methods &  
Processes



Pilot Projects



Policy  
Development



Management & Communication





## Goals

- Support project activities through targeted communication
- Provide experience-sharing & peer-to-peer learning opportunities

## Activities

- Provide project stakeholders with information through website & newsletters
- Facilitate exchange within the regional community of practice through online and physical meetings
- Apply targeted communication to raise awareness and recognition of benefits of IOM, including supporting project partners
- Present the project at relevant forums and conferences, including at the CBD



## Goals

- Provide training to national institutions
- Strengthen existing Regional Centres of Expertise
- Develop sustainable expertise & experience in the region

## Activities

- Capacity Development Needs Assessment
  - for national institutions
  - for existing regional Centres of Expertise
- Develop training material, in collaboration with existing regional Centres of Expertise
- Provide capacity development trainings
- Support individual and institutional learning exchange through pilot projects
- Develop a catalogue of expertise & activities
- Develop sustainability strategies towards a Network of Centres of Expertise
- Provided targeted expert advice
- Facilitate academic exchange





## Goals

- Tools are developed according to countries' needs
- Lessons learnt on their application are used for refinement and shared in regionally and globally

## Activities

- Development of tools, methods & processes for the application and integration of
  - CBD *Ecologically or Biologically Significant marine Areas* (EBSAs)
  - Marine Spatial Planning
  - State of Marine Environment Assessments
- Customise and apply tools in pilot projects, review and refine
- Collect and share lessons learnt for regional and global replication
- Make practical experience available through an online sharing platform



## Goals

- Tools, methods and processes are applied and refined
- The pilot projects have served as examples for capacity building

## Activities

- Identify national pilot projects based on
  - National commitment
  - Ability to implement activities and achieve project outcomes
  - Geographic representation
  - Thematic considerations (e.g. representation of economic sectors)
- Develop and implement pilot projects that apply and test tools, methods and processes
- Provide expert advice and mentoring to projects
- Synthesise lessons from projects for refinement



## Goals

- Develop IOM Strategies in pilot project countries
- Develop IOM Strategy for Abidjan Convention

## Activities

- Describe existing policy frameworks in the region and identify needs for IOM frameworks
- Draw experiences from pilot projects to inform policy recommendations
- Develop recommendations for regional and national IOM policy frameworks
- Identify and pursue opportunities for upscaling and replication



# Partnership

- Funded by the German Ministry for the Environment, through the International Climate Initiative
- With 3'500'000 Euro, January 2016 - December 2019
- Implemented by GRID-Arendal and the Abidjan Convention Secretariat



## Practical Ocean Governance in the North East Atlantic

Dr Darius Campbell  
Secretary of NEAFC

NEAFC



North East Atlantic Fisheries Commission



# What is NEAFC/CPANE



“The objective of NEAFC is to ensure the long-term conservation and optimum utilisation of the fishery resources in its Convention Area, providing sustainable economic, environmental and social benefits”

NEAFC

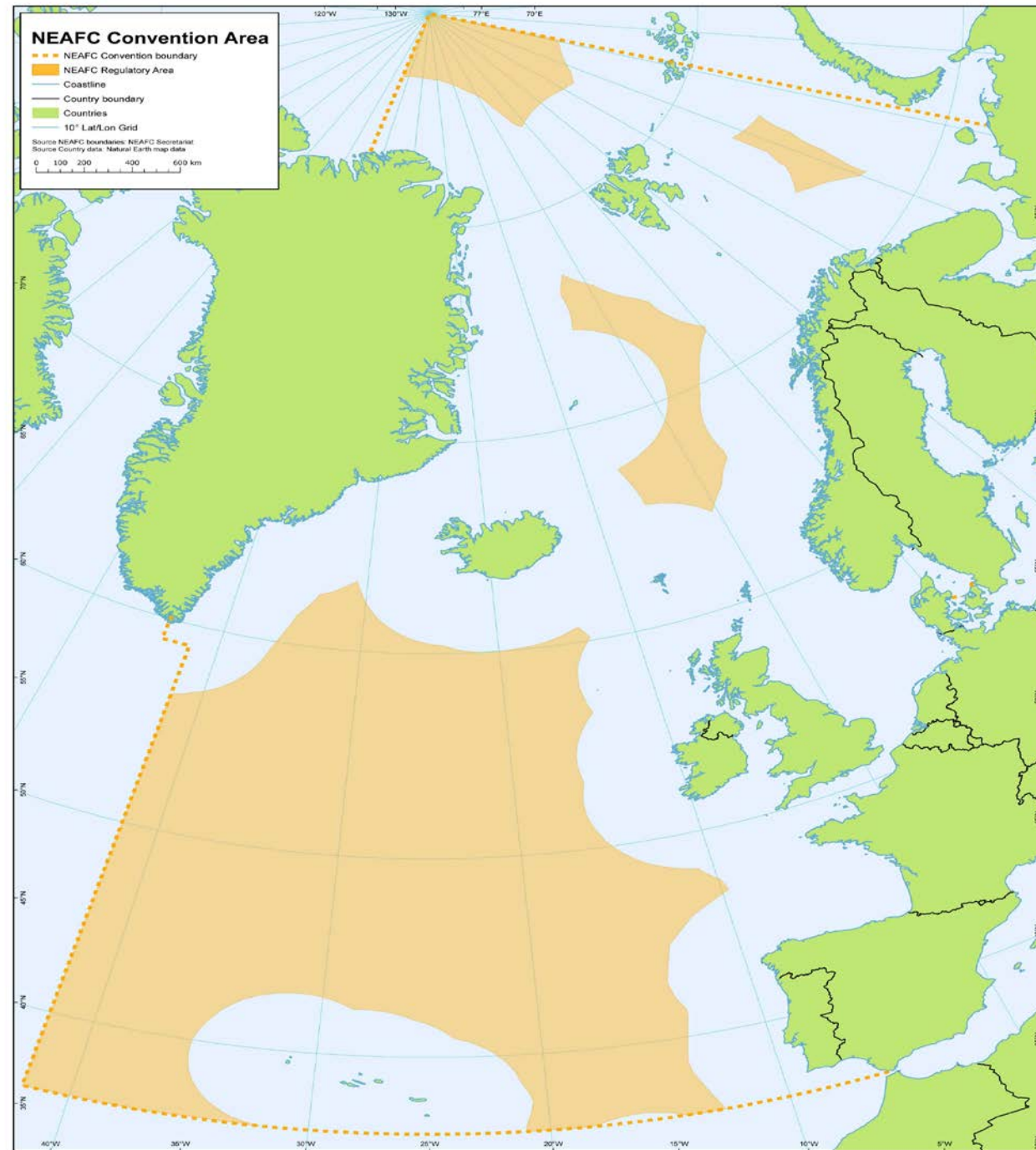


North East Atlantic Fisheries Commission



# NEAFC Convention Area

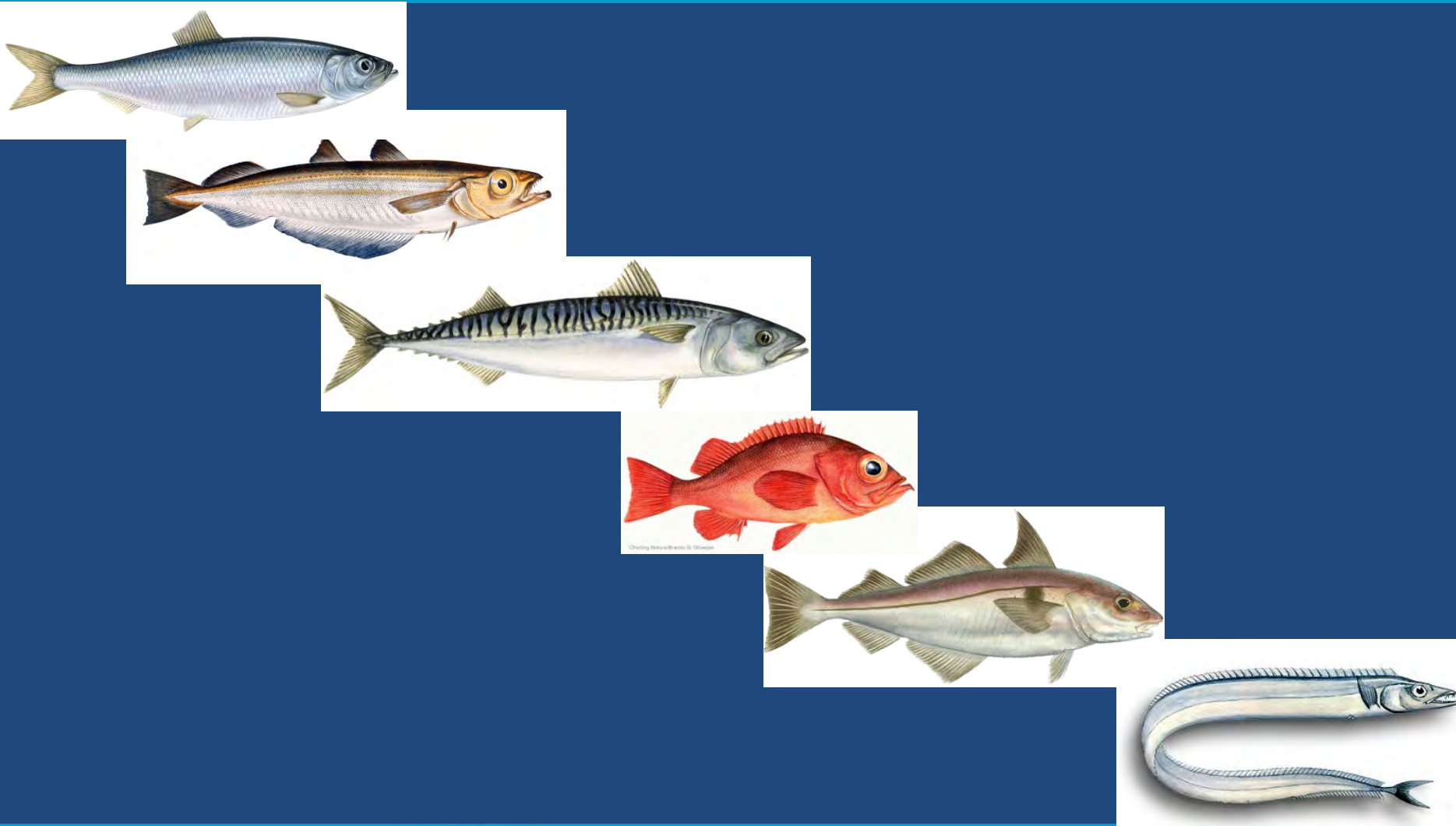
NEAFC



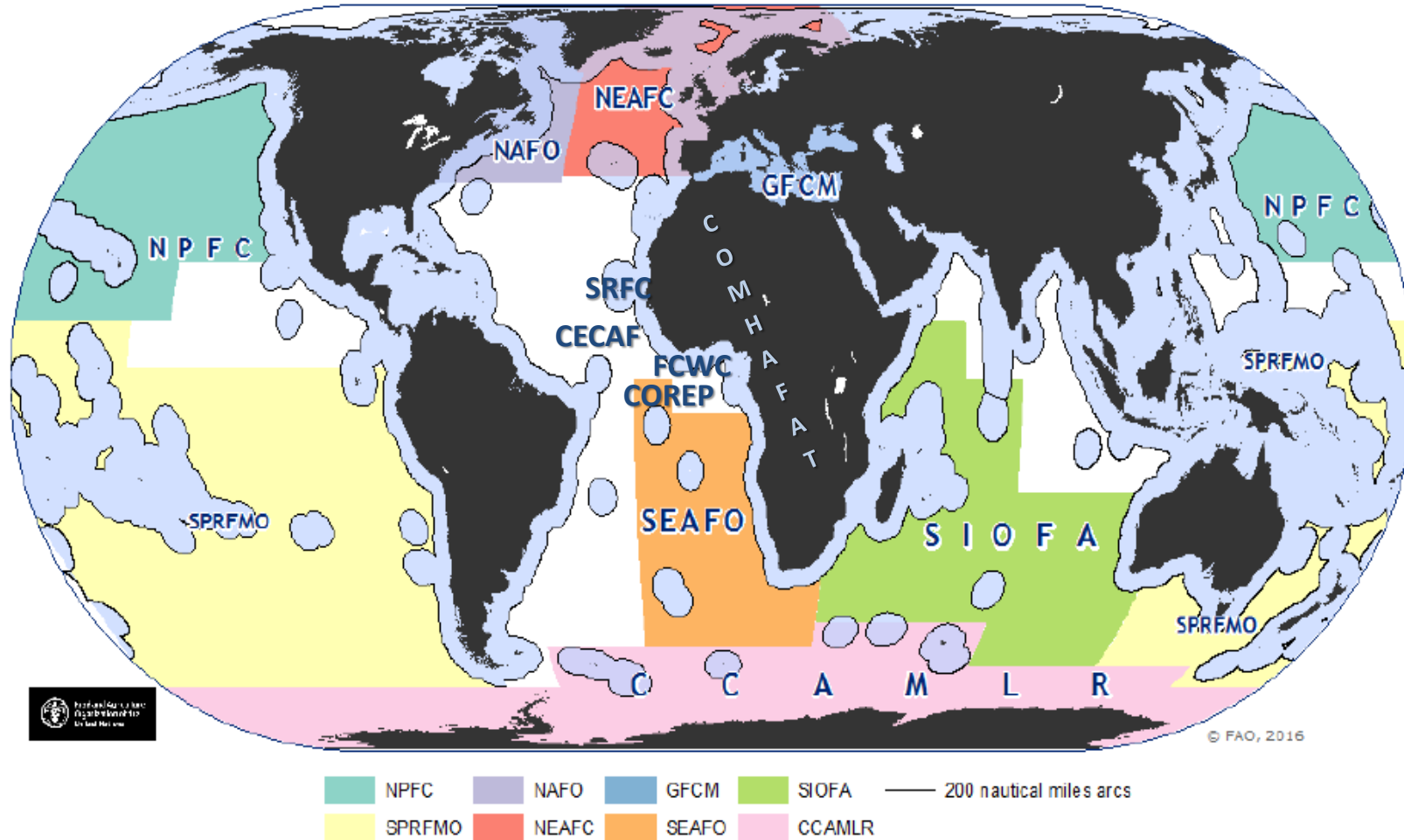
# NEAFC's Main Stocks

Main species:

- Herring
- Blue whiting
- Mackerel
- Redfish
- Haddock
- Deep-sea species



# Our stocks are shared: UN Fish Stocks Agreement



NEAFC



North East Atlantic Fisheries Commission



# Economic and Social importance

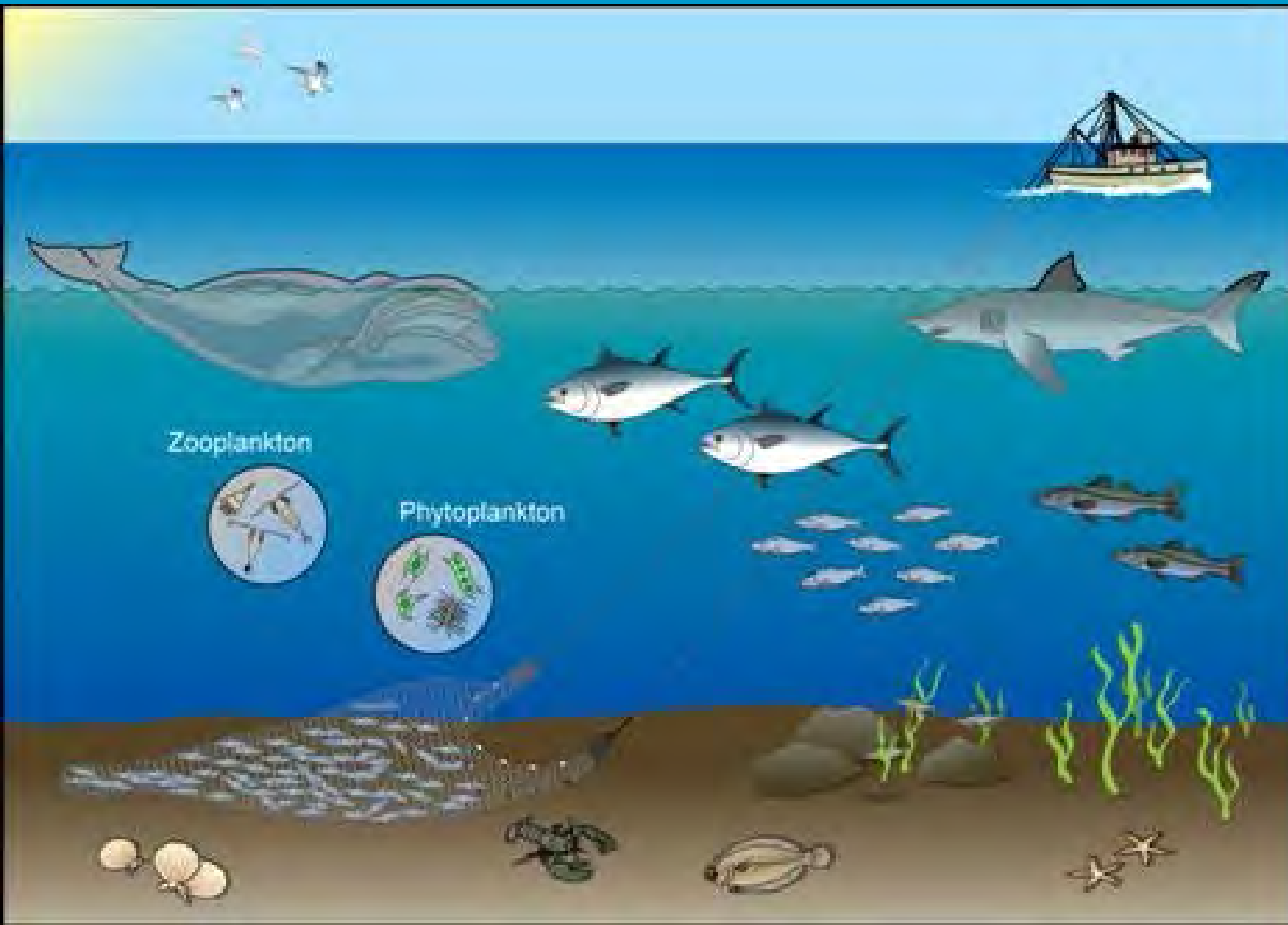


## Contracting Parties:

- Denmark in respect of Faroes and Greenland
- European Union
- Iceland
- Norway
- Russian Federation



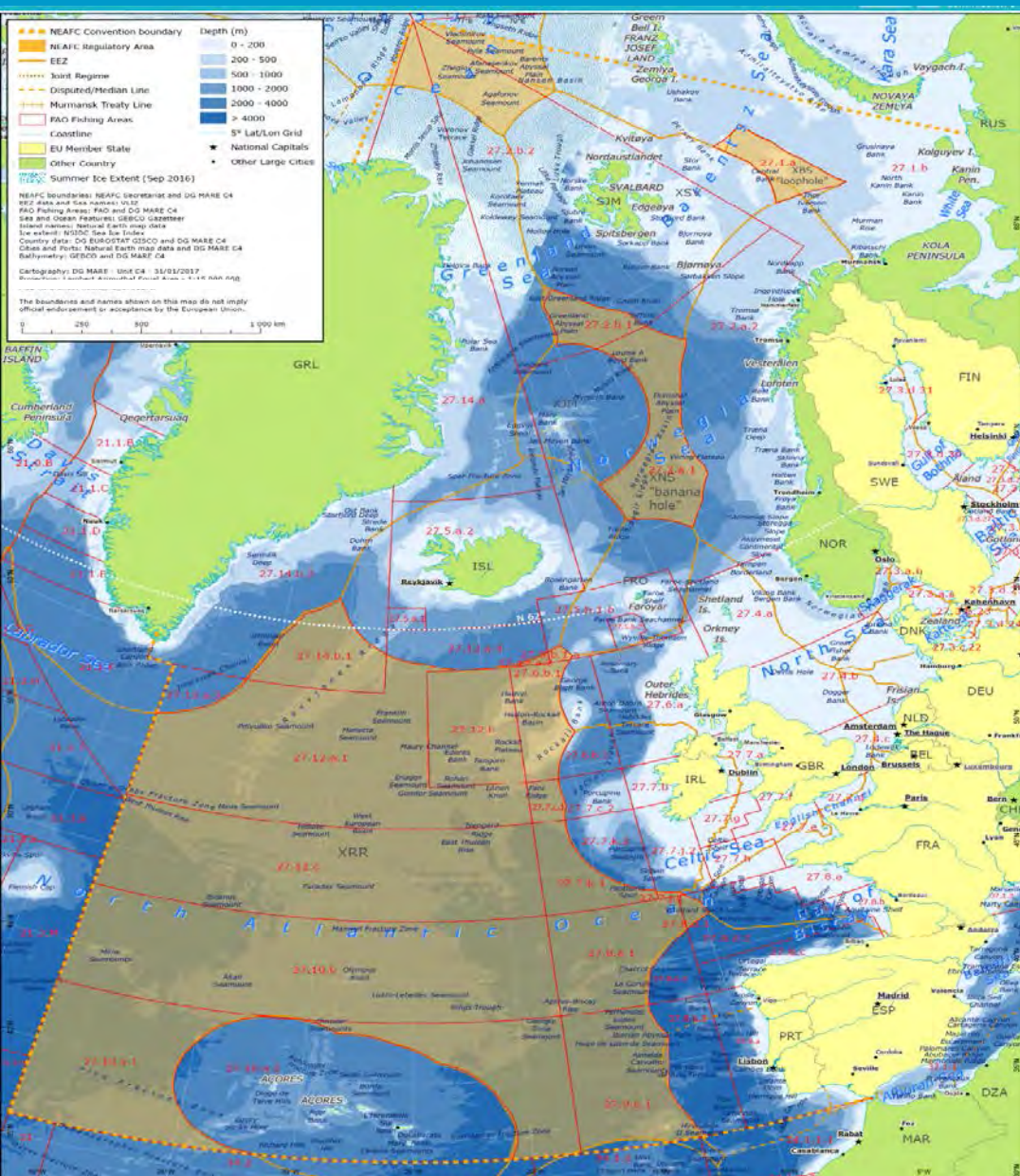
# Environmental and Sustainable



- Objectives include environmental objectives.
- Sustainability applies to all 3: social economic and environmental...



# NEAFC Management of shared fish stocks



- Independent Scientific advice from ICES
- Total Allowable Catches
- Allocation
- Technical measures
- Control and enforcement

NEAFC



North East Atlantic Fisheries Commission

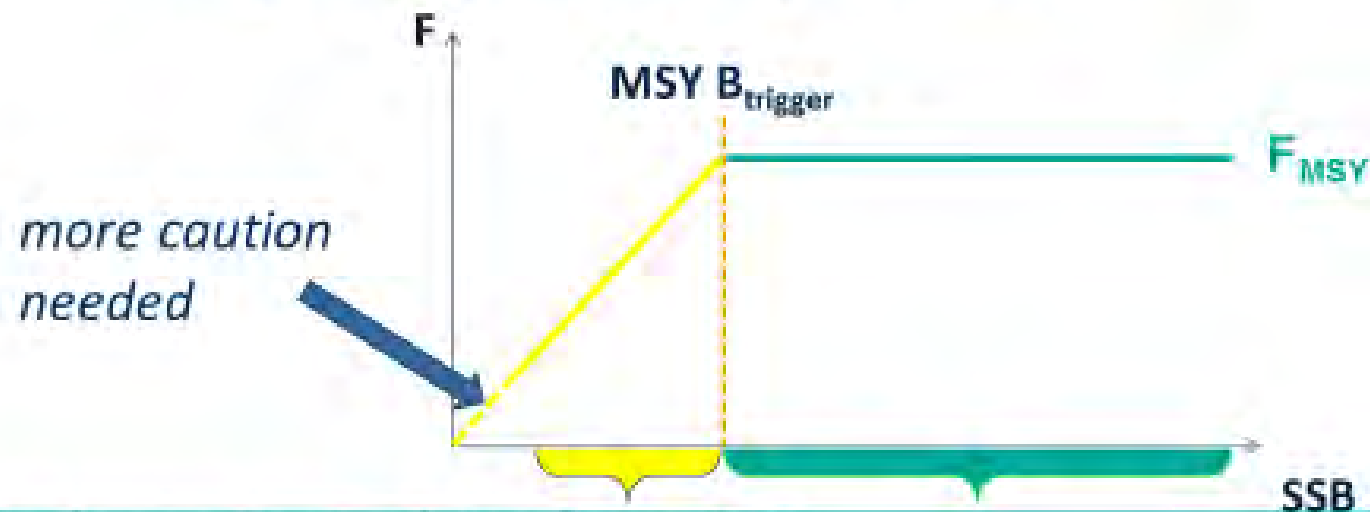


# Science-driven decisions

## International Council for Exploration of the Sea (ICES) Maximum Sustainable Yield approach



- ✓ Maximize long term average yield
- ✓ Safeguard against low Spawning Stock Biomass
- ✓ Stay within precautionary boundaries

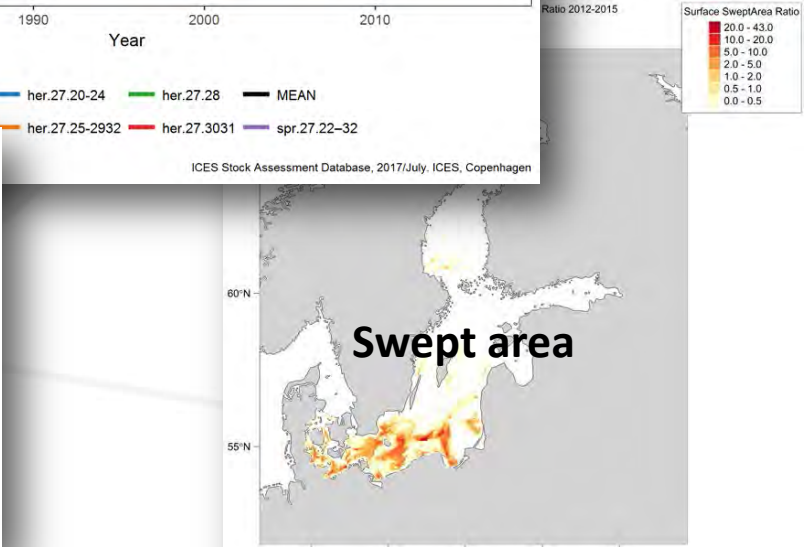
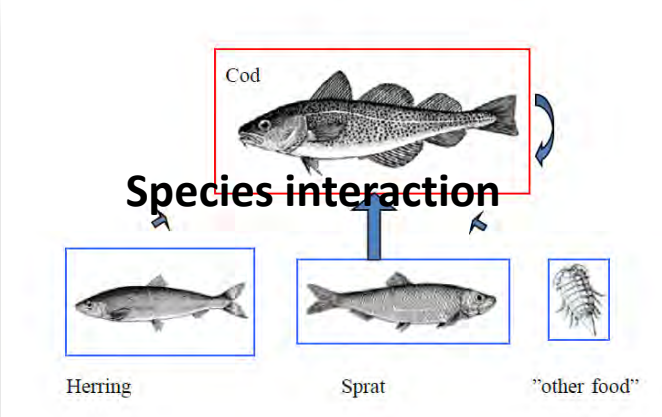
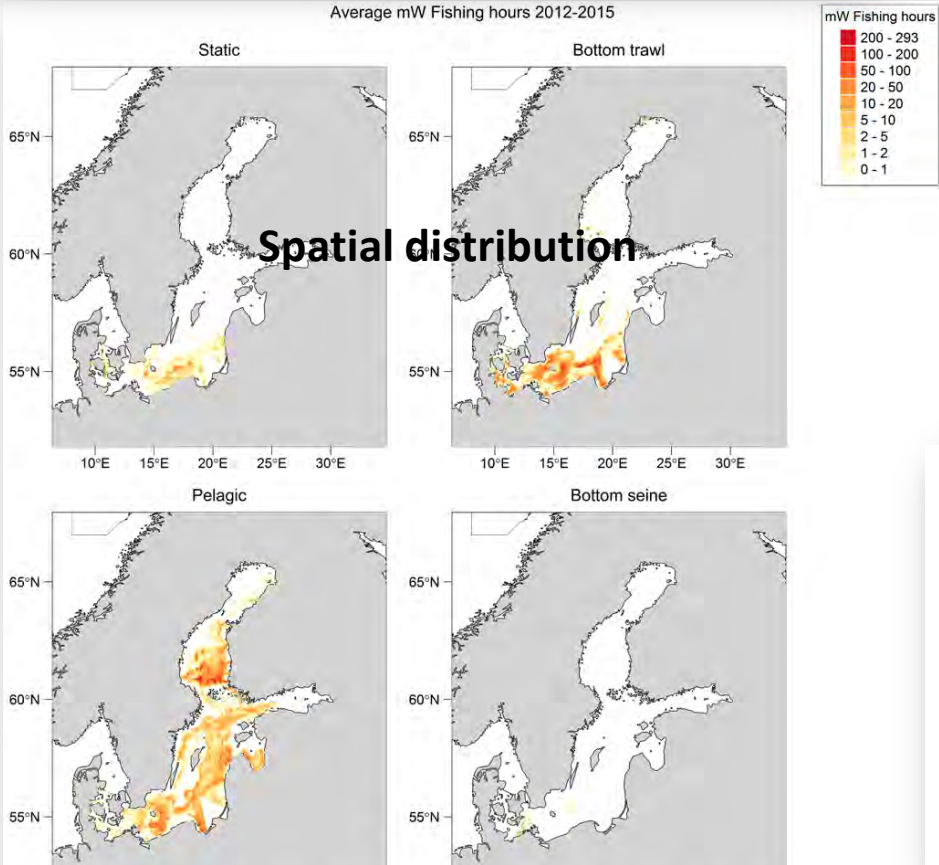
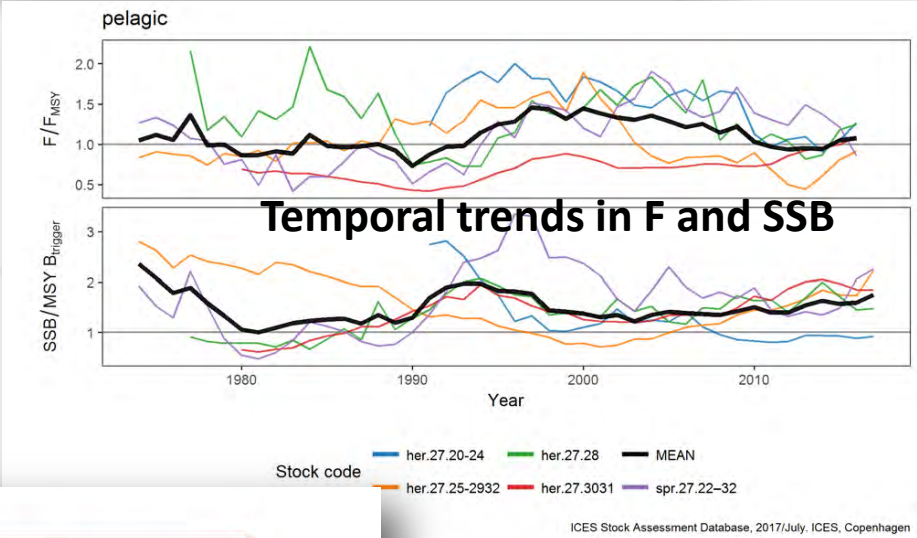
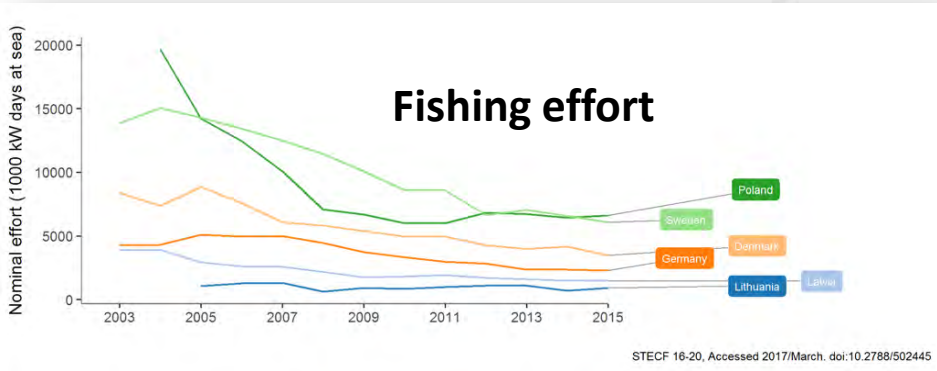
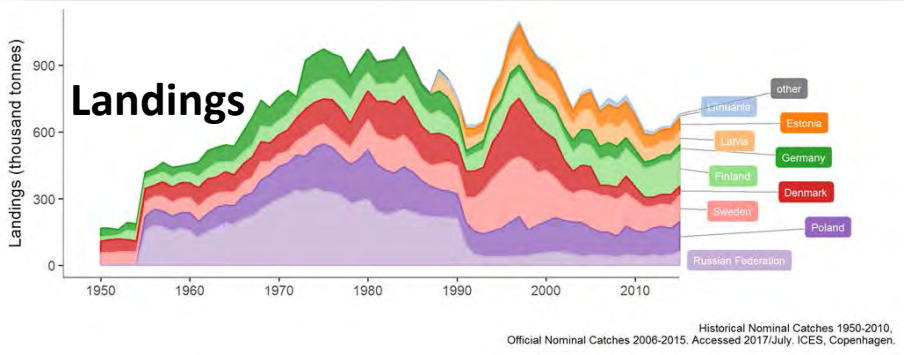


Independent advice from ICES

Science for sustainable seas

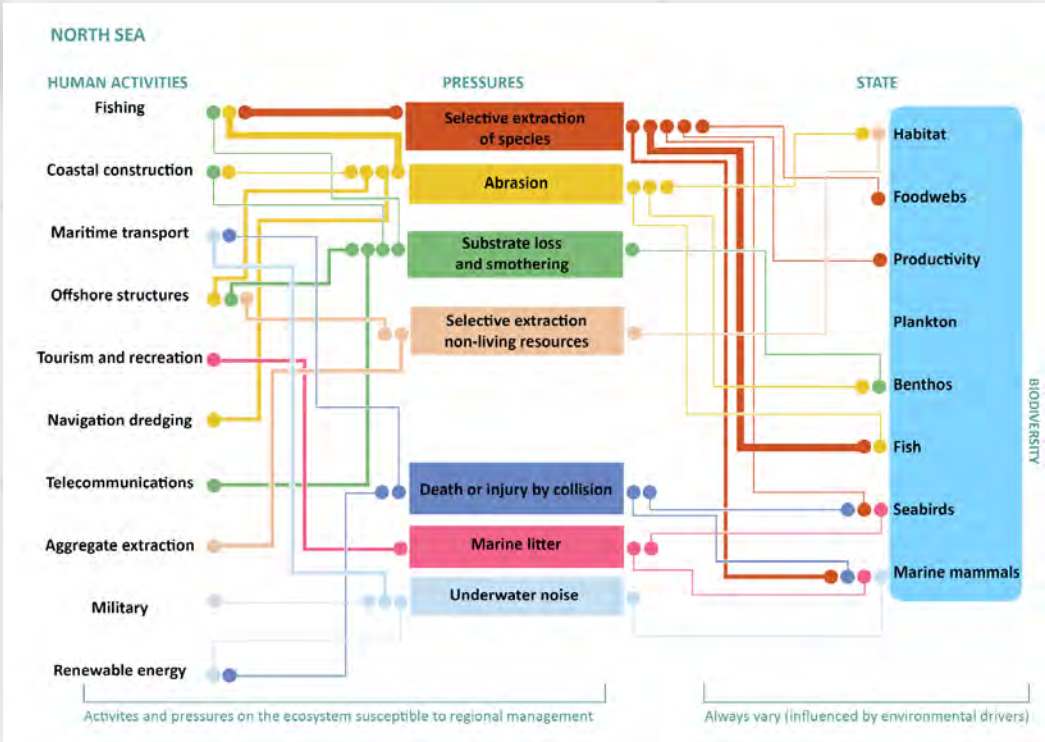
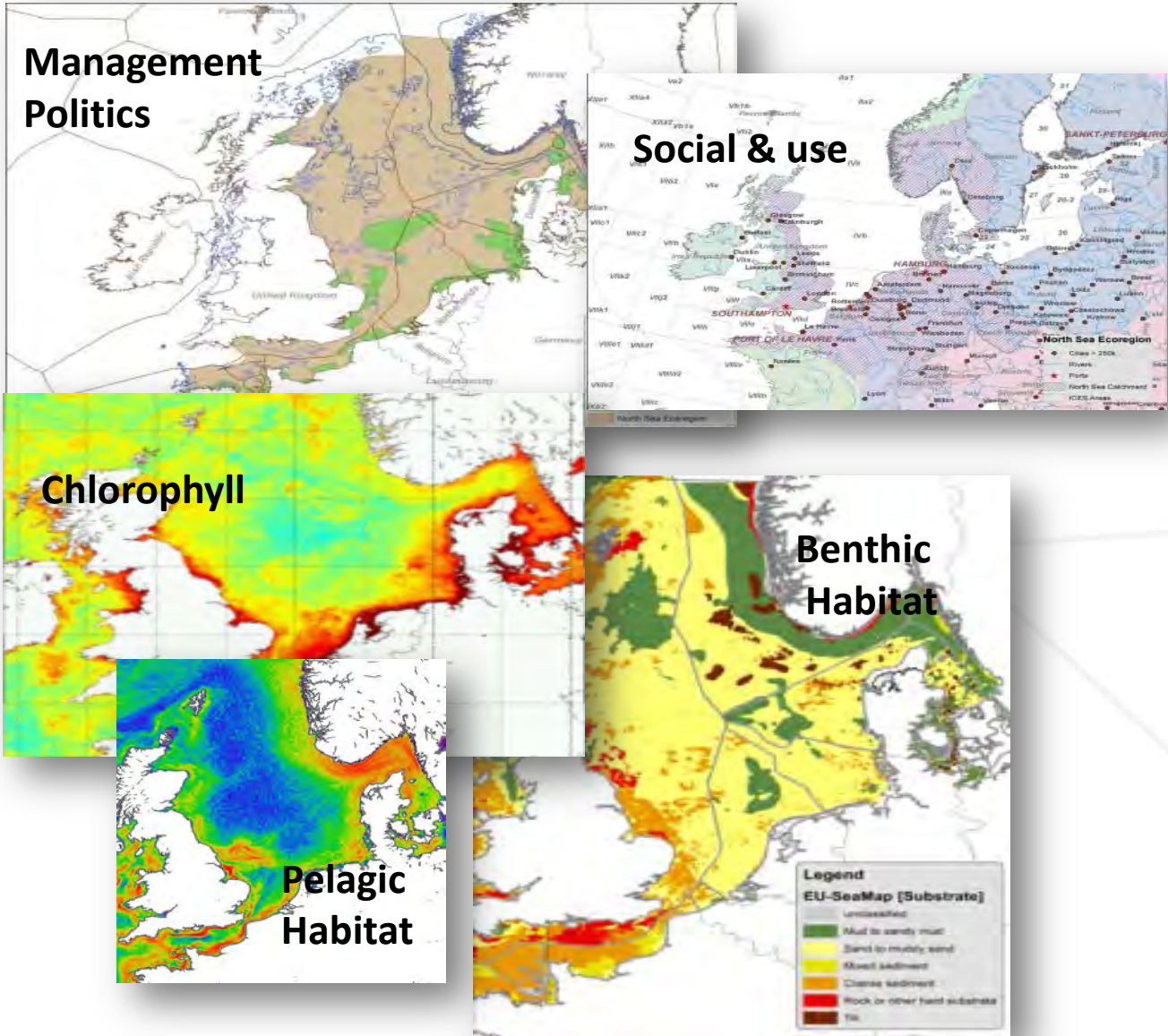


# ICES Fisheries overviews





# ICES Ecosystem overviews





# Conservation of living marine resources



Linda Snook Wikimedia Commons

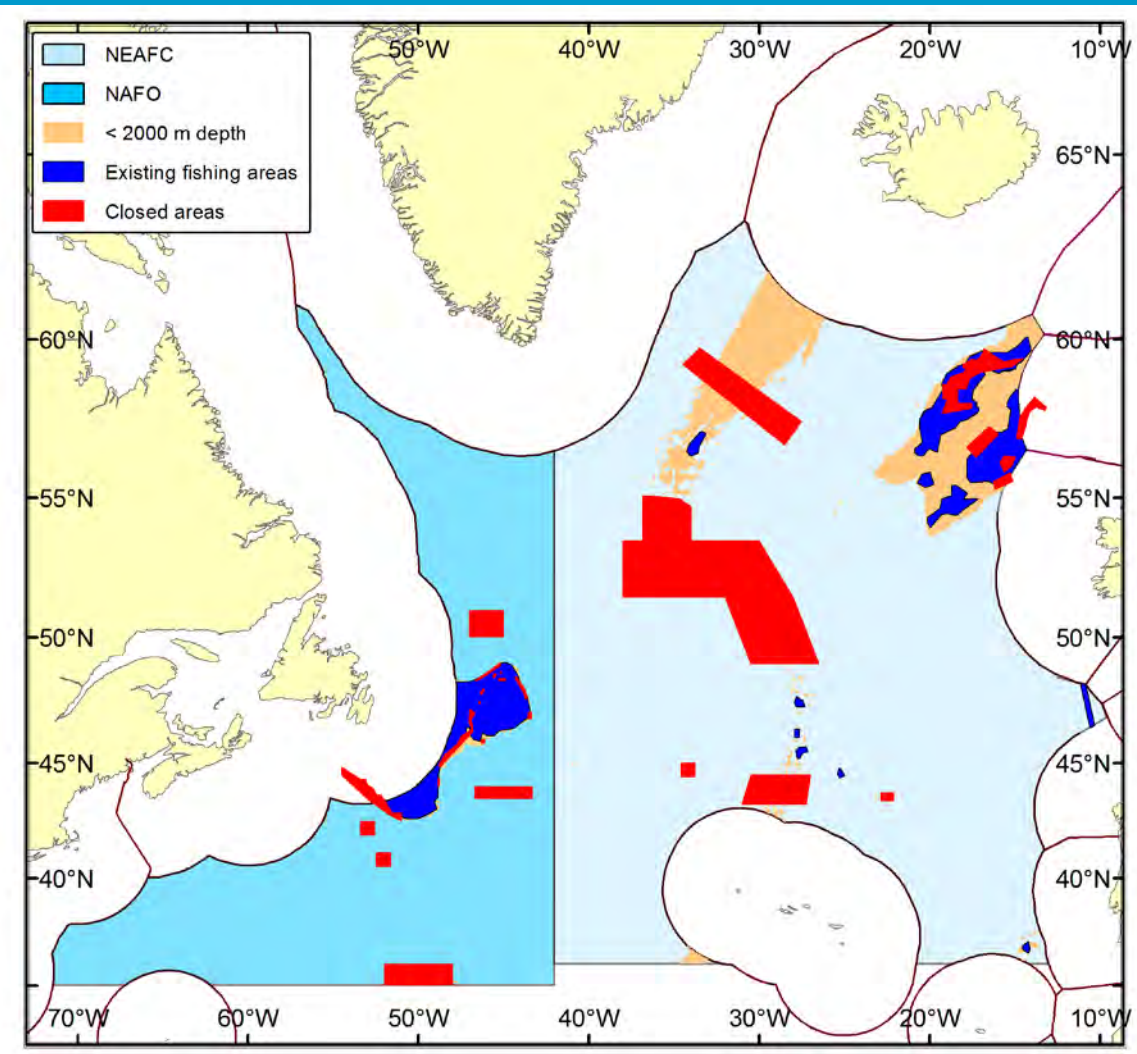
- Scientific advice on deep sea species
- Binding measures to ban targeted fisheries at species at risk, e.g. sharks



- Protection of vulnerable marine ecosystems (VMEs) e.g. Sponges and Corals



# NEAFC Vulnerable Marine Ecosystem Closures



- Establish a bottom-fisheries foot print (1987- 2007)
- New areas only after assessment via exploratory bottom fishing
- Encounters with VMEs result in move on/ temporary closure/etc
- Identifying VMEs and adopting appropriate management (e.g. area closures)





# Human activities – the effects of these interact.

- Fisheries
- Shipping
- Dumping and Dredging
- Offshore renewable energy
- Oil and gas exploration
- Coastal defence and other structures
- Cables and pipelines
- Artificial reefs
- Land reclamation
- Sand and gravel extraction
- Tourism
- Mariculture
- Marine litter
- Underwater noise
- Dumped munitions







Further out to sea – beyond national jurisdiction.



Photo: IFREMER

NEAFC



North East Atlantic Fisheries Commission



# Links between ABNJ and EEZ; National and Global

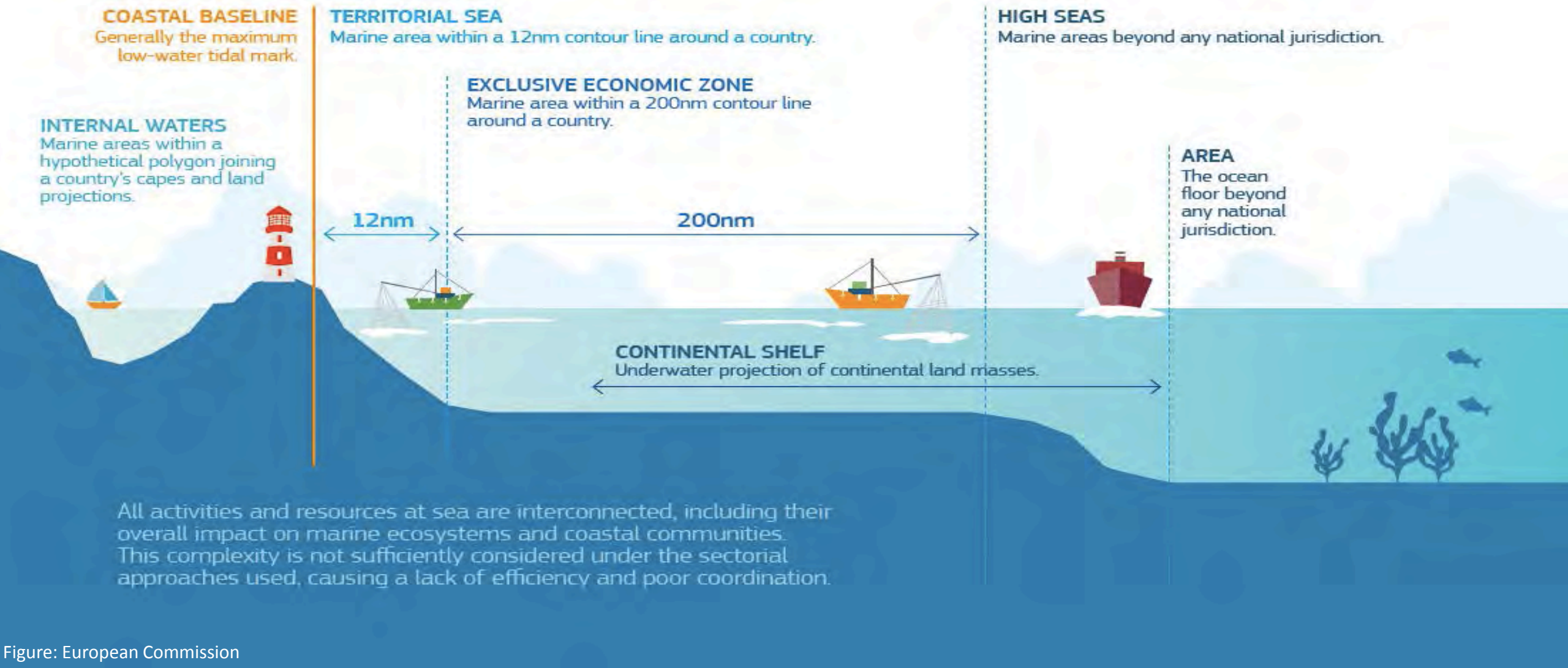


Figure: European Commission

# Partners – the Regional Seas Convention in the North East Atlantic



**OSPAR**  
**COMMISSION**

*Protecting and conserving  
the North-East Atlantic  
and its resources*

## OSPAR: Main Objectives

- Prevent and eliminate pollution
- protect the maritime area against the adverse effects of human activities
- safeguard human health and conserve marine ecosystems
- when practicable, restore marine areas

## Guiding Principles

- Ecosystem Approach
- Precautionary Principle

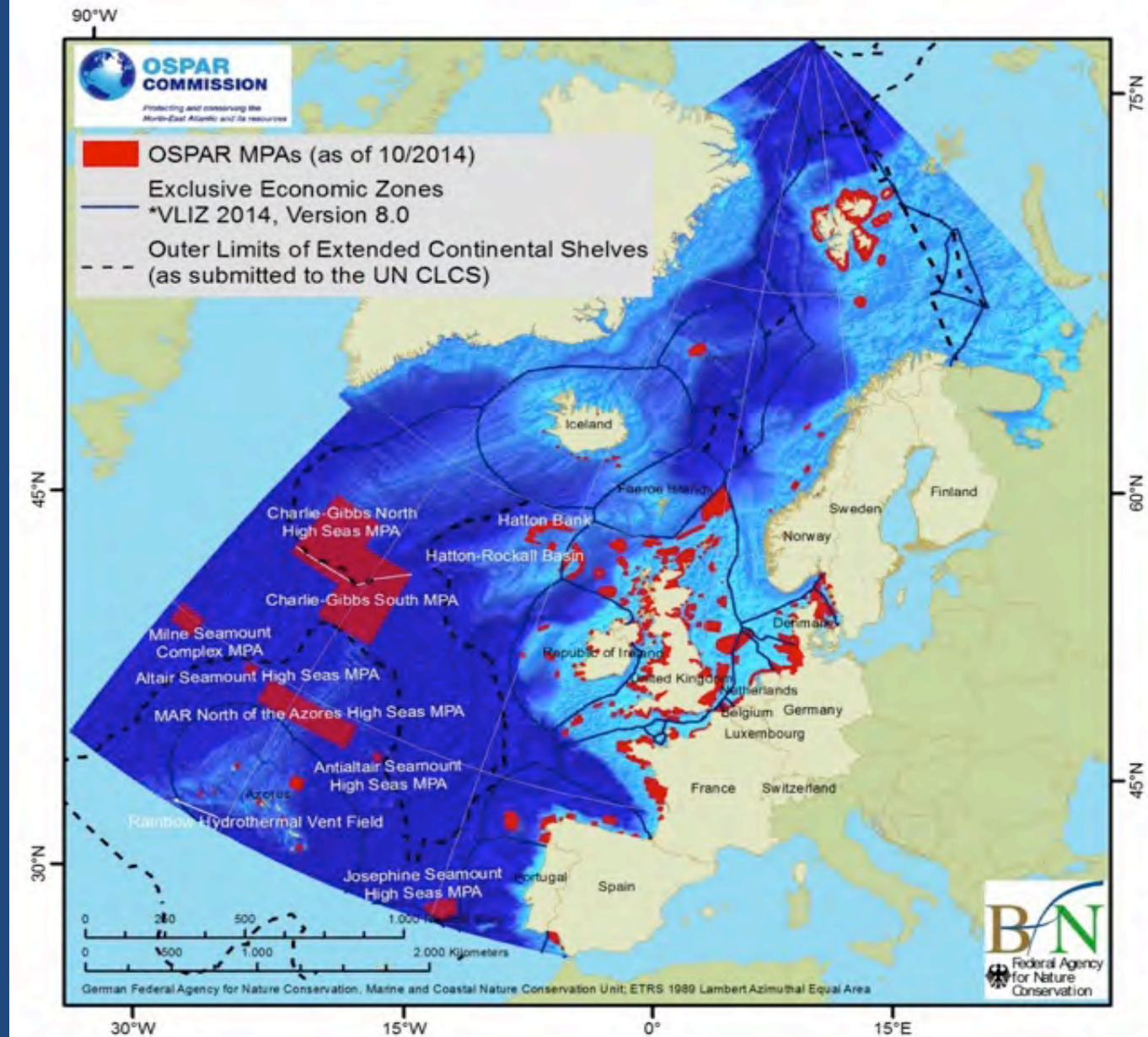
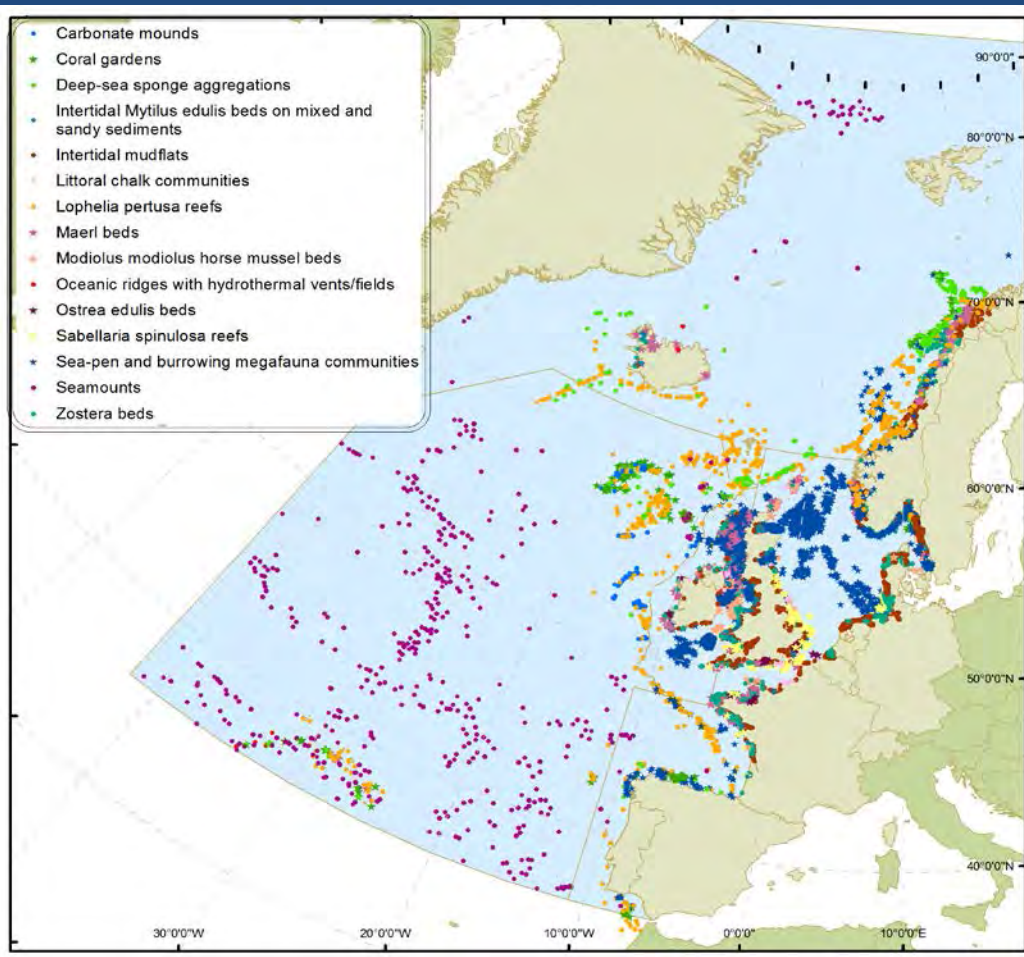
NEAFC



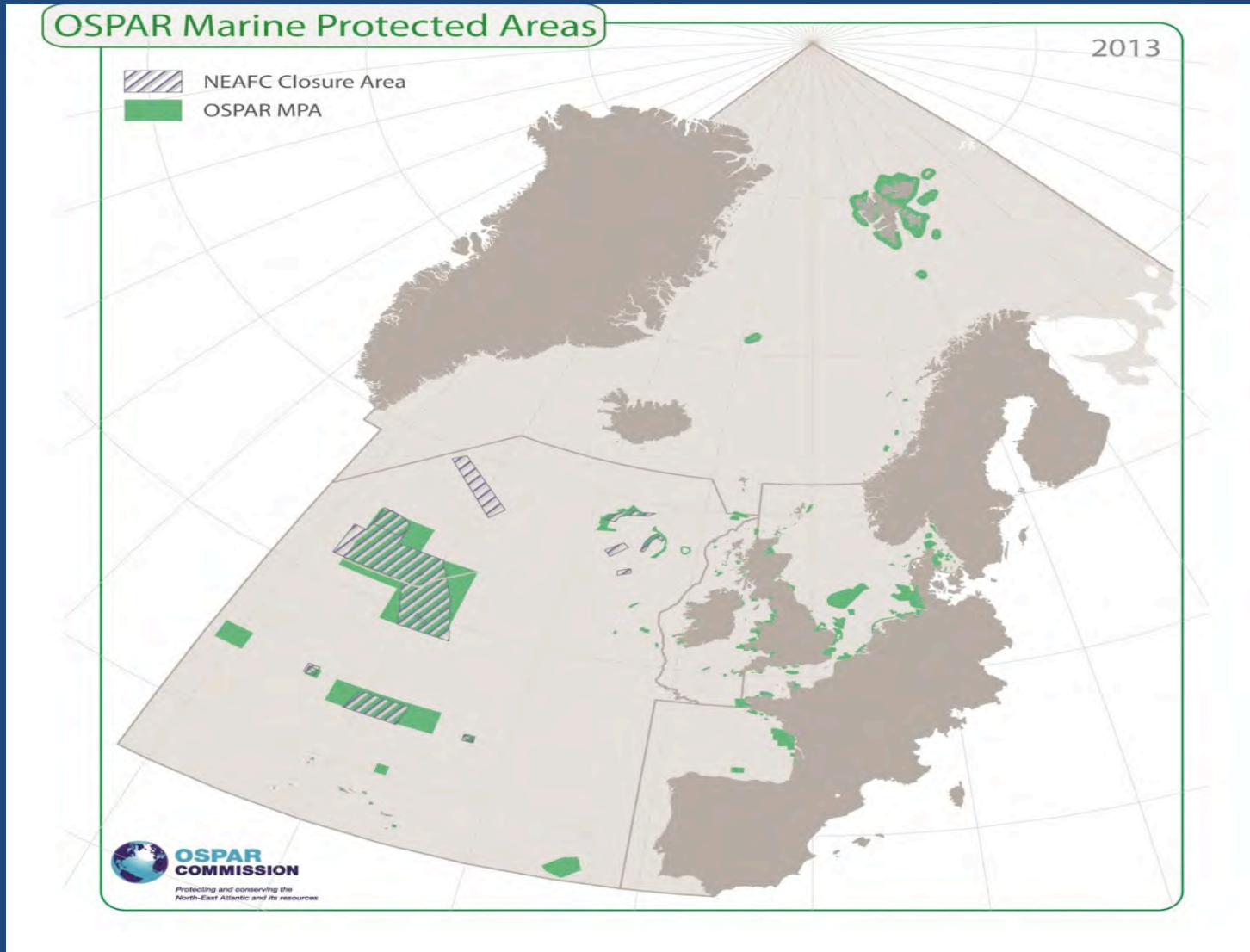
North East Atlantic Fisheries Commission



# Protecting species and habitats – Marine Protected Areas



# NEAFC and OSPAR; area based measures



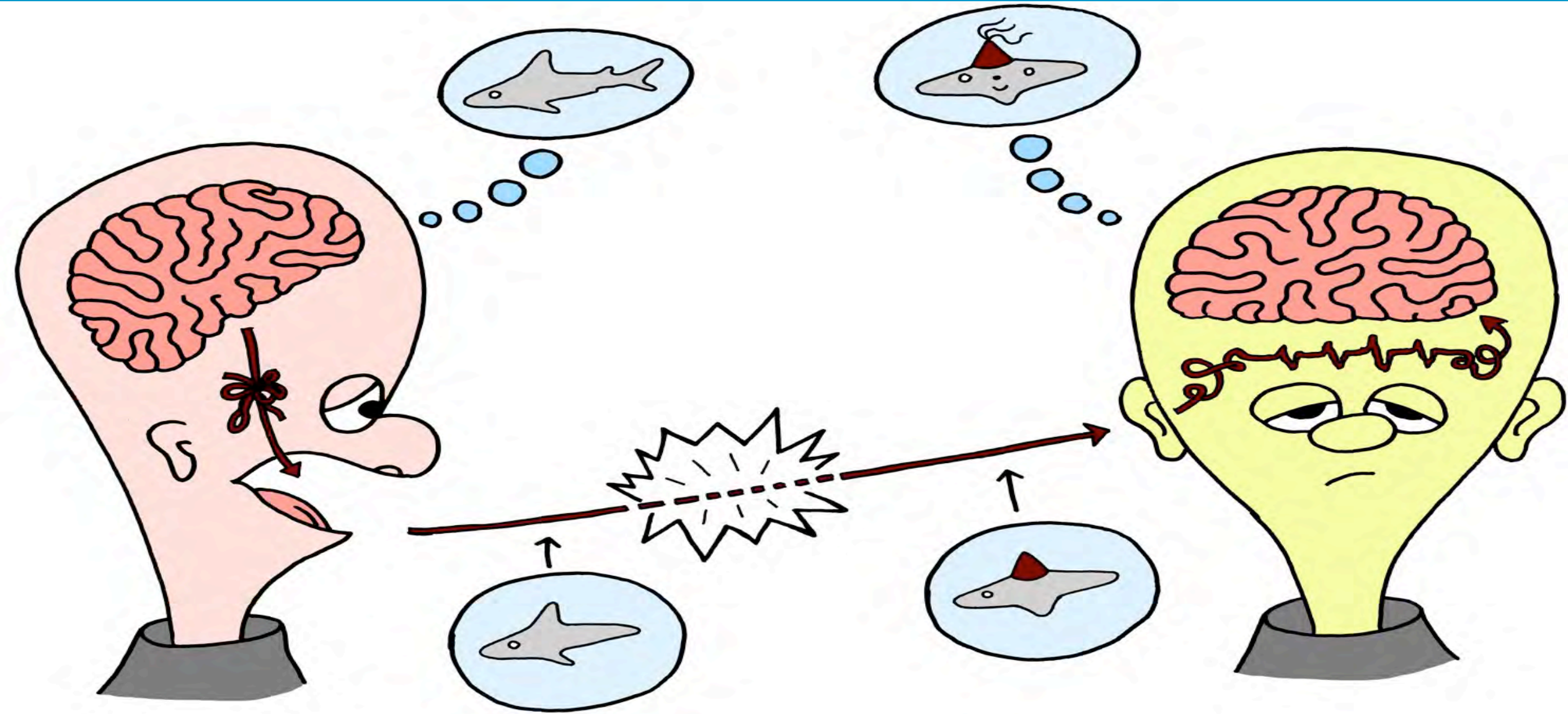
NEAFC



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# Are we speaking the same language?





# Collective arrangement

- 
- Non-legally binding text
  - Agreed between international organisations competent for managing human activities
  - Cooperate and coordinate
  - Concerns areas in ABNJ with area specific management actions
  - Guided by internationally agreed principles and norms
  - Sets the framework to cooperate and coordinate – important not to undermine each other's work

# Practical outcomes; Deep Sea Sharks; proposed MPAs



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# Other cooperation: Global-Regional



NEAFC



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# Broader International Context



- UN Agenda 2030 and Sustainable Development Goal 14
- UNCLOS BBNJ Implementation Agreement

# SUSTAINABLE OCEAN INITIATIVE (SOI)

GLOBAL DIALOGUE WITH REGIONAL SEAS ORGANIZATIONS AND REGIONAL FISHERIES BODIES ON  
ACCELERATING PROGRESS TOWARDS THE AICHI BIODIVERSITY TARGETS

SOI 세계 해양-수산 국제기구 포럼 / 26-29 September 2016 Seoul, Republic of Korea

Sponsored by RO Korea, Japan and European  
Commission



15 RSOs out of 18 (20 rep)

15 RFBs out of 51  
(17 rep)

18 EU/national  
gov/institutions  
(53 rep)

10 UN orgs  
(17 rep)

120

8 Int'l orgs  
(13 rep)

# Are these lessons applicable everywhere?



1

Information and  
knowledge sharing

2

Common  
discussions;  
coordinating  
world views

3

Adjustment of  
behaviour  
within own  
sector

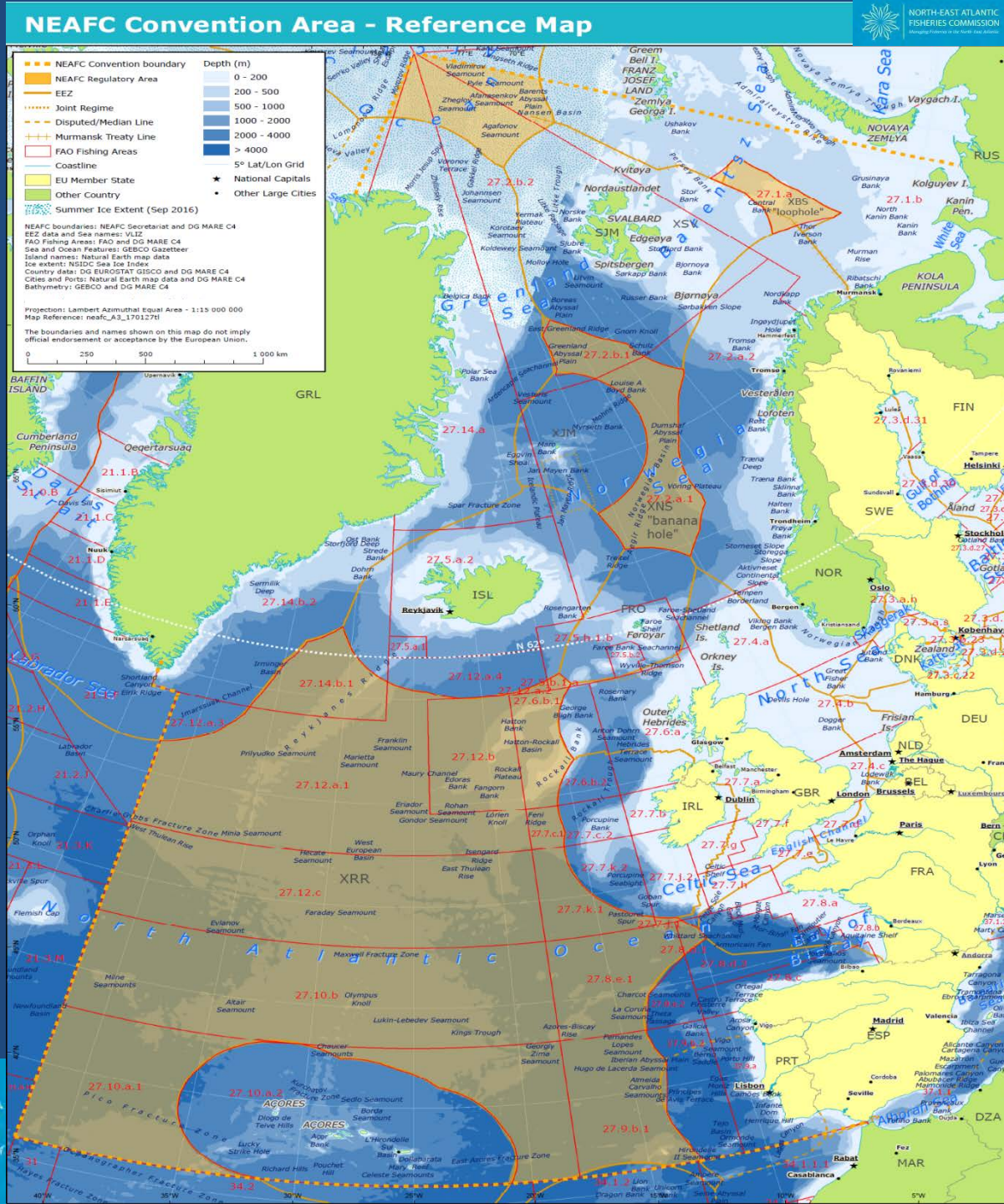
4

Joint measures across  
sectors and levels

The ladder of coordination  
(Hanssen et al 2013)



[www.neafc.org](http://www.neafc.org)





An aerial photograph of the ocean with white-capped waves breaking over a dark blue-green sea. The perspective is from directly above, showing the intricate patterns of the water's surface.

# Stakeholder Participation and Governance of the High Seas

Adnan Awad    Director IOI-SA  
Jaime Aburto Frías    ESMOI, Chile

STRONG High Seas Project  
Dialogue Workshop 1  
Abidjan 27-28 June 2018

# Stakeholder

The term stakeholder comes from the business world. Was defined by **Freeman 1984** (*Strategic Management: A Stakeholder Approach*), in which the author argued that these interest groups are an essential element that should be taken into account in strategic business



## Stakeholder in NRM

- “...any group of people, organized or unorganized, who share a common interest or stake in a particular issue or system...” (Grimble & Wellar, 1997)
- “...any individual, group and institution who would potentially be affected, whether positively or negatively, by a specified event, process or change.” (Gass et al. 1997)
- Stakeholders are defined as those who are affected by or can affect a decision (Reed, 2008)



# Stakeholder analysis

A tool for policy analysis and formulation. It is an approach for understanding a system by identifying key actors or stakeholders and assessing their respective interests in that system (Grimble & Wellard, 1997)



It has been developed in response to the challenge of multiple interests and objectives, and particularly the search for efficient, equitable and environmentally sustainable development strategies (Grimble & Wellard, 1987).

# Some examples of stakeholder analysis

## Water management in Mexico

Figure 3.1. Institutional mapping of roles and responsibilities for water resources management in Mexico

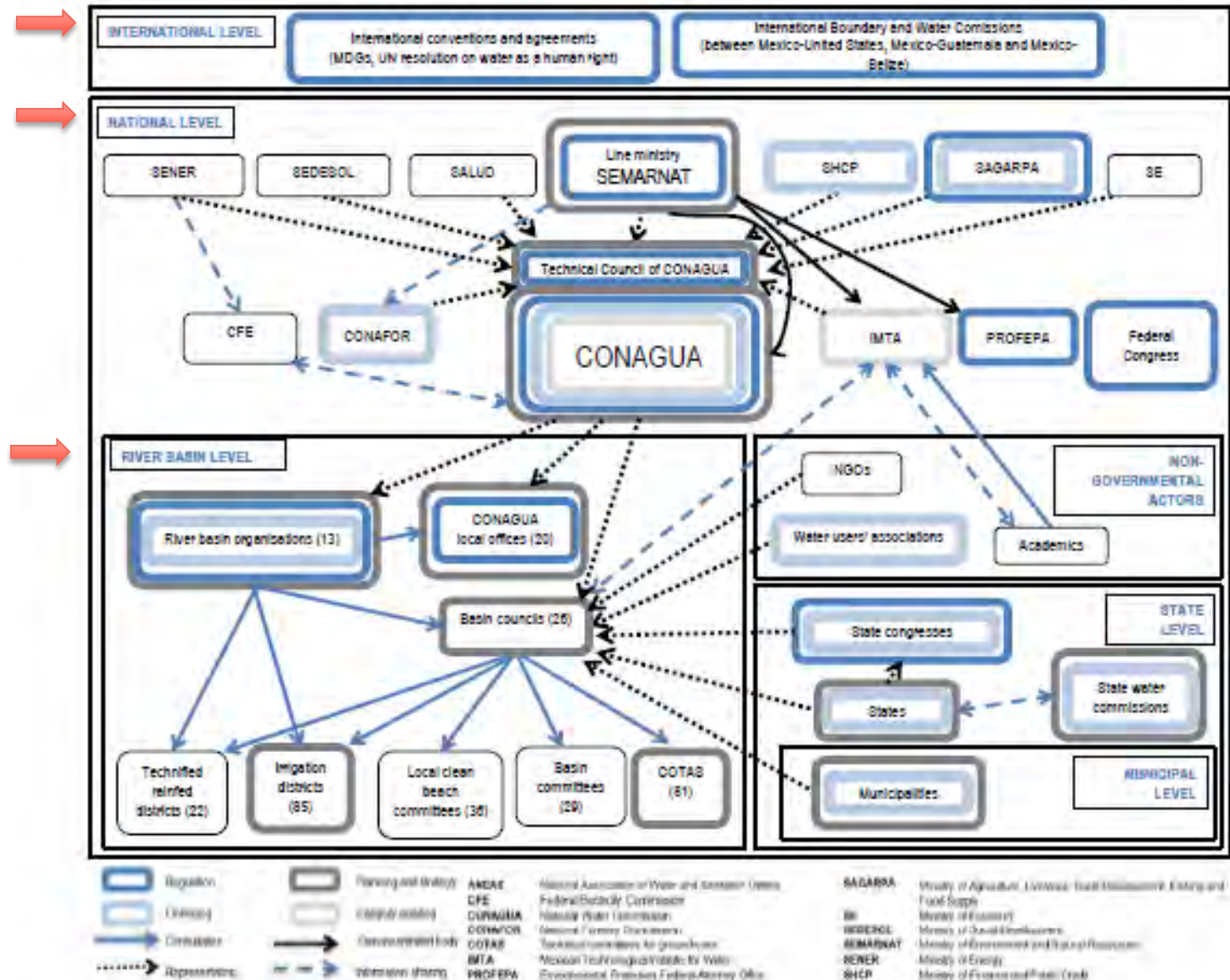
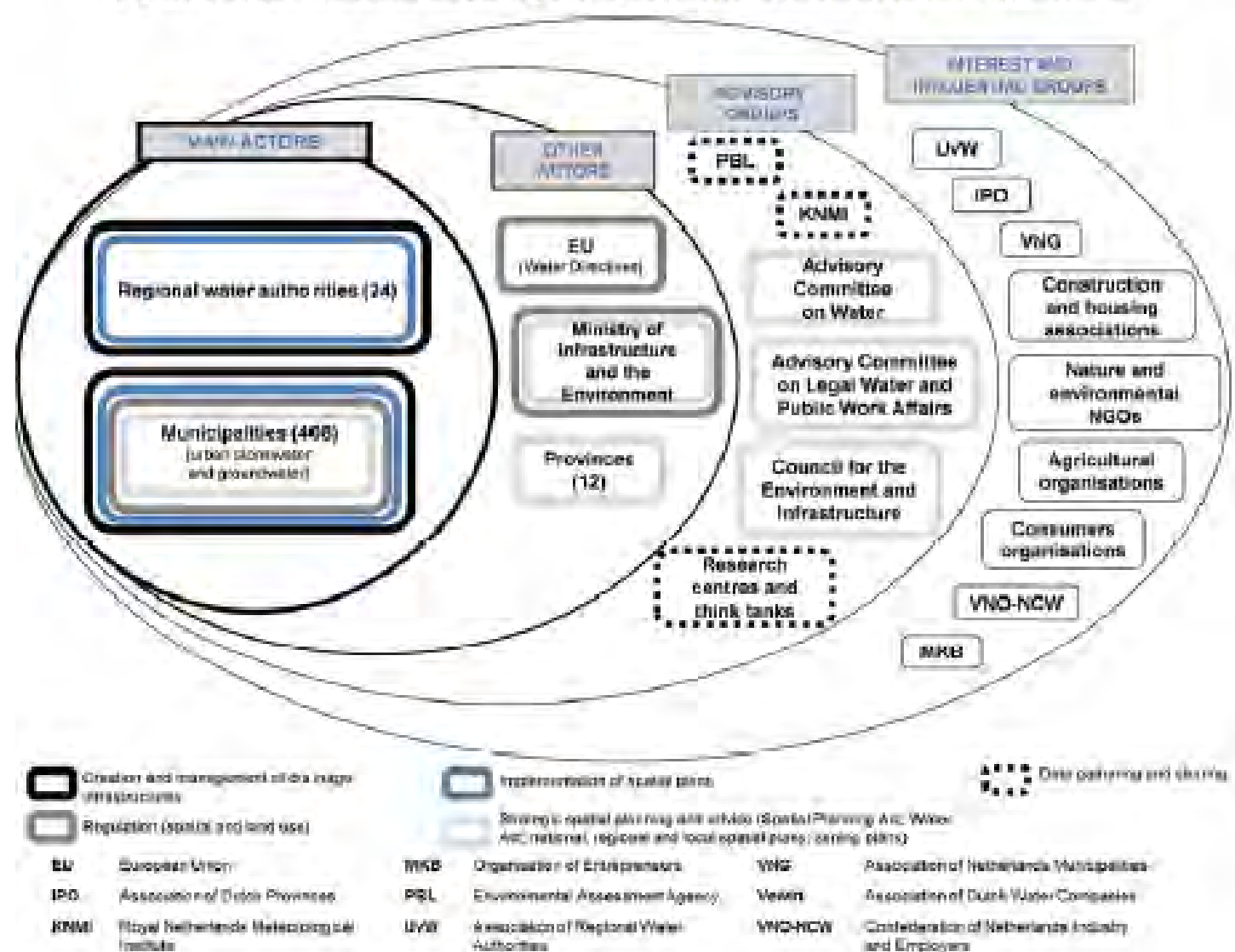


Figure 3.2. Institutional mapping for wastewater treatment in the Netherlands

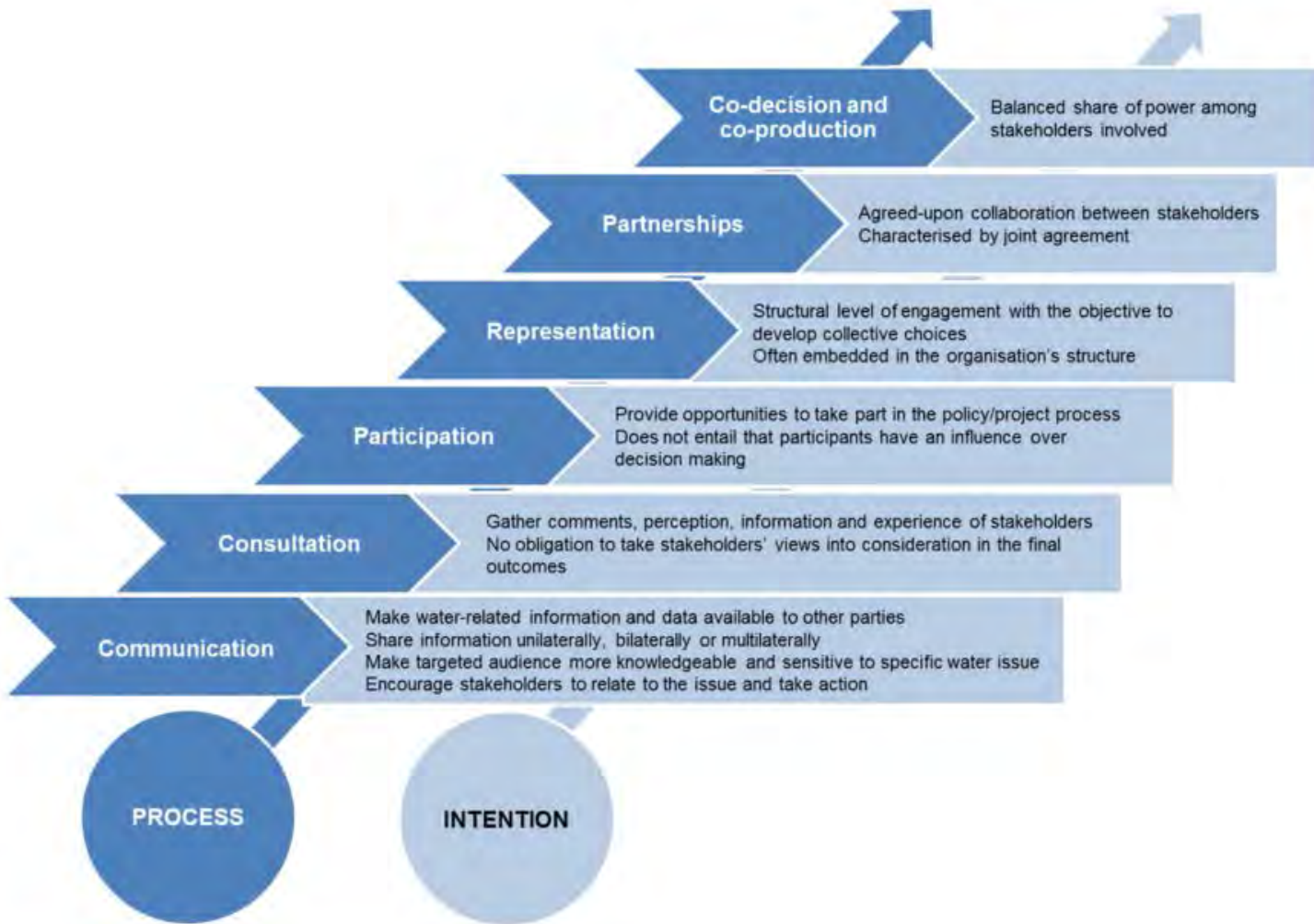




## Example for stakeholder mapping

[illegible]

# Level of stakeholder participation: Decide on the nature/type of stakeholder engagement needed



# Diagnosing obstacles

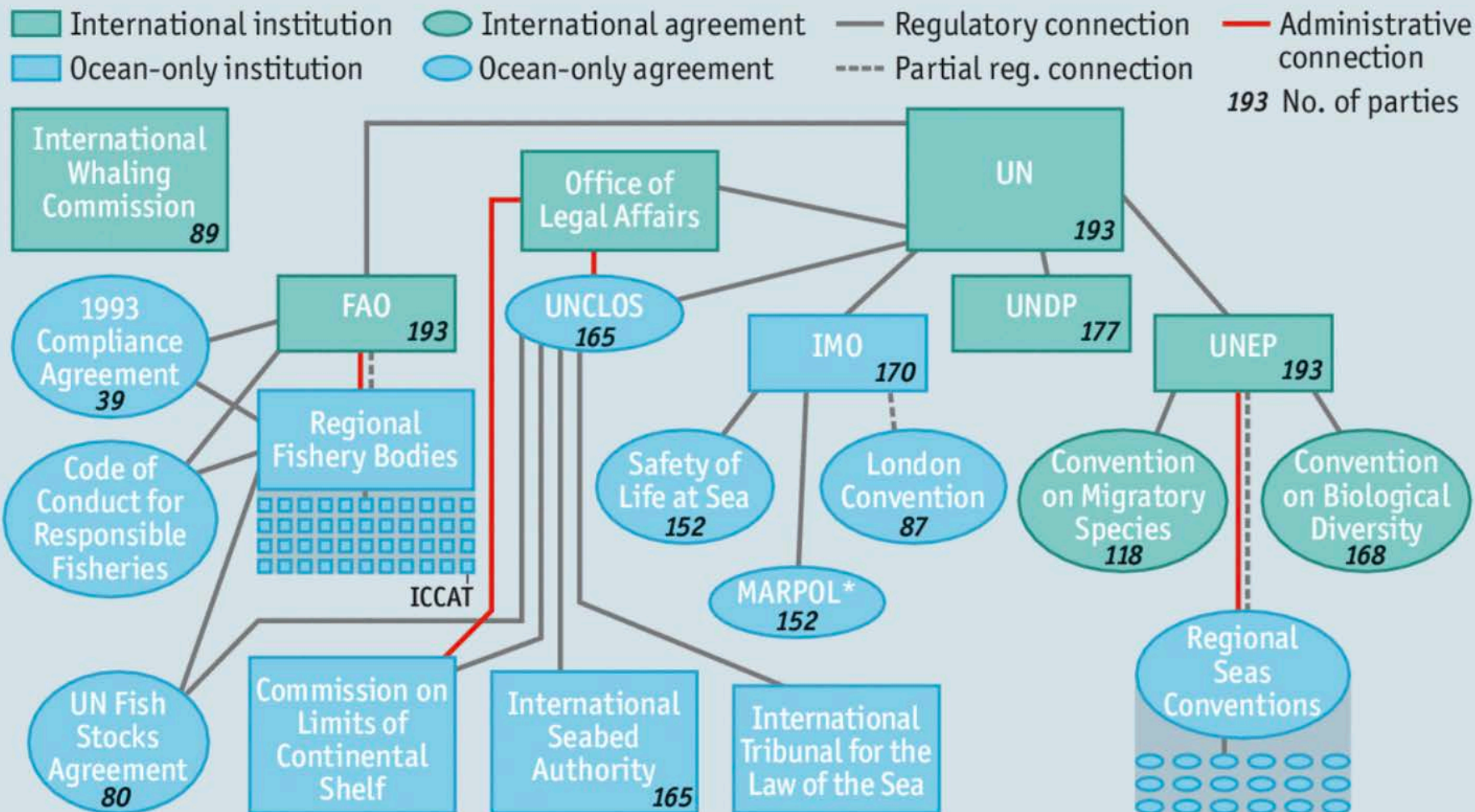
Common obstacles for Stakeholder Engagement	Obstacles for Stakeholder Engagement in ABNJ governance
<b>Obstacles to the integration of stakeholder engagement</b>	
<ul style="list-style-type: none"> <li>Lack of political will and leadership</li> </ul>	<ul style="list-style-type: none"> <li>political instability in some states, lack of capacity or weak enforcement mechanisms → Without political will, legal conflicts such as unregulated boundary issues may be intractable</li> </ul>
<ul style="list-style-type: none"> <li>Weak legal frameworks</li> </ul>	<ul style="list-style-type: none"> <li>overlapping mandates and gaps</li> </ul>
<ul style="list-style-type: none"> <li>Institutional fragmentation</li> </ul>	<ul style="list-style-type: none"> <li>fragmentation of management regimes, per species, issues, or region</li> </ul>
<b>Obstacles hindering the effective implementation of engagement processes</b>	
<ul style="list-style-type: none"> <li>Insufficient time, staff and funding</li> </ul>	
<ul style="list-style-type: none"> <li>Conflicts of interest and consultation “capture”</li> </ul>	<ul style="list-style-type: none"> <li>conflicting interests and objectives of various stakeholders operating outside and within the EEZ</li> </ul>
<ul style="list-style-type: none"> <li>Information asymmetry</li> </ul>	
<ul style="list-style-type: none"> <li>Lack of interest and concern</li> </ul>	



# Is the governance of the high seas easy?

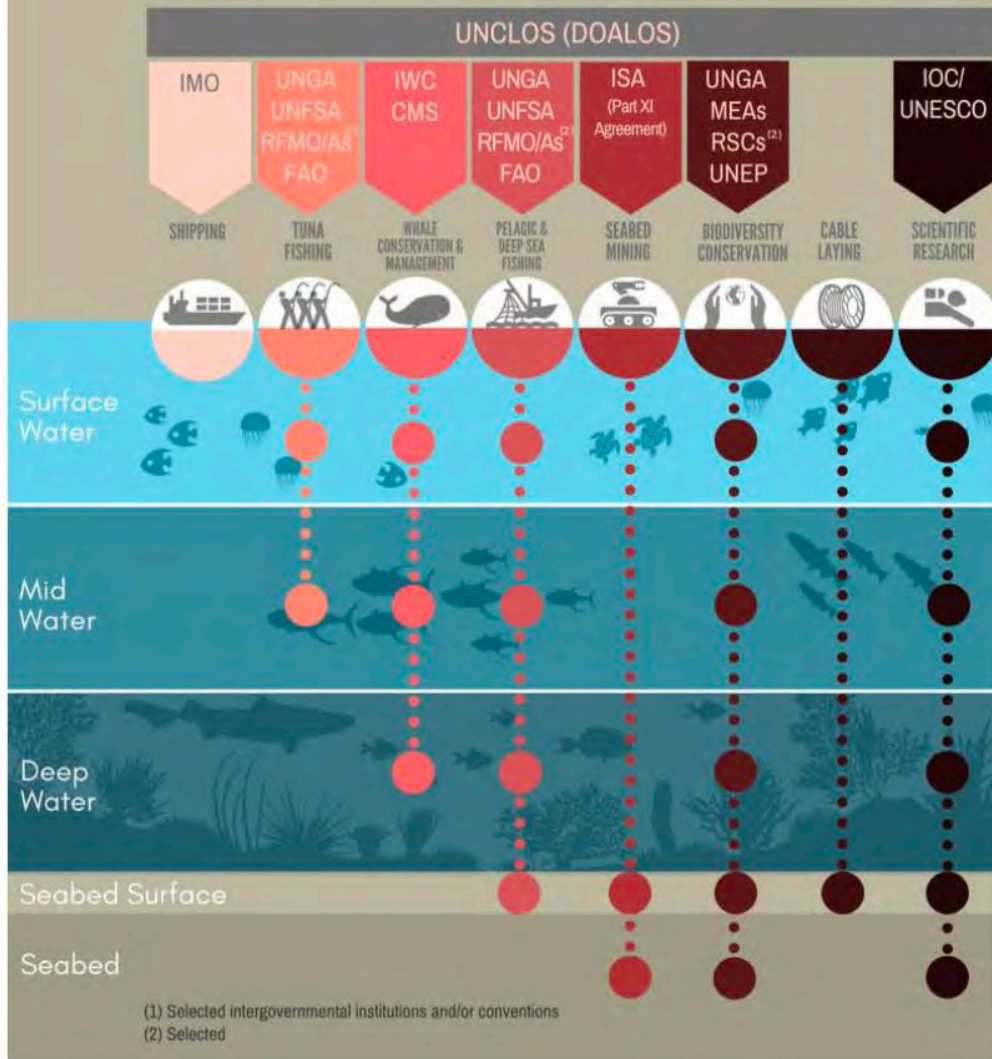
## Trouble at sea

International management of the high seas, simplified, 2014



# ABNJ OCEAN ACTIVITIES & EXAMPLES OF INSTITUTIONS<sup>(1)</sup>

## RELATED INSTITUTIONS<sup>(1)</sup>



UNEP-WCMC (2017). Governance of areas beyond national jurisdiction for biodiversity conservation and sustainable use: Institutional arrangements and cross-sectoral cooperation in the Western Indian Ocean and the South East Pacific.

# Possible Analytical framework

- (1) **Agree on objectives:** Define objectives of stakeholder engagement and expected use of inputs.
- (2) **Detecting drivers/ conditions for success:** Understand forces and levers for actions.
- (3) **Mapping stakeholders** and scoping expectations: Identify stakeholders and their roles, responsibilities, influence, motivations, level of connectivity and scale of intervention. Decide on the nature/type of stakeholder engagement needed.
- (4) **Diagnosing obstacles:** Identify bottlenecks and mitigate related risks.
- (5) **Identifying mechanisms:** Determine in a transparent way which instruments work best for the purpose.
- (6) **Fostering evaluation:** Assess effectiveness of engagement process and outcomes, costs and benefits (monetary or not). Point out areas for improvement and trade-offs.



## Objectives for today:

- Identify and define the key stakeholders, their main interests, influence, and challenges regarding participation in ocean governance as well as opportunities for improving participation.
- Identify the legal and institutional challenges in high seas governance in the Southeast Atlantic region, and discuss the design and establishment of a stakeholder platform.



# Stakeholder forum and platform

## **Develop a project platform – conditions:**

- Ongoing applicability
- Fit with or into existing networks
- Compliment and assist the ABNJ WG of the Abidjan Convention
- Managed by IOI with assistance of project partners (at least until 2022)

## **Project platform – functionality:**

- Project and related communications
- Serve to update and connect stakeholders on issues related to ABNJ
- Allow for detailed discussion forums to emerge where and when appropriate
- Assist in selection of participants for training activities

# Working group session 1

## Stakeholder analysis:

### Topic 1 - Collect key stakeholders (20 minutes)

Question 1: Who are the key stakeholders for ocean governance in the region?

### Topic 2 - Analyse key stakeholders (40 minutes)

Question 2: What are the main interests and challenges of ocean governance stakeholders in the region and what is their influence?

### Topic 3 - Explore opportunities (30 minutes)

Question 3: What opportunities/mechanisms to improve stakeholder participation exist? In other words, how can the main limitations/challenges/conflicts identified in the step above be overcome?



# Working group session 1

Stakeholder organisation	Individual	Interest(s) (high/med/low)	Influence (high/med/low) (s)	Limitations/challenges/Conflicts	Opportunities for improving stakeholder participation
e.g. FAO	e.g Will Williams	Skills, knowledge, expertise for fisheries management	e.g. High for fisheries management	single, sector specific mandate	

## Working group session 2

### Legal and Institutional Discussion for Ocean Governance in the Regions:

- Topic 1 - Stakeholder Platform (30 minutes)
- Topic 2 – Legal framework (30 minutes)
- Report back to plenary